

# Compute-and-Forward:

An Explicit Link between Finite Field and Gaussian  
Interference Networks

Bobak Nazer  
Boston University

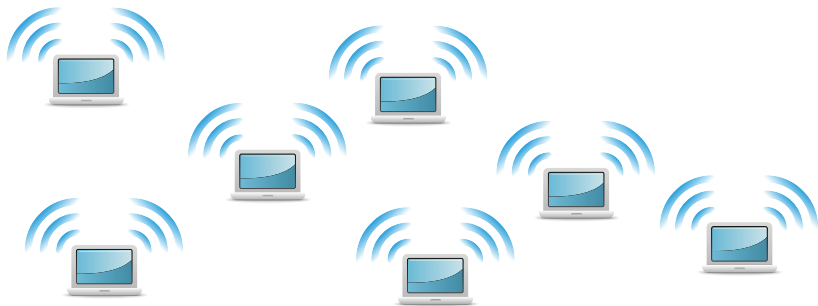
European Information Theory School  
April 14, 2014

## Multi-User Wireless Networks



- Must cope with **interference**, **fading**, and **noise**.

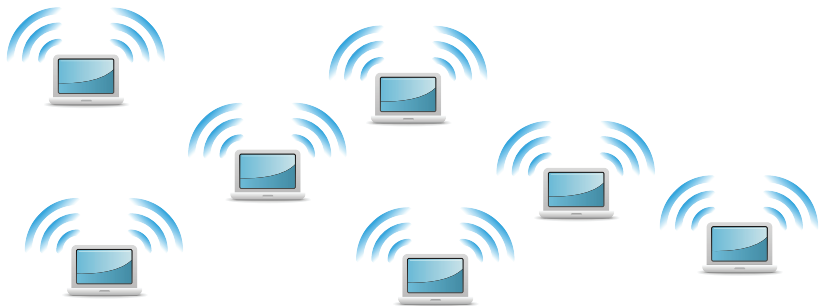
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- Receivers observe noisy linear combinations of transmitted signals:

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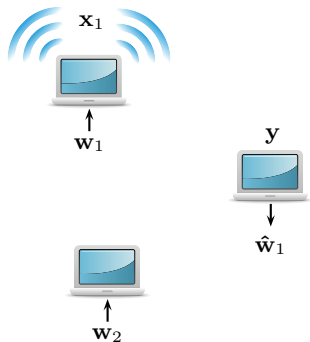
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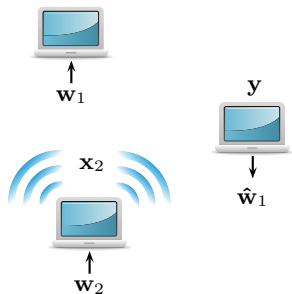
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- How should we deal with interference?



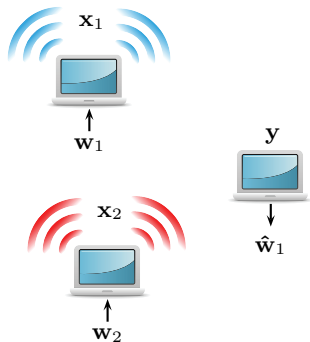
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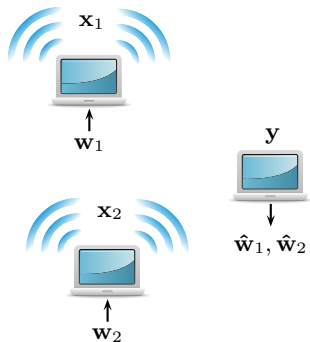
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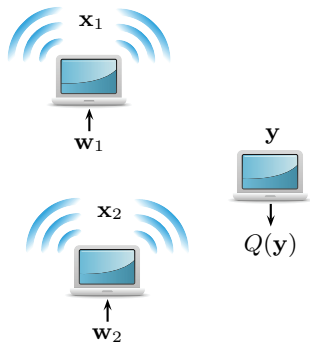
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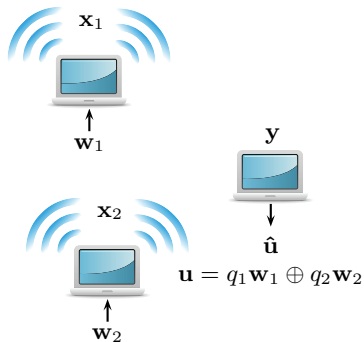
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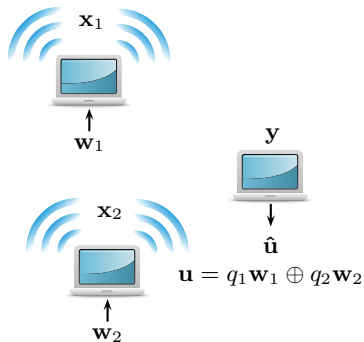
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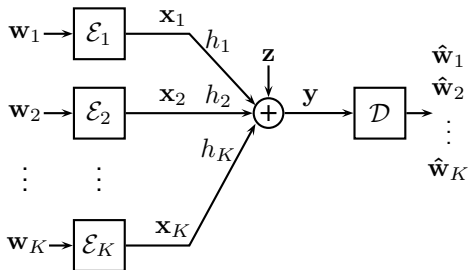
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- **This Talk:** First, remove **noise** and then eliminate **interference**.

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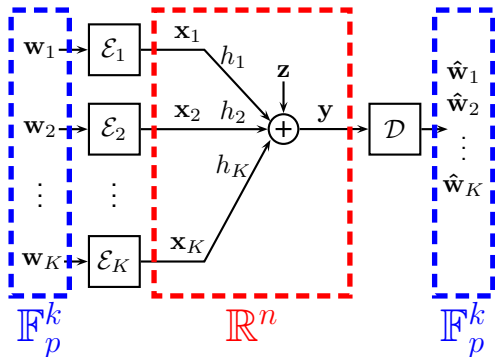
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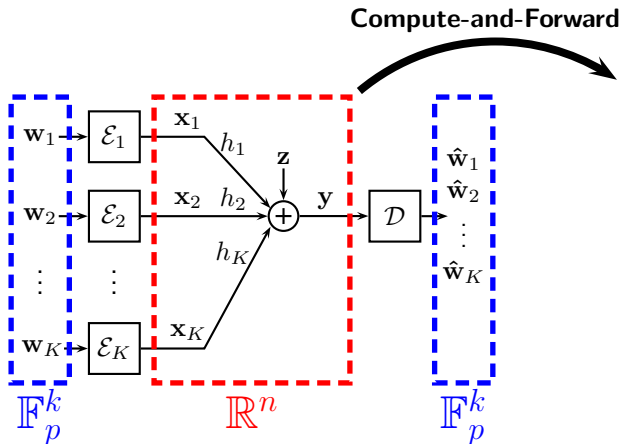
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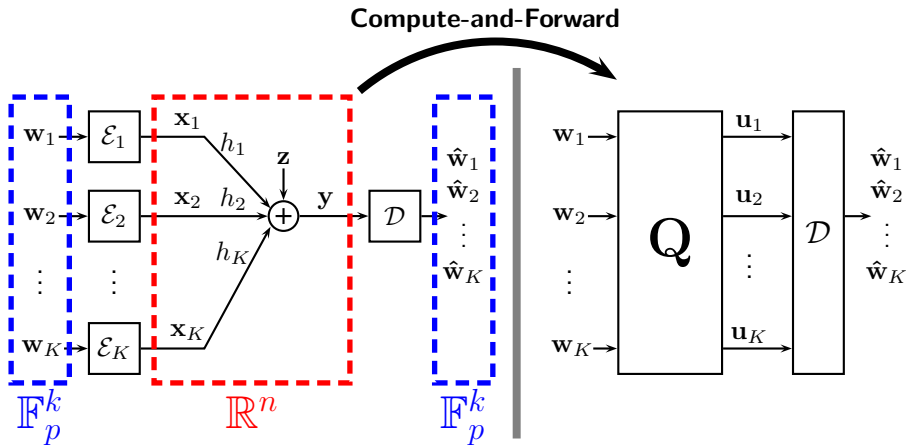
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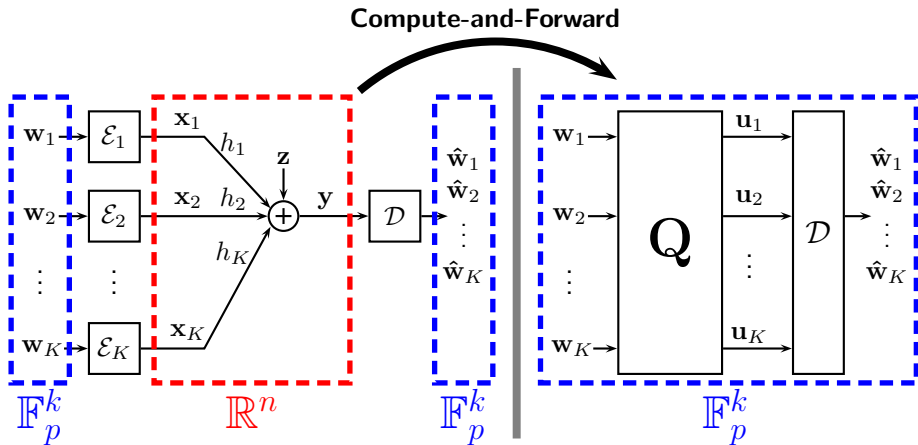
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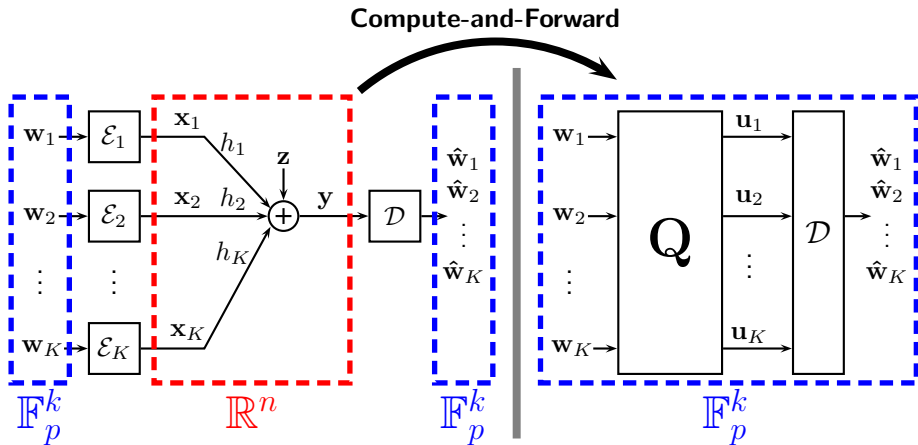
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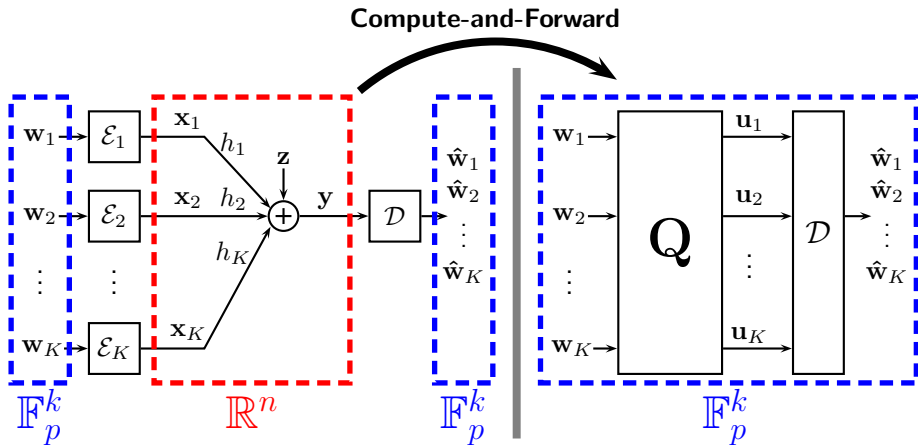
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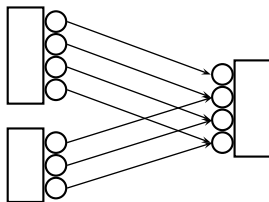
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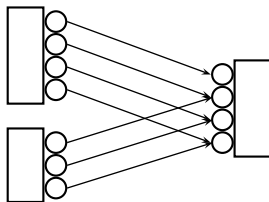


- Which linear combinations can be sent over a given channel?
- Where can this help us?

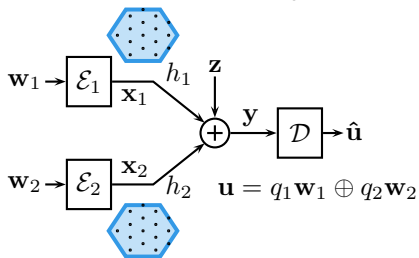
**Deterministic Model** from **Avestimehr-Diggavi-Tse '11**:



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**Compute-and-Forward** from Nazer-Gastpar '11:



## *Top-Down vs. Bottom-Up*

**Deterministic Model** from **Avestimehr-Diggavi-Tse '11:**

- Top-down approach.

**Compute-and-Forward** from **Nazer-Gastpar '11:**

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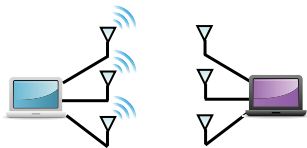
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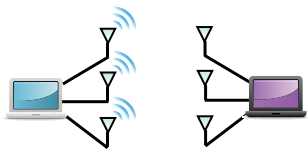
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### MIMO Channels

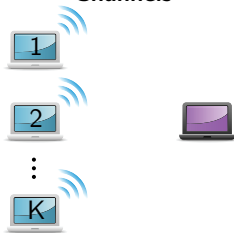


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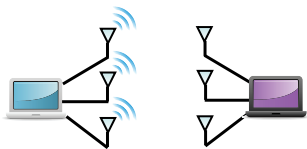
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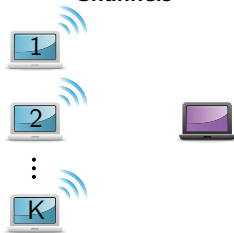
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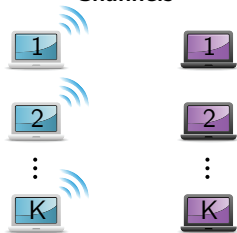
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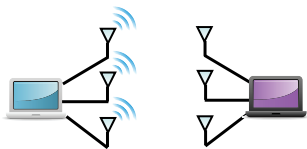
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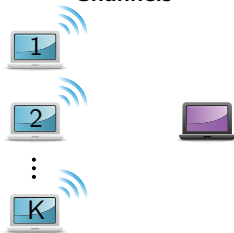
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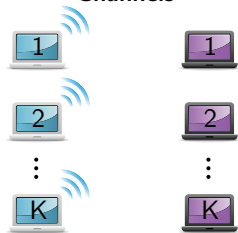
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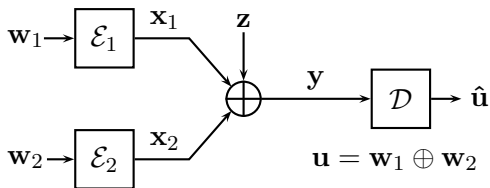
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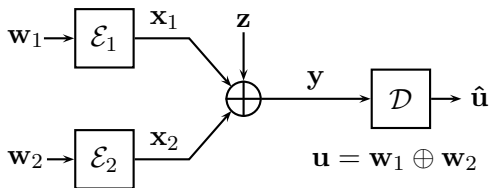
## Computation over Finite Field Multiple-Access Channels

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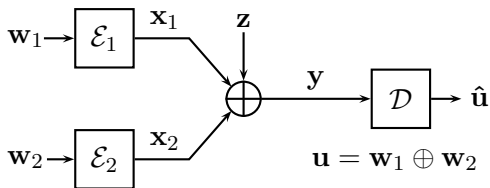
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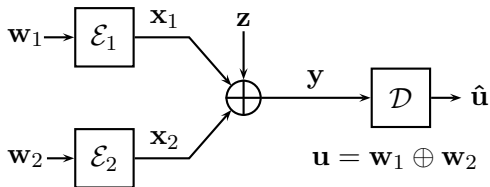


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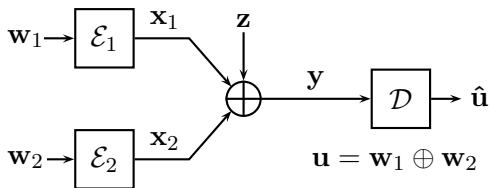


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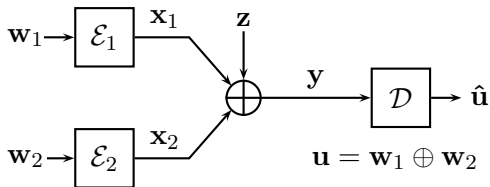


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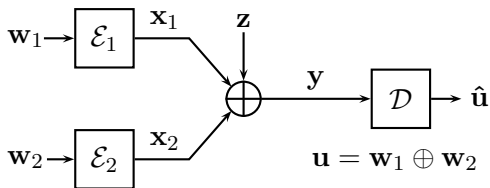


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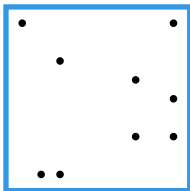
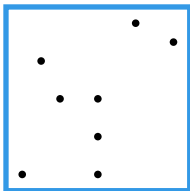
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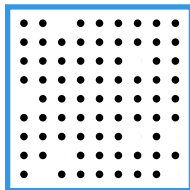
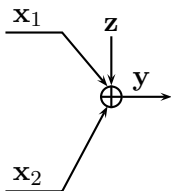
$$\begin{aligned} R_1 + R_2 &\leq I(X_1, X_2; Y) \\ &= H(Y) - H(Y|X_1, X_2) \\ &= \log p - H(Z) \end{aligned}$$

# Random i.i.d. codes are not good for computation

$2^{nR_1}$  codewords



$2^{nR_2}$  codewords



$2^{n(R_1+R_2)}$  modulo sums of codewords



- Linear Codebook: A **linear map** between messages and codewords (instead of a lookup table).

### $p$ -ary Linear Codes

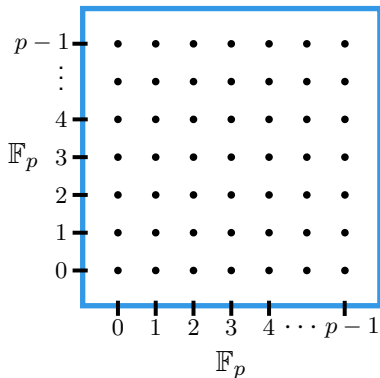
- Message  $\mathbf{w}$  is a length- $k$  vector over  $\mathbb{F}_p$ .
- Codeword  $\mathbf{x}$  is a length- $n$  vector over  $\mathbb{F}_p$ .
- Encoding process is just a **matrix multiplication**,  $\mathbf{x} = \mathbf{G}\mathbf{w}$ .

$$\begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{bmatrix} = \begin{bmatrix} g_{11} & g_{12} & \cdots & g_{1k} \\ g_{21} & g_{22} & \cdots & g_{2k} \\ \vdots & \vdots & \ddots & \vdots \\ g_{n1} & g_{n2} & \cdots & g_{nk} \end{bmatrix} \begin{bmatrix} w_1 \\ w_2 \\ \vdots \\ w_k \end{bmatrix}$$

- Recall that, for prime  $p$ , operations over  $\mathbb{F}_p$  are just mod  $p$  operations over the reals.
- Rate  $R = \frac{k}{n} \log p$  (in bits)

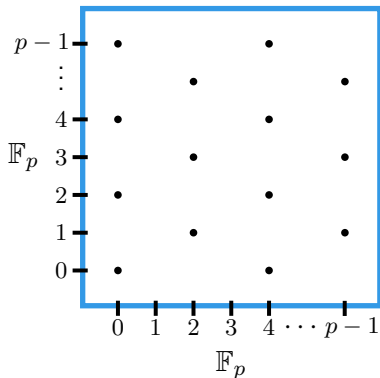
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It is more to instead analyze the shifted ensemble  $\mathbf{x} = \mathbf{G}\mathbf{w} \oplus \mathbf{v}$  where  $\mathbf{v}$  is an i.i.d. uniform sequence. (See **Gallager.**)

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1. **Marginally uniform over  $\mathbb{F}_q^n$ .** For a given message  $\mathbf{w}$ , the codeword  $\mathbf{x}$  looks like an i.i.d. uniform sequence.

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2. **Pairwise independent.** For  $\mathbf{w}_1 \neq \mathbf{w}_2$ , the associated codewords  $\mathbf{x}_1 = \mathbf{G}\mathbf{w}_1 \oplus \mathbf{v}$  and  $\mathbf{x}_2 = \mathbf{G}\mathbf{w}_2 \oplus \mathbf{v}$  are independent.

$$\mathbb{P}(\mathbf{x}_1 = \mathbf{x}_1, \mathbf{x}_2 = \mathbf{x}_2) = \frac{1}{p^{2n}} = \mathbb{P}(\mathbf{x}_1 = \mathbf{x}_1)\mathbb{P}(\mathbf{x}_2 = \mathbf{x}_2)$$

## Achievable Rates

- Transmitter sends:  $\mathbf{x} = \mathbf{G}\mathbf{w} \oplus \mathbf{v}$ .
- Point-to-point channel:  $\mathbf{y} = \mathbf{x} \oplus \mathbf{z}$ . Noise is i.i.d.
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- Using the **union bound**,

$$\begin{aligned}\mathbb{P}(\hat{\mathbf{w}} \neq \mathbf{w}) &\leq \mathbb{P}\left((\mathbf{x}, \mathbf{y}) \notin \mathcal{T}_\epsilon^{(n)}\right) + \sum_{\tilde{\mathbf{w}} \neq \mathbf{w}} \mathbb{P}\left((\tilde{\mathbf{x}}, \mathbf{y}) \in \mathcal{T}_\epsilon^{(n)}\right) \\ &\leq \epsilon + 2^{nR} 2^{-n(I(X;Y)-3\epsilon)}\end{aligned}$$

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- Transmitter sends:  $\mathbf{x} = \mathbf{G}\mathbf{w} \oplus \mathbf{v}$ .
- Point-to-point channel:  $\mathbf{y} = \mathbf{x} \oplus \mathbf{z}$ . Noise is i.i.d.
- Receiver decodes via **joint typicality decoding**.
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## Achievable Rates

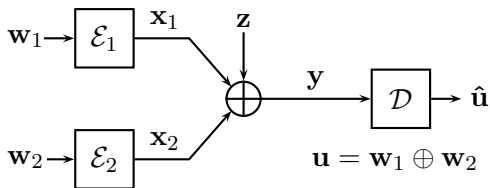
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- Shift  $\mathbf{v}$  is **unnecessary for additive noise channels**.

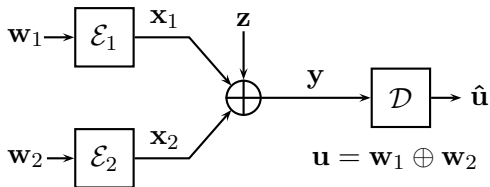
## Computation over Finite Field Multiple-Access Channels

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 $\mathbf{w}_1, \mathbf{w}_2 \in \mathbb{F}_p^k$ .
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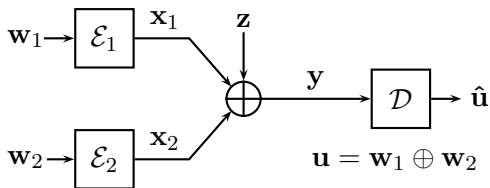
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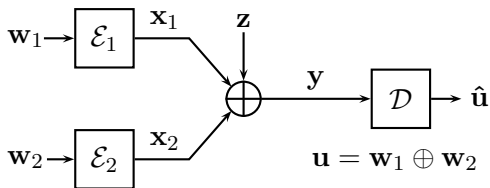


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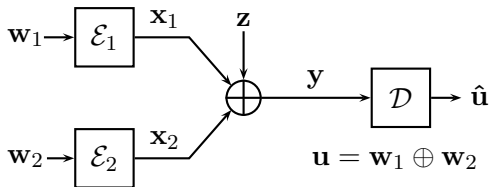


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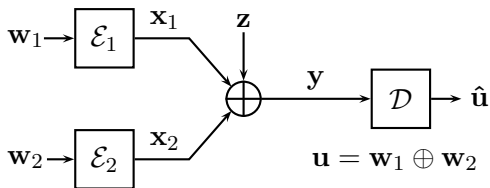
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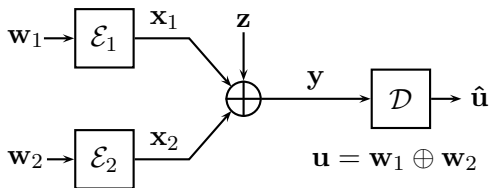


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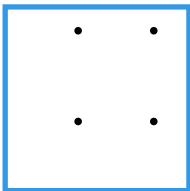
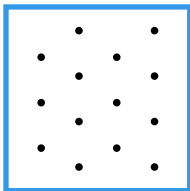
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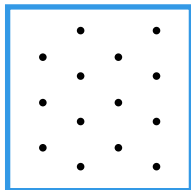
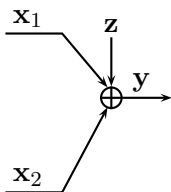
$$\begin{aligned} \max(R_1, R_2) &\leq I(X_1 \oplus X_2; Y) = H(Y) - H(Y|X_1 \oplus X_2) \\ &= \log p - H(Z) . \end{aligned}$$

# Random linear codes are good for computation

$2^{nR_1}$  codewords

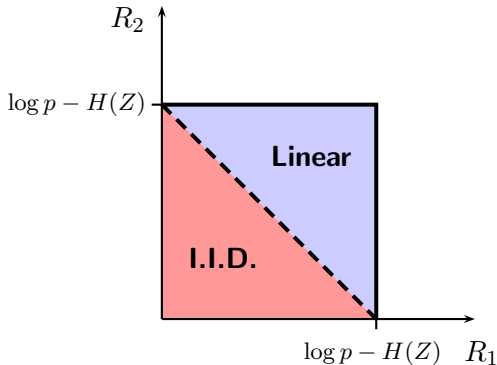


$2^{nR_2}$  codewords



$2^{n \max(R_1, R_2)}$  modulo sums of codewords

## Computation over Finite Field Multiple-Access Channels



- **I.I.D. Random Coding:**  $R_1 + R_2 \leq \log p - H(Z)$
- **Random Linear Coding:**  $\max(R_1, R_2) \leq \log p - H(Z)$
- Linear codes *double the sum rate*.
- Are they also useful for *sending messages* (rather than functions thereof)?

## Two-Way Relay Channel



Has  $w_1$

Wants  $w_2$



Relay

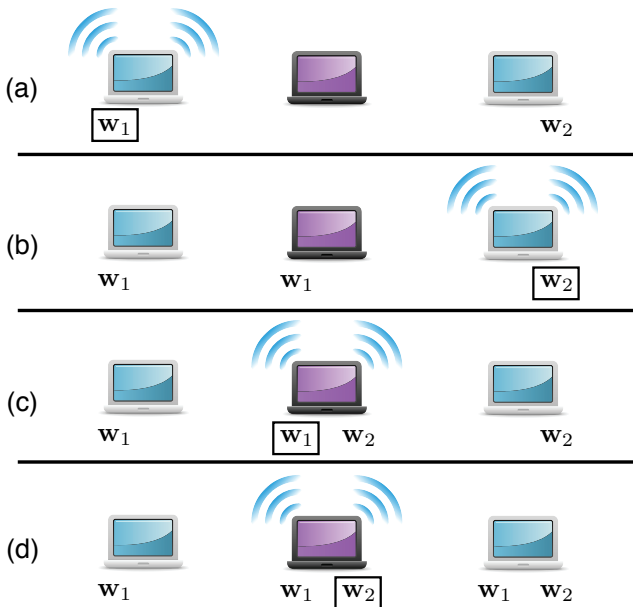


Has  $w_2$

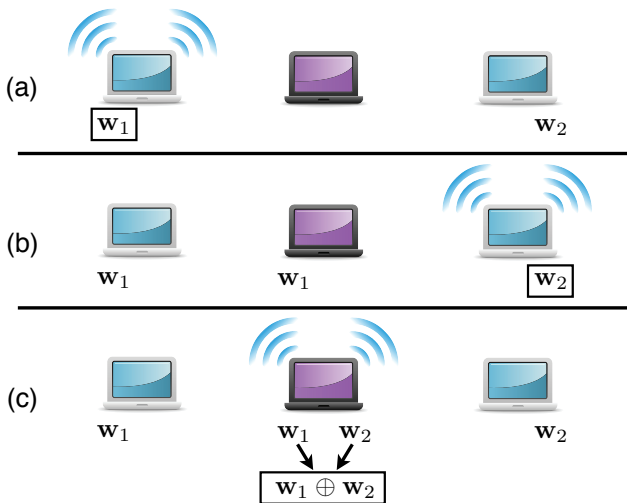
Wants  $w_1$

- Elegant example proposed by **Wu-Chou-Kung '04**.
- Closely related to butterfly network from **Ahlsvede-Cai-Li-Yeung '00**.

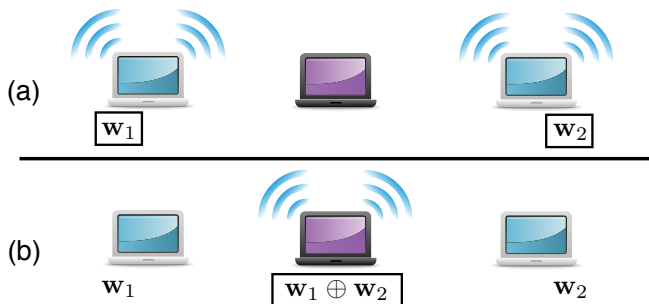
## Two-Way Relay Channel – Time-Division



## Two-Way Relay Channel – Network Coding

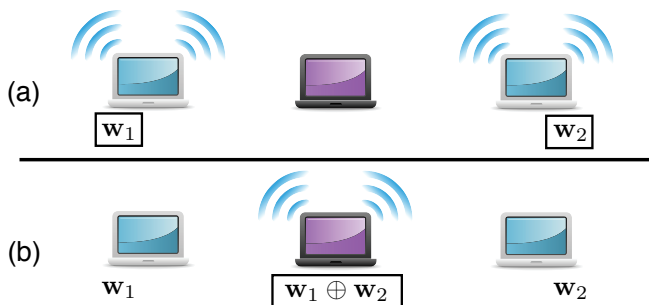


## Two-Way Relay Channel – Physical-Layer Network Coding





## Two-Way Relay Channel – Physical-Layer Network Coding



- Physical-layer network coding: exploiting the wireless medium for network coding. Independently and concurrently proposed by **Zhang-Liew-Lam '06**, **Popovski-Yomo '06**, **Nazer-Gastpar '06**.
- Sometimes referred to as Analog Network Coding **Katti-Gollakota-Katabi '07**.
- Some recent surveys **Liew-Zhang-Lu '11**, **Nazer-Gastpar '11**.

## *(Finite Field) Two-Way Relay Channel*



Has  $w_1$

Wants  $w_2$



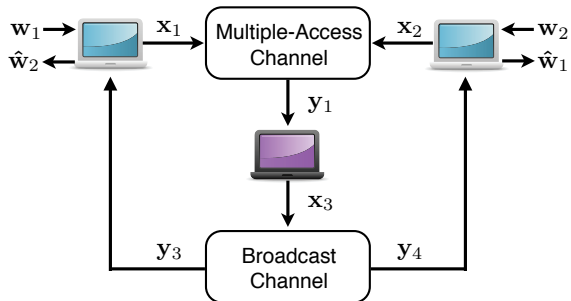
Relay



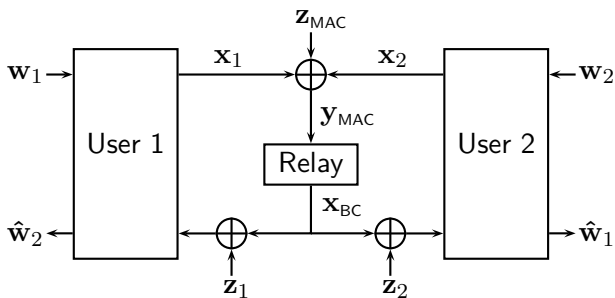
Has  $w_2$

Wants  $w_1$

# *(Finite Field) Two-Way Relay Channel*



## (Finite Field) Two-Way Relay Channel



- i.i.d. noise sequences with entropy  $H(Z)$ .
- Rates  $R_1$  and  $R_2$ .

### Cut-Set Upper Bound:

$$\max(R_1, R_2) \leq \log p - H(Z)$$

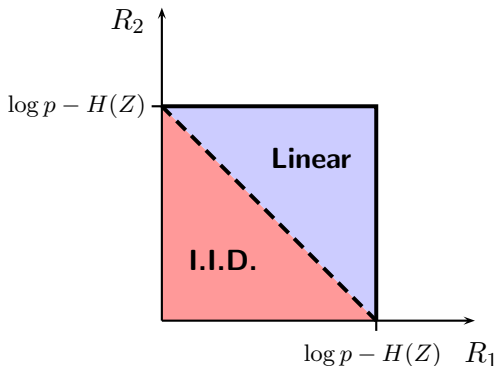
- **I.I.D. Random Coding:** Relay decodes  $w_1, w_2$ , transmits  $w_1 \oplus w_2$ .

$$R_1 + R_2 \leq \log p - H(Z)$$

- **Random Linear Coding:** Relay decodes and retransmits  $w_1 \oplus w_2$ .

$$\max(R_1, R_2) \leq \log p - H(Z)$$

## (Finite Field) Two-Way Relay Channel

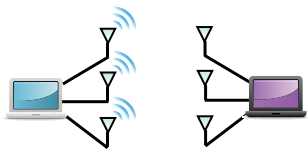


- Linear codes can double the sum rate *for exchanging messages*.

## Road Map

- Warm-up: Compute-and-forward over finite field channels.
- Compute-and-forward over Gaussian channels.
- Applications to communication across single-hop Gaussian networks.

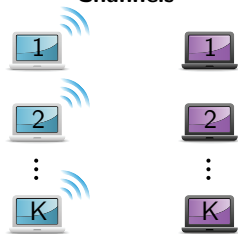
**MIMO  
Channels**



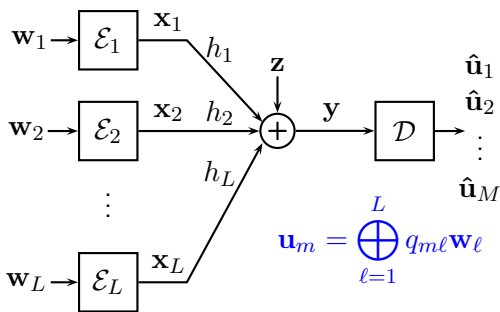
**Multiple-Access  
Channels**



**Interference  
Channels**

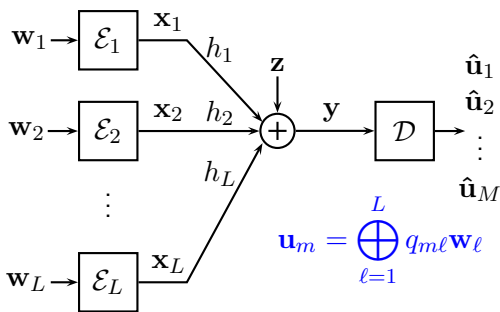


## Compute-and-Forward: Problem Statement



- Messages are finite field vectors,  $\mathbf{w}_\ell \in \mathbb{F}_p^k$ .
- Real-valued inputs and outputs,  $\mathbf{x}_\ell, \mathbf{y} \in \mathbb{R}^n$ .
- Power constraint,  $\frac{1}{n} \mathbb{E} \|\mathbf{x}_\ell\|^2 \leq P$ .
- Gaussian noise,  $\mathbf{z} \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$ .
- Equal rates:  $R = \frac{k}{n} \log_2 p$

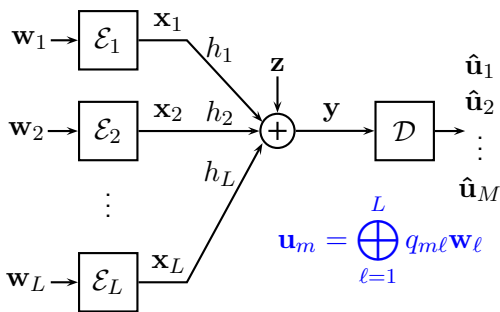
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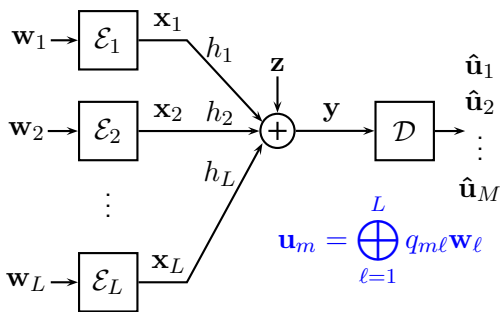


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  - What rates are achievable as a function of  $h_\ell$  and  $q_{m\ell}$ ?

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 $\mathbf{a}_m = [a_{m1} \ a_{m2} \ \cdots \ a_{mL}]^T \in \mathbb{Z}^L$  corresponds to

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- **Key Definition:** The **computation rate region** described by  $R_{\text{comp}}(\mathbf{h}, \mathbf{a})$  is *achievable* if, for any  $\epsilon > 0$  and  $n, p$  large enough, a receiver can decode any linear combinations with integer coefficient vectors  $\mathbf{a}_1, \dots, \mathbf{a}_M \in \mathbb{Z}^L$  for which the message rate  $R$  satisfies

$$R < \min_m R_{\text{comp}}(\mathbf{h}, \mathbf{a}_m)$$

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### Desired Codebook:

- Closed under **integer linear combinations**  $\implies$  lattice codebook.

## Compute-and-Forward: Effective Noise

$$\begin{aligned}\mathbf{y} &= \sum_{\ell=1}^L h_{\ell} \mathbf{x}_{\ell} + \mathbf{z} \\ &= \sum_{\ell=1}^L a_{\ell} \mathbf{x}_{\ell} + \underbrace{\sum_{\ell=1}^L (h_{\ell} - a_{\ell}) \mathbf{x}_{\ell}}_{\text{Effective Noise}} + \mathbf{z}\end{aligned}$$

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- Closed under **integer linear combinations**  $\implies$  lattice codebook.
- Independent **effective noise**  $\implies$  dithering.
- Isomorphic to  $\mathbb{F}_p^k$   $\implies$  nested lattice codebook.

# Lattices

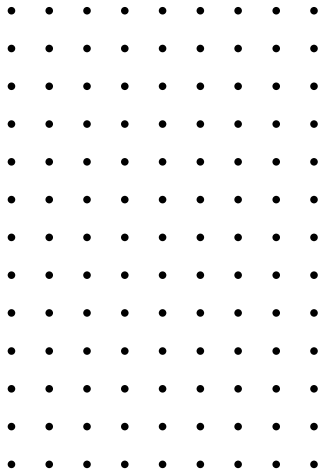
- A **lattice**  $\Lambda$  is a discrete subgroup of  $\mathbb{R}^n$ .
- Can express as a linear transformation of the integer vectors,

$$\Lambda = \mathbf{B}\mathbb{Z}^n ,$$

for some (non-unique)  $\mathbf{B} \in \mathbb{R}^{n \times n}$ .

## Lattice Properties

- **Closed under addition:**  
 $\lambda_1, \lambda_2 \in \Lambda \implies \lambda_1 + \lambda_2 \in \Lambda$ .
- **Symmetric:**  $\lambda \in \Lambda \implies -\lambda \in \Lambda$



$\mathbb{Z}^n$  is a simple lattice.

# Lattices

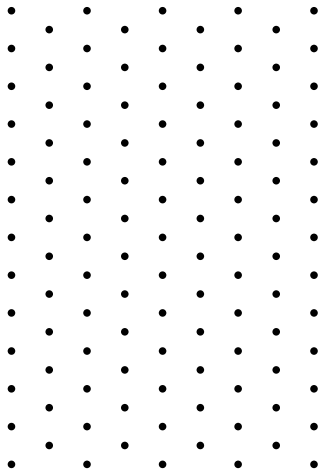
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## Voronoi Regions

- Nearest neighbor quantizer:

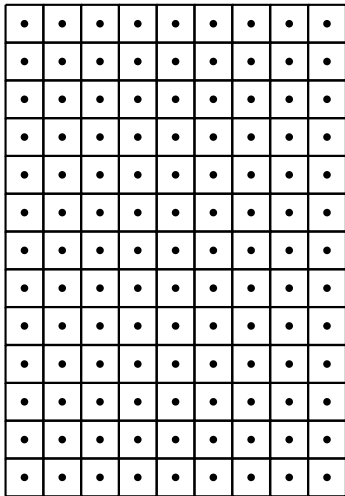
$$Q_{\Lambda}(\mathbf{x}) = \arg \min_{\lambda \in \Lambda} \|\mathbf{x} - \lambda\|_2$$

- The Voronoi region of a lattice point is the set of all points that quantize to that lattice point.

- **Fundamental Voronoi region  $\mathcal{V}$ :**  
points that quantize to the origin,

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## Voronoi Regions

- Nearest neighbor quantizer:

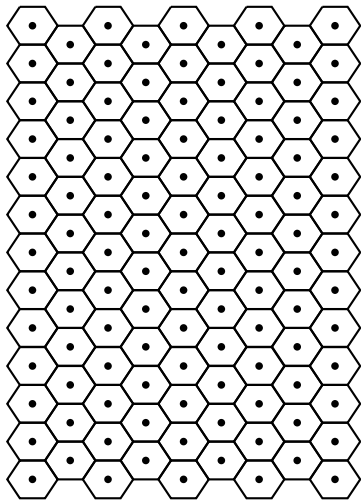
$$Q_{\Lambda}(\mathbf{x}) = \arg \min_{\lambda \in \Lambda} \|\mathbf{x} - \lambda\|_2$$

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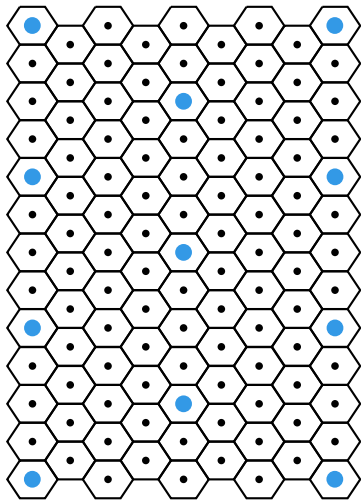
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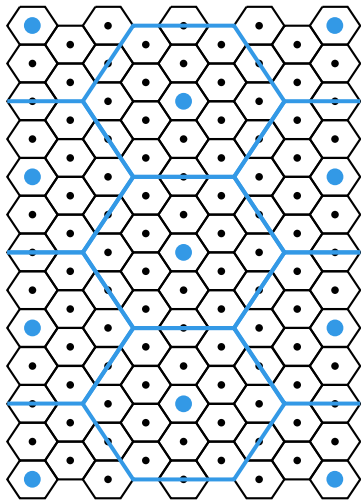
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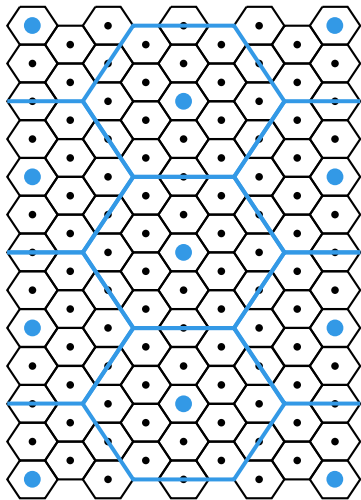
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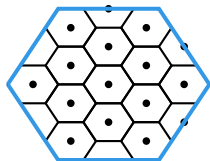
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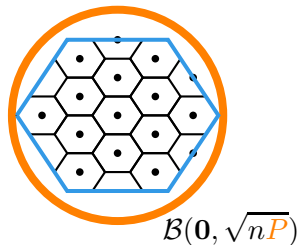
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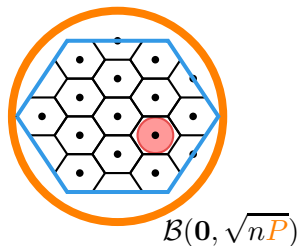
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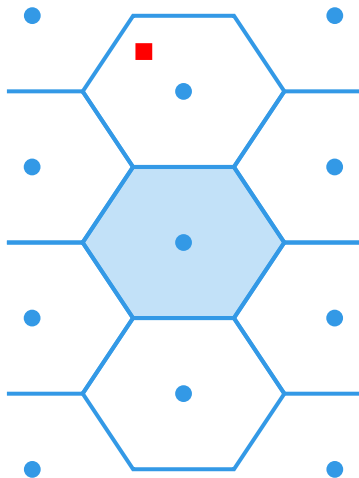
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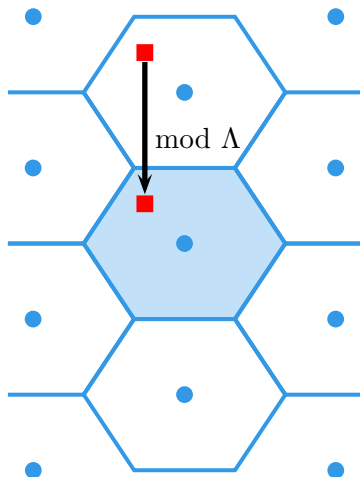
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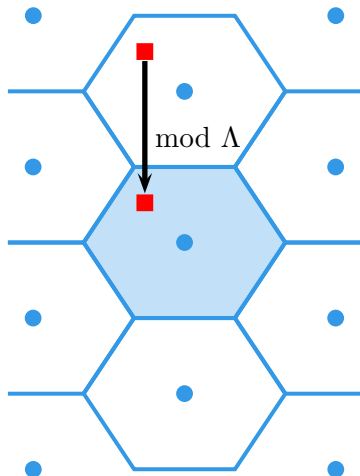
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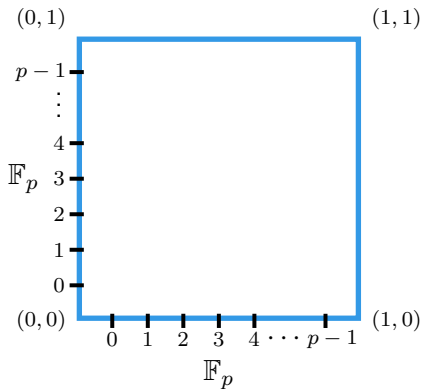
$$\begin{aligned} & \left[ a_1[\mathbf{x}_1] \bmod \Lambda + a_2[\mathbf{x}_2] \bmod \Lambda \right] \bmod \Lambda \\ &= [a_1\mathbf{x}_1 + a_2\mathbf{x}_2] \bmod \Lambda \end{aligned}$$

for any  $a_1, a_2 \in \mathbb{Z}$  and  $\mathbf{x}_1, \mathbf{x}_2 \in \mathbb{R}^n$ .



## Construction A: Lattice Codes from Linear Codes

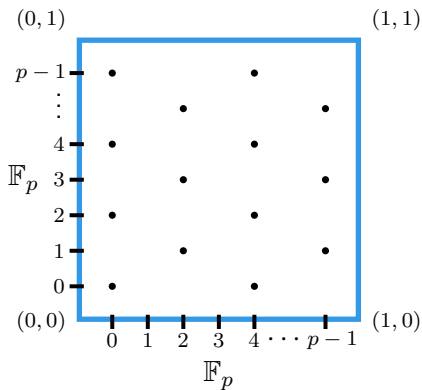
- Map elements  $\{0, 1, 2, \dots, p-1\}$  to equally spaced points on  $[0, 1)$ .





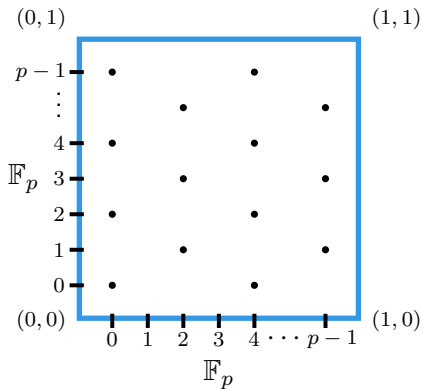
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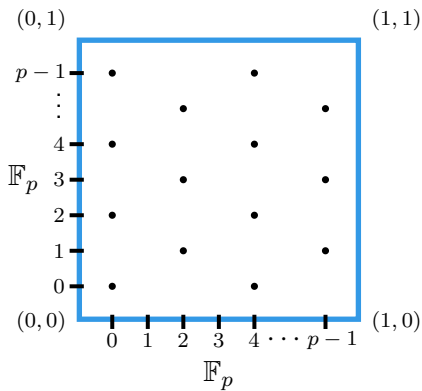
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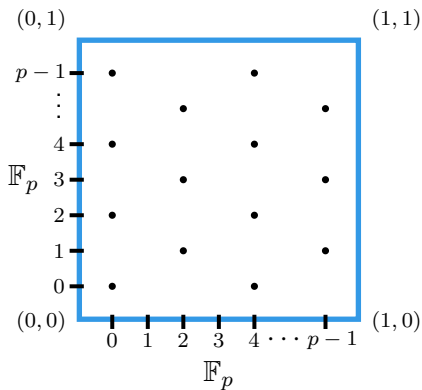
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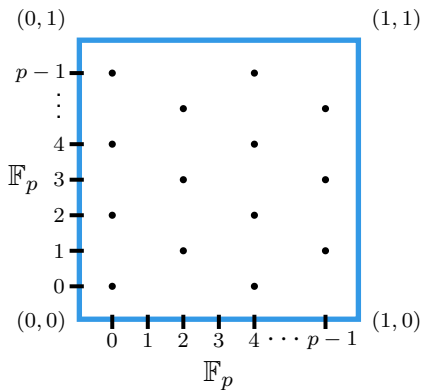
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- Existence of good **nested lattice codes** via Construction A:  
**Loeliger '97, Forney-Trott-Chung '00, Erez-Zamir '04, Erez-Litsyn-Zamir '05.**



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- Ideally, the resulting code **meets the power constraint** and **tolerates effective noise**, while **maintaining a high rate**. We would also like an **isomorphism to  $\mathbb{F}_p^k$** .

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- **Isomorphism exists:** There is a function  $\phi : \mathbb{F}_p^k \rightarrow \Lambda_F / \Lambda_C$  such that if  $\mathbf{t}_\ell = \phi(\mathbf{w}_\ell)$ , then

$$\phi^{-1} \left( \left[ \sum_{\ell=1}^L a_\ell \mathbf{t}_\ell \right] \bmod \Lambda_C \right) = \bigoplus_{\ell=1}^L q_\ell \mathbf{w}_\ell$$

for any  $a_\ell \in \mathbb{Z}$  and  $q_\ell = [a_\ell] \bmod p$ .

## *Point-to-Point AWGN Channel: Lattice Encoding and Decoding*

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- What happened to the “1 +”?

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- It turns out that we can do better by *scaling* the channel output prior to decoding.

$$\begin{aligned}\alpha\mathbf{y} &= \alpha\mathbf{x} + \alpha\mathbf{z} \\ &= \mathbf{x} + \underbrace{(\alpha - 1)\mathbf{x} + \alpha\mathbf{z}}_{\mathbf{z}_{\text{eff}}}\end{aligned}$$



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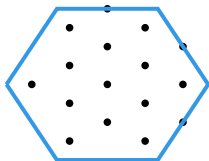
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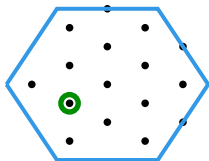
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- But what about the **dependency** between the codeword and the effective noise?

- **Dithering** can make the **effective noise** look independent from the desired lattice codeword.



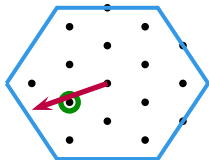
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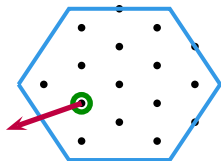
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- Generate a **random dither vector**  $\mathbf{d}$  uniformly over  $\mathcal{V}_{\mathbf{C}}$ .



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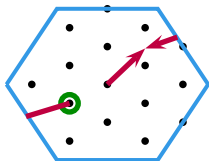
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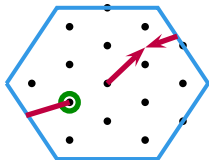


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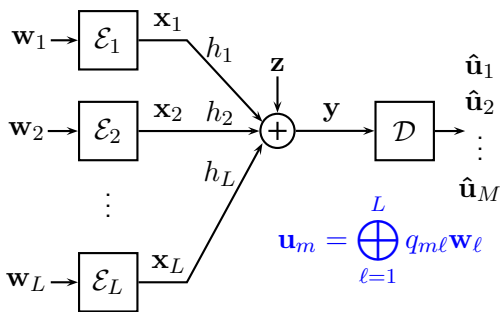


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- **Map back to finite field:**  $\hat{\mathbf{w}} = \phi^{-1}(\hat{\mathbf{t}})$ .
- Decoding is successful with high probability if we set  $\sigma_{\text{eff}}^2 > \frac{P}{1+P}$ .  
This means that the rate  $R = \frac{1}{2} \log(1 + P)$  is achievable.

## Refresher: Compute-and-Forward Problem Statement



- Messages are finite field vectors,  $\mathbf{w}_l \in \mathbb{F}_p^k$ .
  - Real-valued inputs and outputs,  $\mathbf{x}_l, \mathbf{y} \in \mathbb{R}^n$ .
  - Power constraint,  $\frac{1}{n} \mathbb{E} \|\mathbf{x}_l\|^2 \leq P$ .
  - Gaussian noise,  $\mathbf{z} \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$ .
  - Equal rates:  $R = \frac{k}{n} \log_2 p$
- Decoder wants  $M$  linear combinations of the messages with vanishing probability of error  $\lim_{n \rightarrow \infty} \mathbb{P} \left( \bigcup_m \{ \hat{\mathbf{u}}_m \neq \mathbf{u}_m \} \right) = 0$ .
  - The linear combination with integer coefficient vector  $\mathbf{a}_m = [a_{m1} \ a_{m2} \ \cdots \ a_{mL}]^T \in \mathbb{Z}^L$  corresponds to
 
$$\mathbf{u}_m = \bigoplus_{l=1}^L q_{ml} \mathbf{w}_l \quad \text{where } q_{ml} = [a_{ml}] \bmod p .$$

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- Notice that these operations do not depend on the channel gains.

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Decoding operations at the receiver to recover the linear combination with integer coefficient vector  $\mathbf{a}_m = [a_{m1} \ \cdots \ a_{mL}]^T$ :

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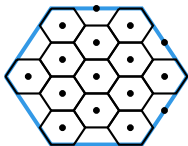
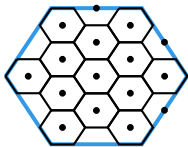
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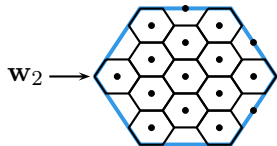
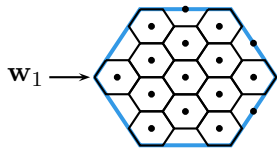
## Compute-and-Forward: Illustration

All users employ the **same nested lattice code**:



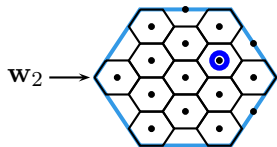
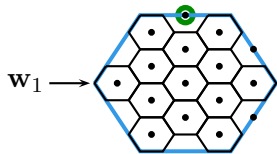
## Compute-and-Forward: Illustration

Choose message vectors over finite field  $\mathbf{w}_\ell \in \mathbb{F}_p^k$ :



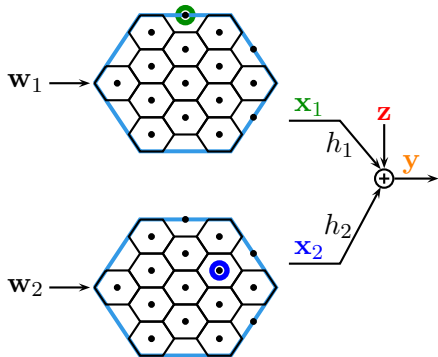
## Compute-and-Forward: Illustration

Map  $\mathbf{w}_\ell$  to lattice point  $\mathbf{t}_\ell = \phi(\mathbf{w}_\ell)$ :



## Compute-and-Forward: Illustration

Transmit lattice points over the channel:

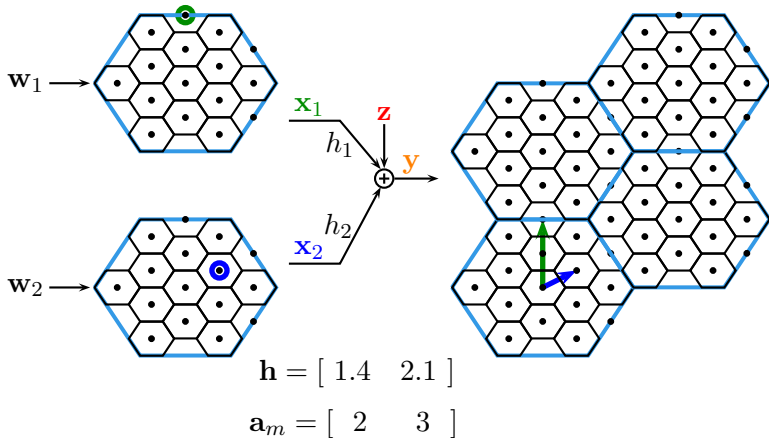


$$\mathbf{h} = [ 1.4 \quad 2.1 ]$$

$$\mathbf{a}_m = [ 2 \quad 3 ]$$

## Compute-and-Forward: Illustration

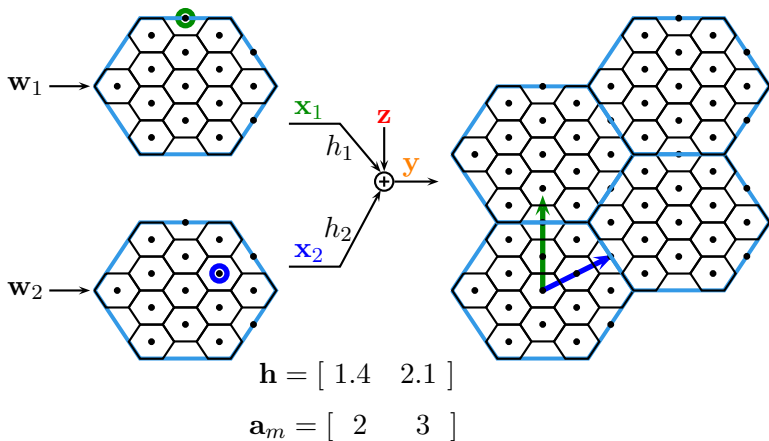
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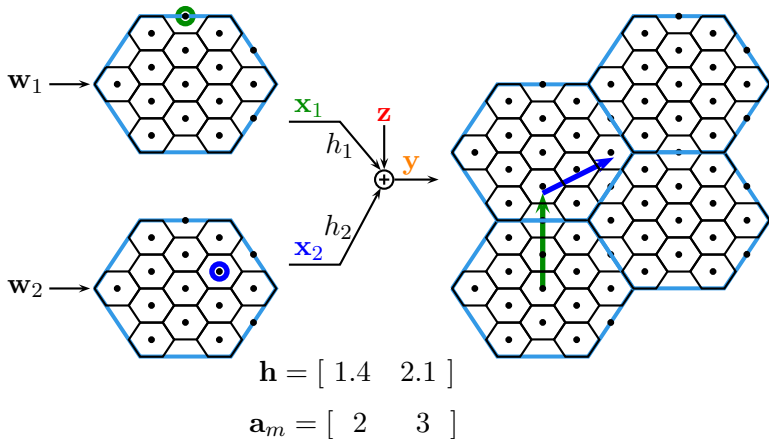
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Lattice codewords are scaled by channel coefficients:



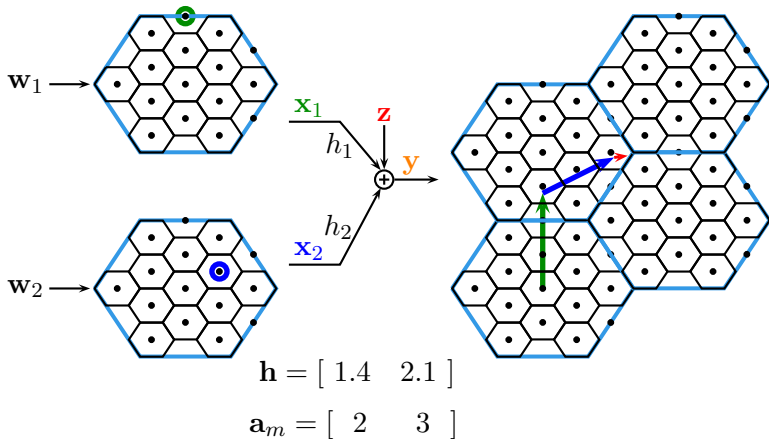
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Scaled codewords added together plus **noise**:



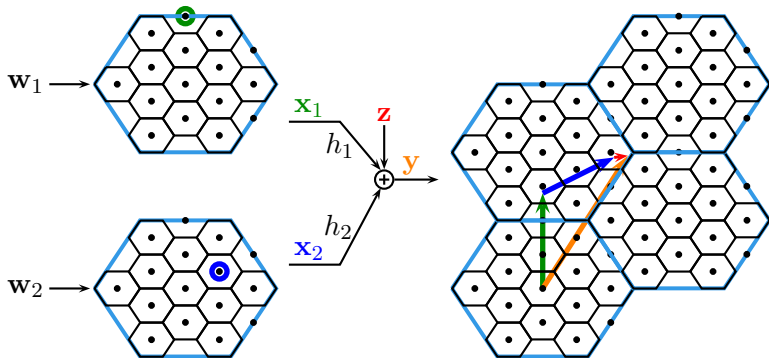
## Compute-and-Forward: Illustration

Scaled codewords added together plus **noise**:



## Compute-and-Forward: Illustration

Extra noise penalty for non-integer channel coefficients:



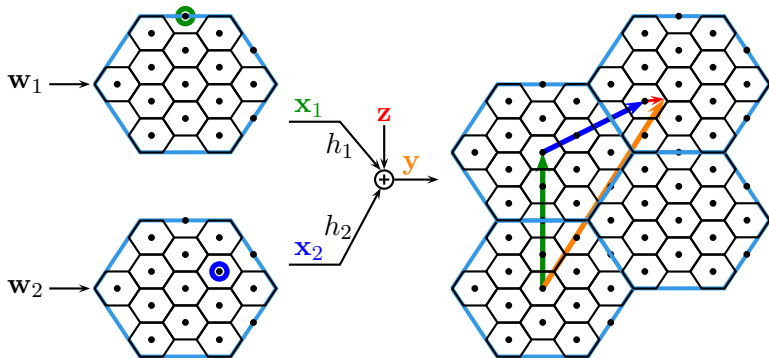
$$\mathbf{h} = [ 1.4 \quad 2.1 ]$$

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$$\text{Effective noise: } 1 + P \|\mathbf{h} - \mathbf{a}_m\|^2$$

## Compute-and-Forward: Illustration

Scale output by  $\alpha$  to reduce non-integer noise penalty:



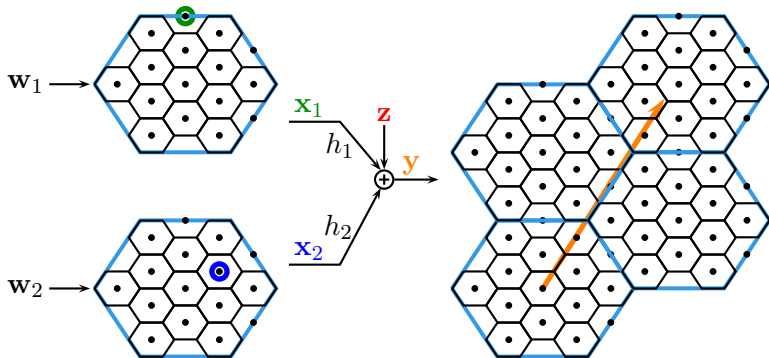
$$\alpha \mathbf{h} = [ \alpha 1.4 \quad \alpha 2.1 ]$$

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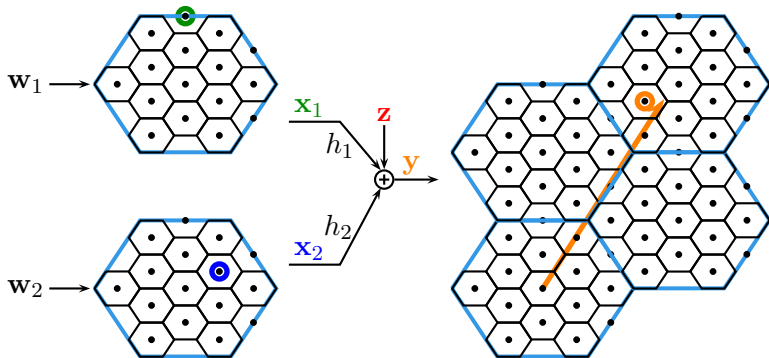
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## Compute-and-Forward: Illustration

Decode to the closest lattice point:



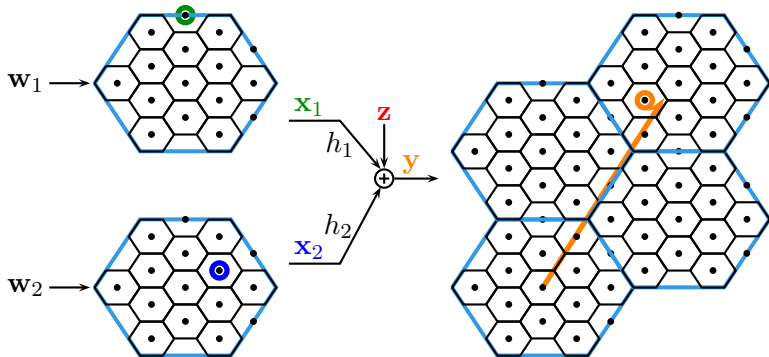
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## Compute-and-Forward: Illustration

Recover integer linear combination mod  $\Lambda_C$ :



$$\alpha \mathbf{h} = [ \alpha_{1.4} \quad \alpha_{2.1} ]$$

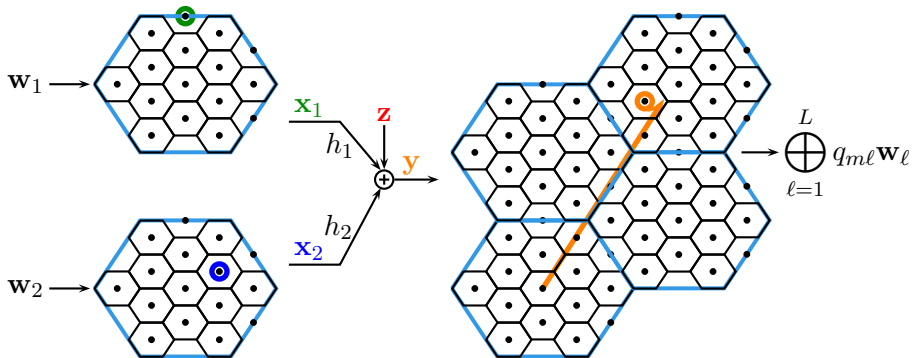
$$\mathbf{a}_m = [ 2 \quad 3 ]$$

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## Compute-and-Forward: Illustration

Map back to linear combination of the messages:



$$\alpha \mathbf{h} = [ \alpha_{1.4} \quad \alpha_{2.1} ]$$

$$\mathbf{a}_m = [ 2 \quad 3 ]$$

$$\text{Effective noise: } \alpha^2 + P \|\alpha \mathbf{h} - \mathbf{a}_m\|^2$$

## Compute-and-Forward: Effective Noise

- Overall, the linear combination with integer coefficient vector  $\mathbf{a}_m$  can be **successfully decoded** if

$$\sigma_{\text{eff}}^2 > \alpha^2 + P \|\alpha \mathbf{h} - \mathbf{a}_m\|^2 .$$

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- Plugging this in and applying the **Matrix Inversion Lemma**, we get

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- Overall, we find that if the rate satisfies

$$R < \min_m \frac{1}{2} \log \left( \frac{P}{\mathbf{a}_m^{\top}(P^{-1}\mathbf{I} + \mathbf{h}\mathbf{h}^{\top})^{-1}\mathbf{a}_m} \right)$$

we can **successfully decode** all  $M$  linear combinations.

### Theorem (Nazer-Gastpar '11)

The computation rate region described by

$$R_{comp}(\mathbf{h}, \mathbf{a}) = \max_{\alpha \in \mathbb{R}} \frac{1}{2} \log^+ \left( \frac{P}{\alpha^2 + P \|\alpha \mathbf{h} - \mathbf{a}\|^2} \right)$$

is achievable.

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is achievable.

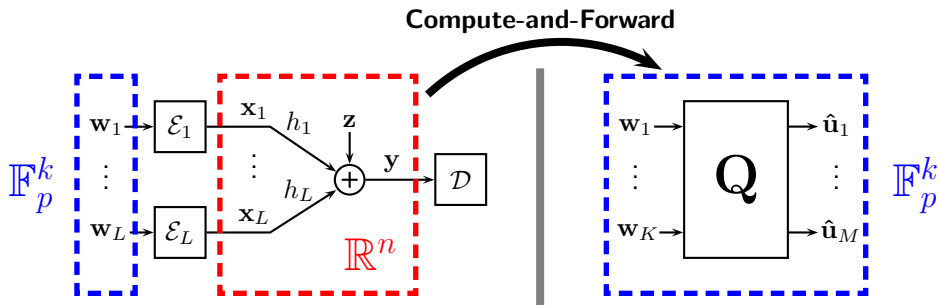
## Compute-and-Forward: Achievable Rates

### Theorem (Nazer-Gastpar '11)

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is achievable.



if  $R < \min_m R_{\text{comp}}(\mathbf{h}, \mathbf{a}_m)$  for some  $\mathbf{a}_1, \dots, \mathbf{a}_M \in \mathbb{Z}^L$  satisfying  $[\mathbf{a}_m] \bmod p = \mathbf{q}_m$ .



### Theorem (Nazer-Gastpar '11)

The computation rate region described by

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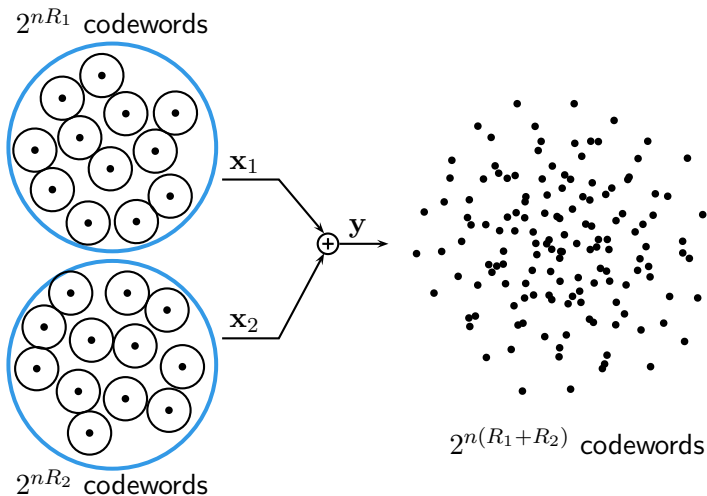
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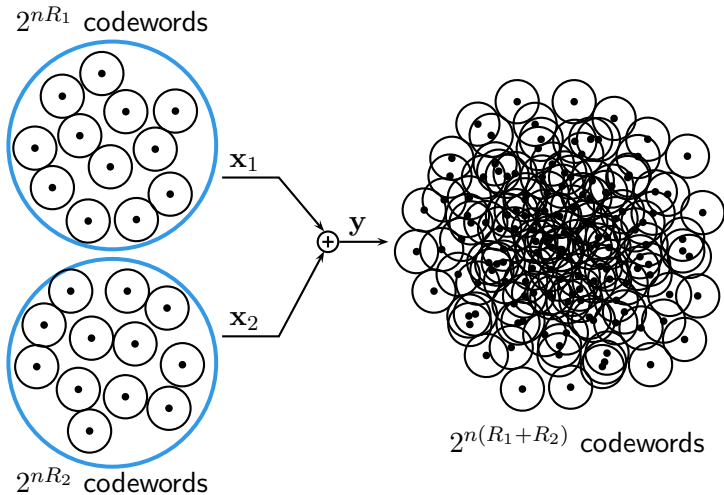
- Decode a Message:

$$R_{\text{comp}} \left( \mathbf{h}, \underbrace{[0 \ \dots \ 0]}_{m-1 \text{ zeros}} \ 1 \ 0 \ \dots \ 0]^\top \right) = \frac{1}{2} \log \left( 1 + \frac{h_m^2 P}{1 + P \sum_{\ell \neq m} h_\ell^2} \right)$$

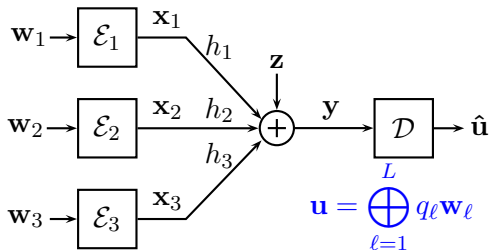
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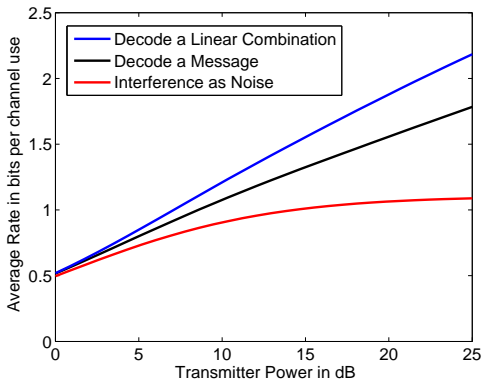


# Computation over Fading Channels – No CSIT



Relay either decodes some **linear combination of messages** or an **individual message**.

- Three transmitters that do not know the fading coefficients.
- Average rate plotted for i.i.d. Gaussian fading.

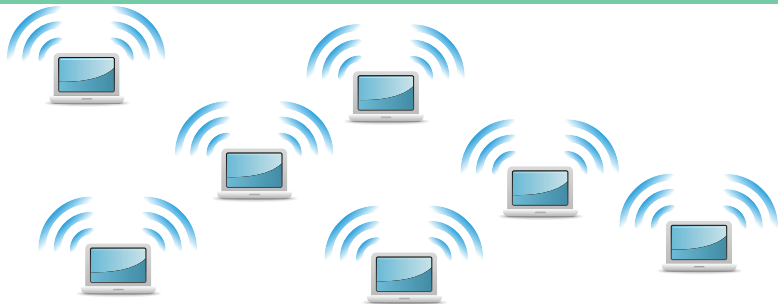


## Physical-Layer Network Coding



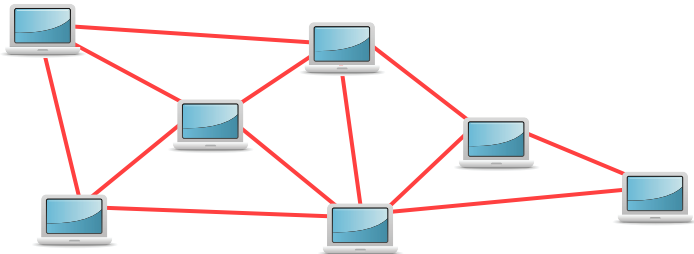
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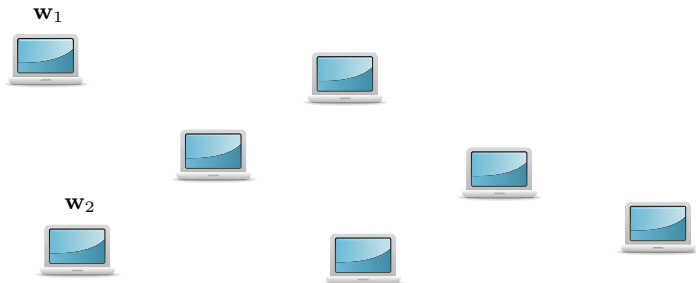
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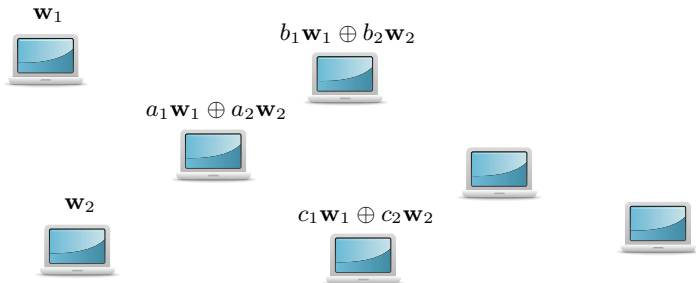
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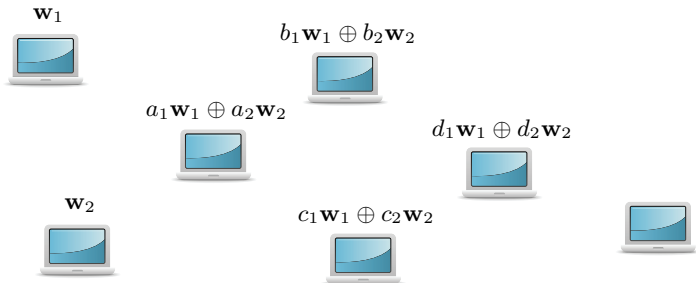
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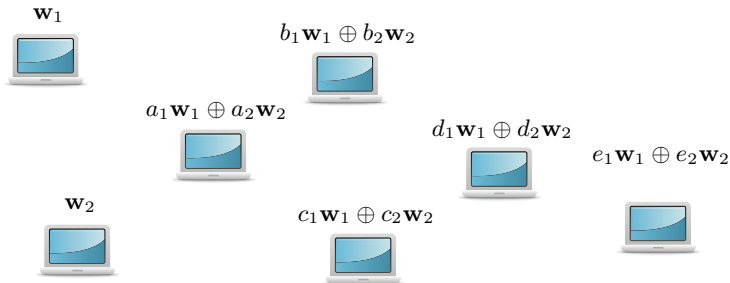
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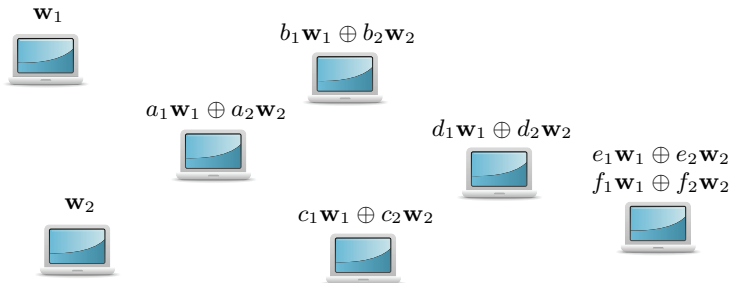
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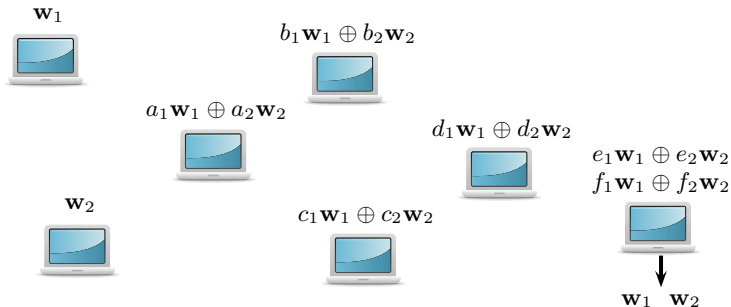
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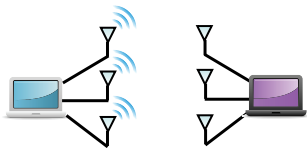


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## Road Map

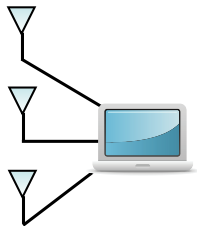
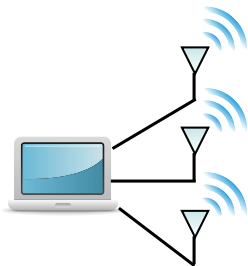
- Warm-up: Compute-and-forward over finite field channels.
- Compute-and-forward over Gaussian channels.
- Applications to communication across single-hop Gaussian networks.

### MIMO Channels



Joint work with Jiening Zhan, Uri Erez, and Michael Gastpar.





- Increasing the number of antennas in a wireless system can significantly increase its capacity.  
**Foschini '96, Foschini and Gans '98, Telatar '99.**
- Enormous body of work has strived to develop receiver architectures that can approach these capacity gains with manageable complexity.

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We propose a new class of **Integer-Forcing Linear Receivers**.

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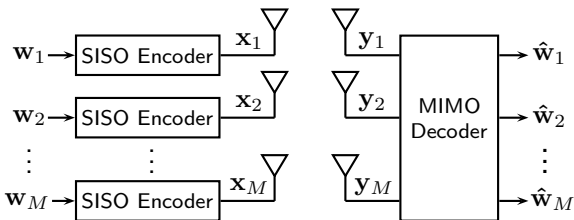
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- Does this help beyond **integer-valued** channel matrices?

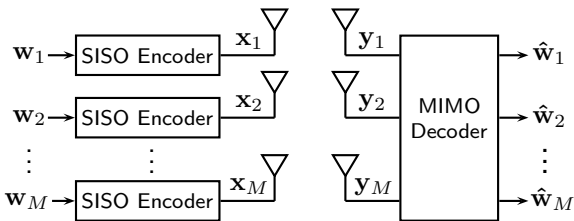
## MIMO Problem Statement



- Each antenna has an independent data stream  $\mathbf{x}_\ell \in \mathbb{R}^n$  of rate  $R$  (e.g., V-BLAST setting, cellular uplink).  $\mathbf{X} = [\mathbf{x}_1 \ \dots \ \mathbf{x}_M]^T$ .
- Channel model:  $\mathbf{Y} = \mathbf{H}\mathbf{X} + \mathbf{Z}$  where  $\mathbf{Z}$  is elementwise i.i.d.  $\mathcal{N}(0, 1)$ .
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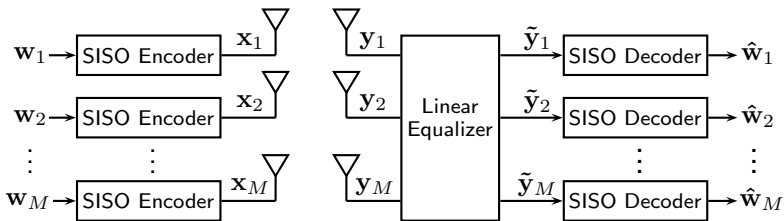


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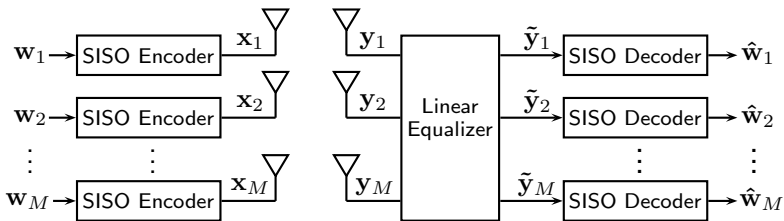
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- **Joint maximum likelihood decoding** is optimal but has high implementation complexity.

## Zero-Forcing Linear Receivers



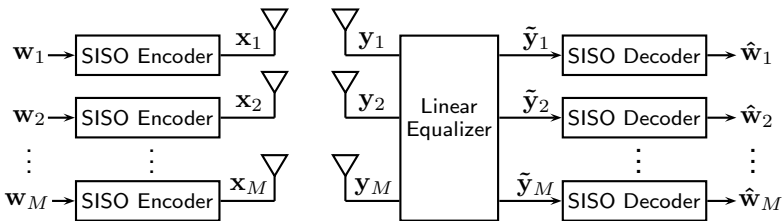
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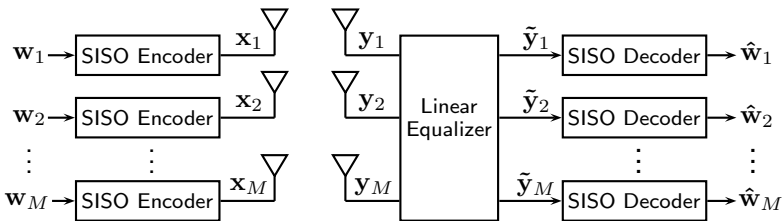
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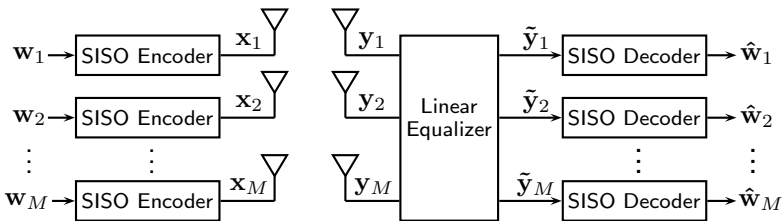
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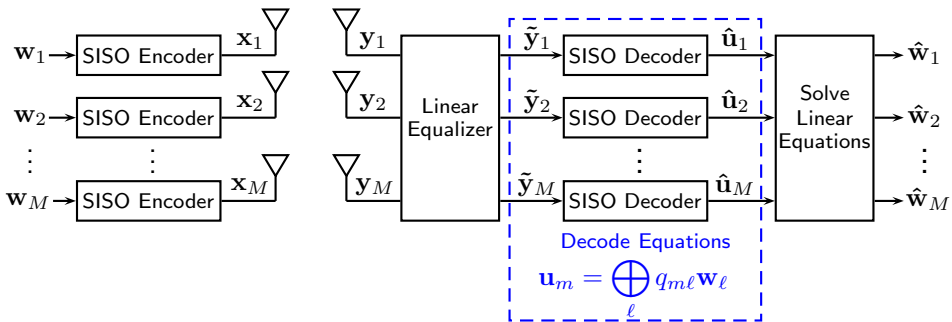
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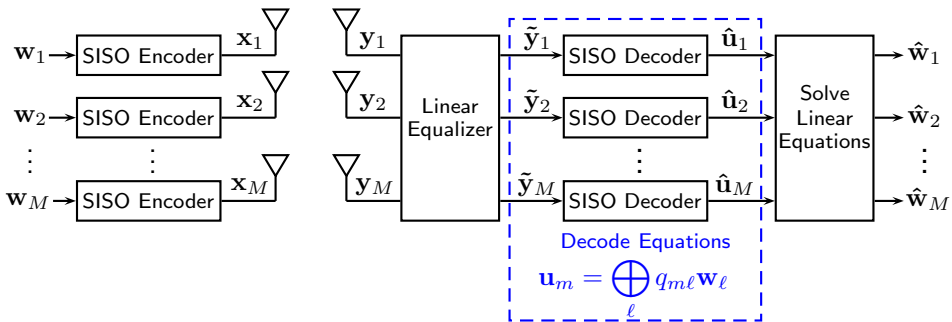
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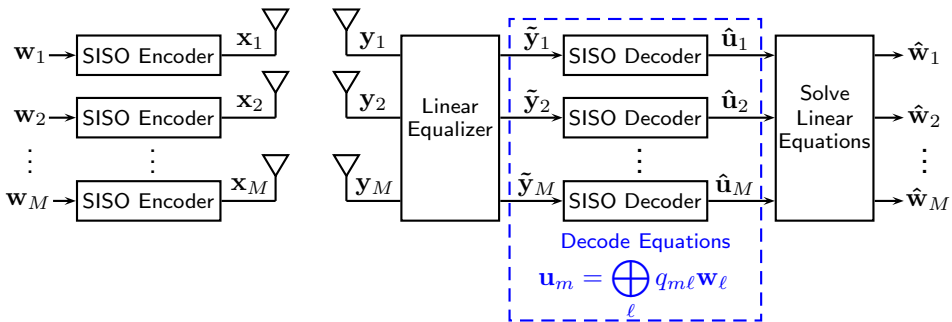
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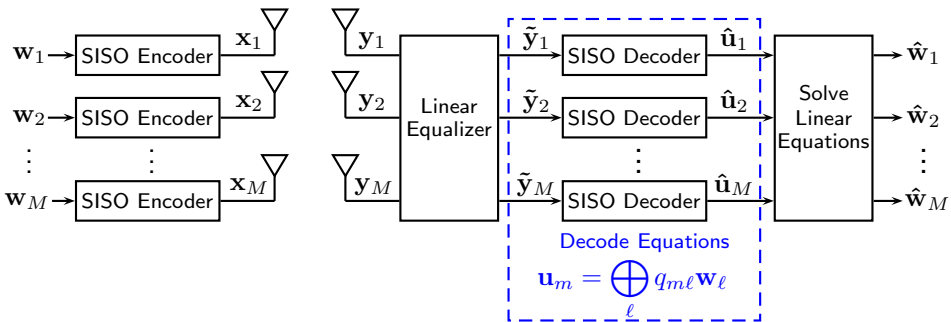


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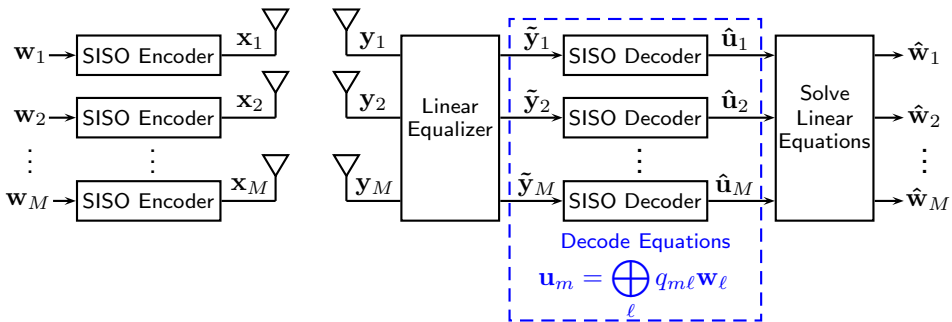
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## Integer-Forcing Linear Receivers



- **Integer-Forcing:** Project the received signal,  $\tilde{\mathbf{Y}} = \mathbf{B}\mathbf{Y}$ , to create an **integer-valued** effective channel matrix.
- Ex: If  $\mathbf{H}$  is full rank, set  $\mathbf{B} = \mathbf{A}\mathbf{H}^{-1}$  to get  $\tilde{\mathbf{Y}} = \mathbf{A}\mathbf{X} + \mathbf{A}\mathbf{H}^{-1}\mathbf{Z}$ .
- Optimize over  $\mathbf{A} \in \mathbb{Z}^{M \times M}$  to minimize effective noise.
- Optimal  $\mathbf{B}$  is the MMSE projection:  $\mathbf{B} = \mathbf{A}\mathbf{H}^T (\mathbf{P}^{-1}\mathbf{I} + \mathbf{H}\mathbf{H}^T)^{-1}$ .
- Includes **zero-forcing** by setting  $\mathbf{A} = \mathbf{I}$ .

## MIMO Compute-and-Forward

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*The computation rate region described by*

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- V-BLAST III: Decodes and cancel the data streams in a predetermined order. The rate of each data stream is selected to maximize the sum rate. (Outside problem statement.)

## Simulation: Outage Rates

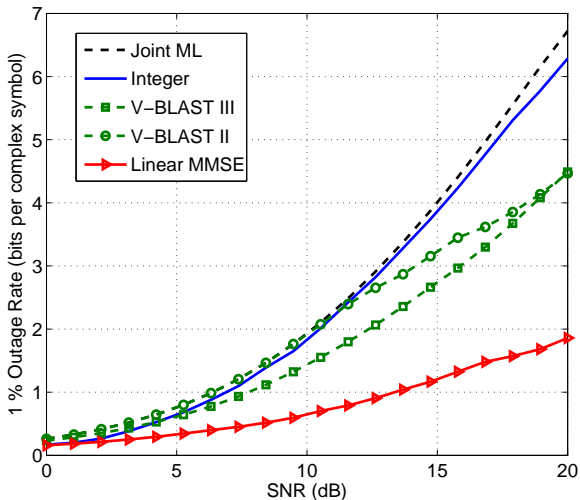
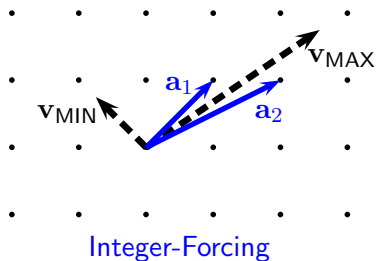


Figure: 1 percent outage rates for the  $2 \times 2$  complex-valued MIMO channel with Rayleigh fading.

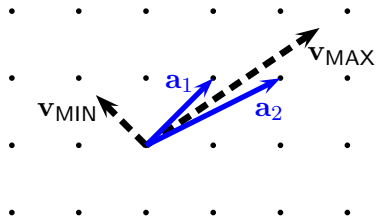
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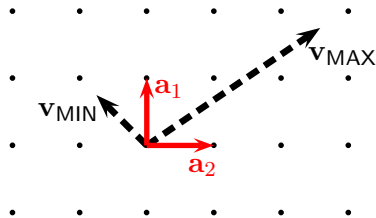


# Integer-Forcing Geometry

- **Integer-forcing** can adapt to the channel by choosing a basis (of integer vectors) close to the maximum singular vector.
- **Zero-forcing** implicitly decodes using the standard basis.



Integer-Forcing



Zero-Forcing

- **Zheng-Tse '03:** A family of codes is said to achieve spatial **multiplexing gain**  $r$  and **diversity gain**  $d$  if the total data rate and the average probability of error satisfy

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- **Zhan-Nazer-Erez-Gastpar '14:** Integer-forcing can attain the **optimal DMT** while conventional linear receivers cannot.

## Diversity-Multiplexing Tradeoff

- **Zheng-Tse '03:** The DMTs achieved by the **zero-forcing**, **linear MMSE**, and **successive interference cancellation** architectures are

$$d_{\text{ZF}}(r) = d_{\text{LMMSE}}(r) = d_{\text{V-BLAST I}}(r) = \left(1 - \frac{r}{M}\right)$$

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$d_{\text{V-BLAST III}}(r) =$  piecewise linear curve connecting points  $(r_k, n - k)$

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- **Zhan-Nazer-Erez-Gastpar '14:** **Integer-forcing** recovers the optimal DMT for  $N \geq M$  receive antennas:

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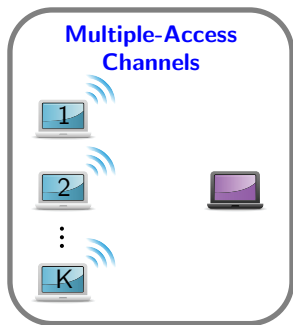
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- **Ordentlich-Erez-Nazer '13**: Framework for IF-SIC and exact optimality proof.

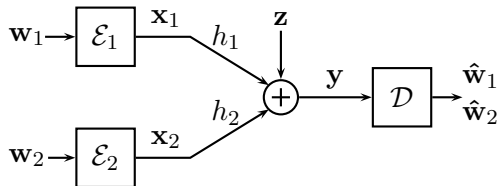
- Warm-up: Compute-and-forward over finite field channels.
- Compute-and-forward over Gaussian channels.
- Applications to communication across single-hop Gaussian networks.



Joint work with Or Ordentlich and Uri Erez.

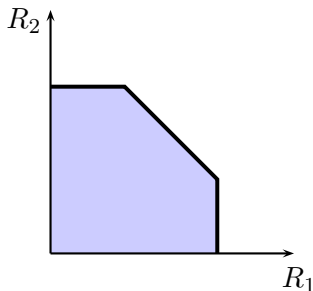
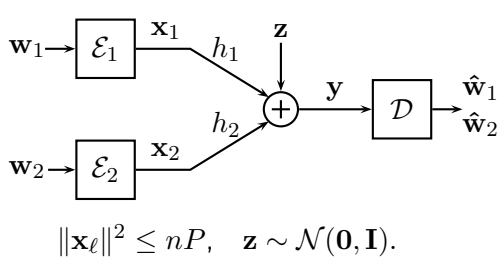


## Gaussian Multiple-Access Channel



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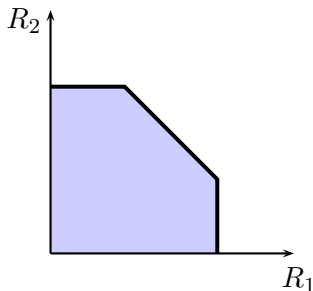
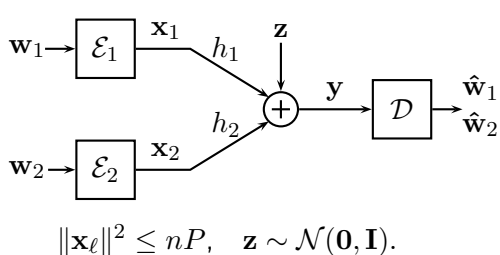
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The capacity region is the set of all rate pairs  $(R_1, R_2)$  satisfying:

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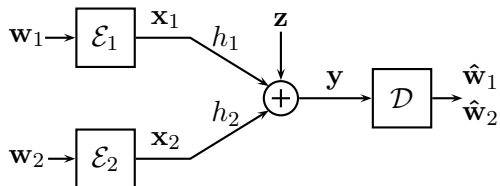
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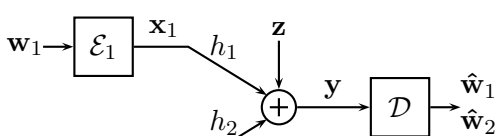
Achievable via joint decoding.

## Successive Cancellation

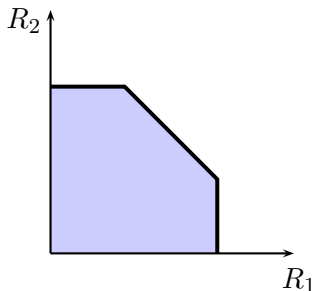


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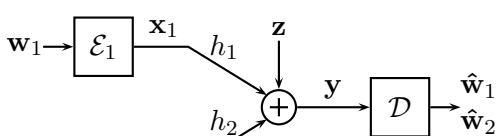


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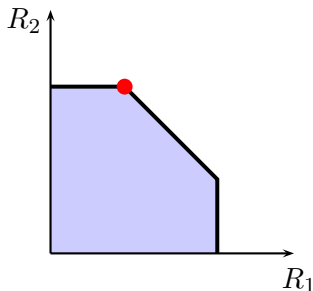


- Treat  $\mathbf{x}_2$  as noise and decode  $\mathbf{x}_1$ ,  $R_1 < \frac{1}{2} \log \left( 1 + \frac{h_1^2 P}{1 + h_2^2 P} \right)$ .

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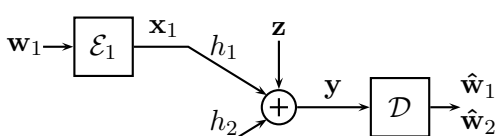


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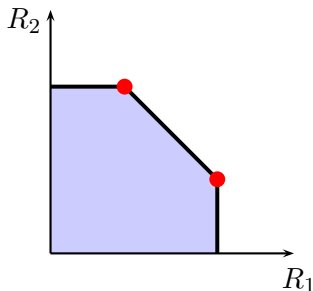


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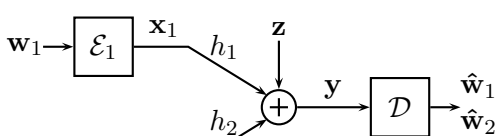


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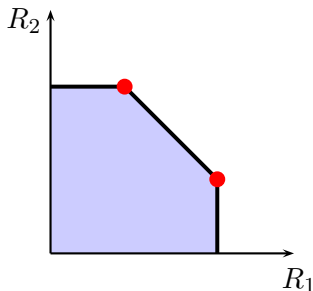


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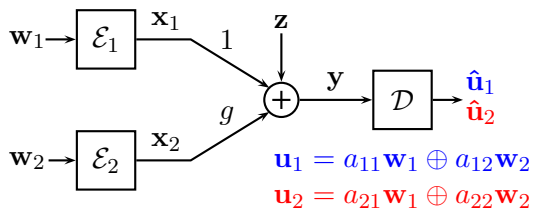
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- Achieves capacity when combined with time-sharing or rate-splitting (Rimoldi-Urbanke '96).

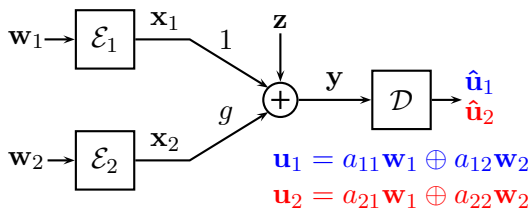


## Two Linear Combinations

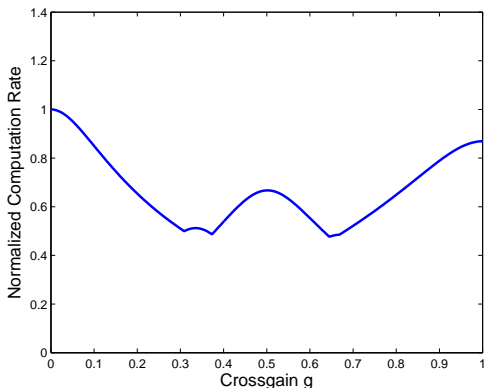


- Decode one linear combination.
- Plot rate normalized by the MAC sum rate  $\frac{1}{2} \log(1 + (1 + g^2)P)$ .

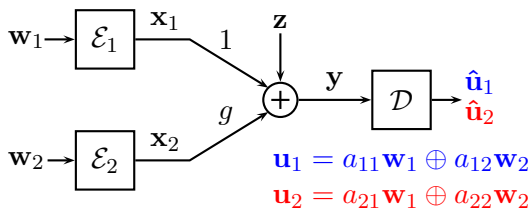
## Two Linear Combinations



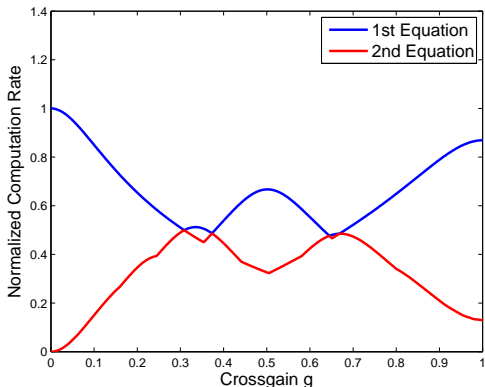
- Decode one linear combination.
- Plot rate normalized by the MAC sum rate  $\frac{1}{2} \log(1 + (1 + g^2)P)$ .



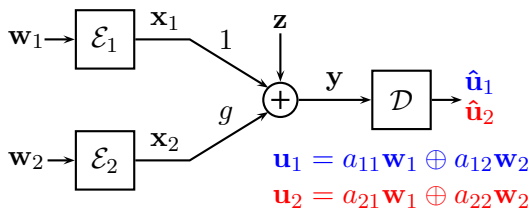
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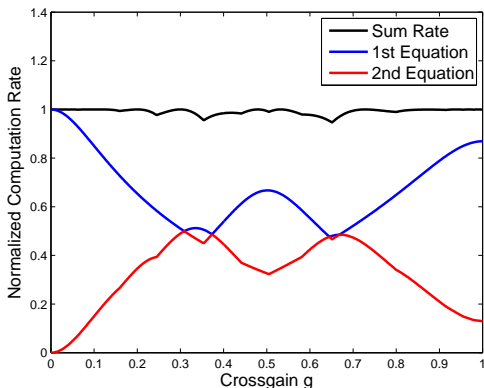
- Decode *two linearly independent* linear combinations.
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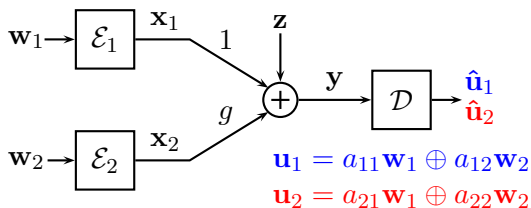
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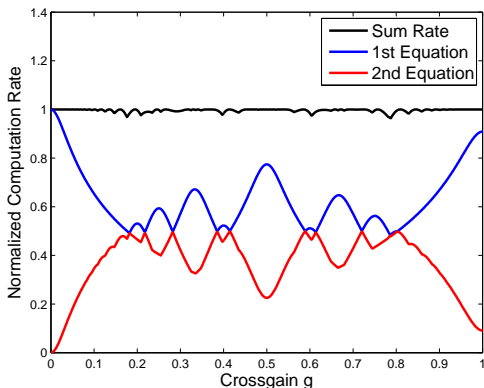
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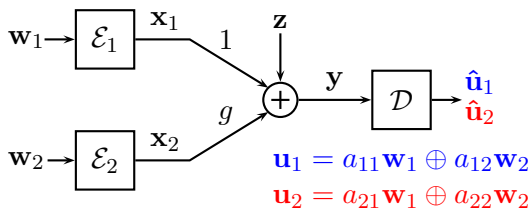
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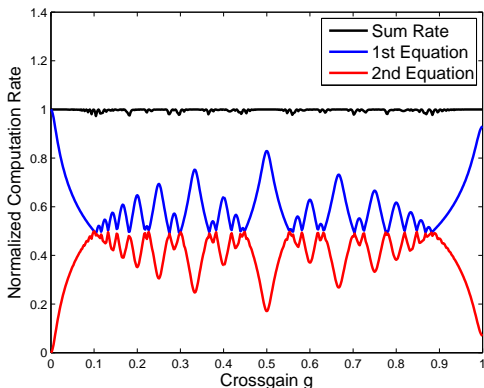
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## Two Linear Combinations



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## Sum of Computation Rates

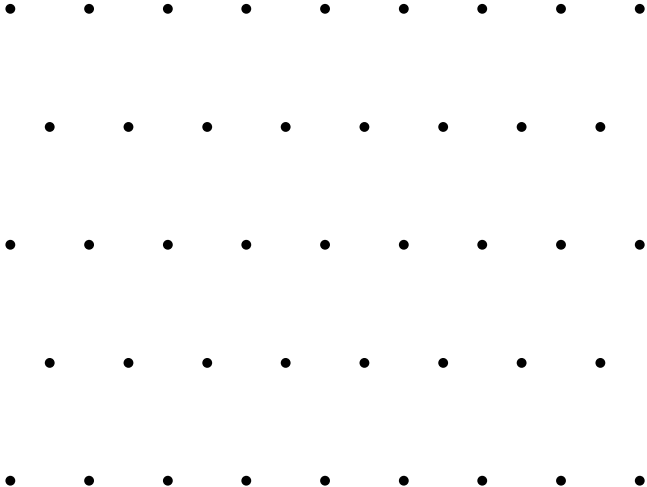
- Looks as if the sum of computation rates is **nearly equal to the MAC sum capacity**. Why is this happening?
- Let  $\mathbf{F} = (P^{-1/2}\mathbf{I} + \mathbf{h}\mathbf{h}^\top)^{-1/2}$ . Then, each computation rate can be written as

$$R_{\text{comp}}(\mathbf{h}, \mathbf{a}_k) = \frac{1}{2} \log^+ \left( \frac{P}{\|\mathbf{F} \mathbf{a}_k\|^2} \right).$$

- Thus, **decoding the best linear combinations** is the same as finding the **successive minima**  $\lambda_k(\mathbf{F})$  for the lattice  $\Lambda(\mathbf{F}) = \mathbf{F}\mathbb{Z}^K$ :

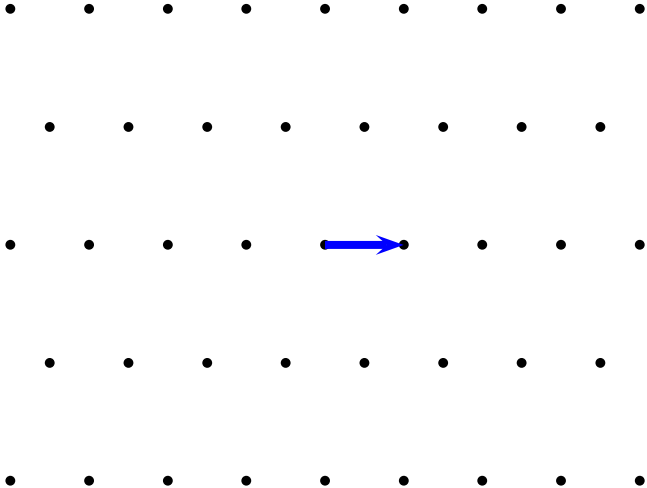
$$\lambda_k(\mathbf{F}) \triangleq \inf \left\{ r : \dim \left( \text{span} \left( \Lambda(\mathbf{F}) \cap \mathcal{B}(\mathbf{0}, r) \right) \right) \geq k \right\}.$$

# Successive Minima

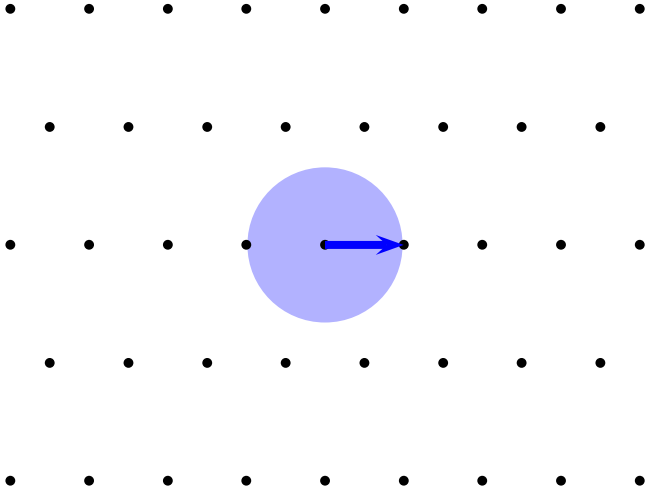




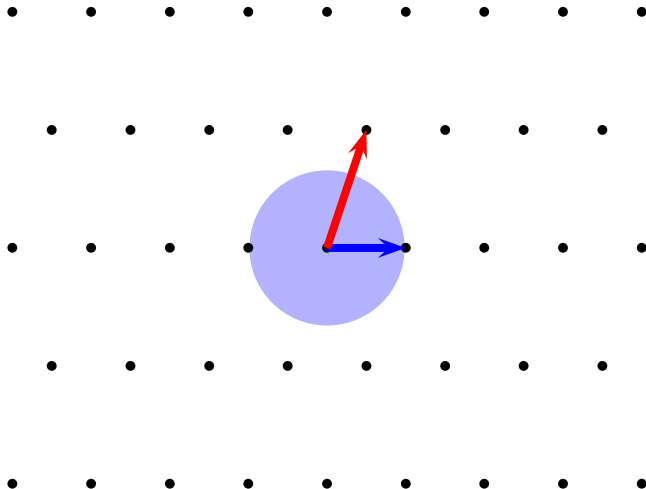
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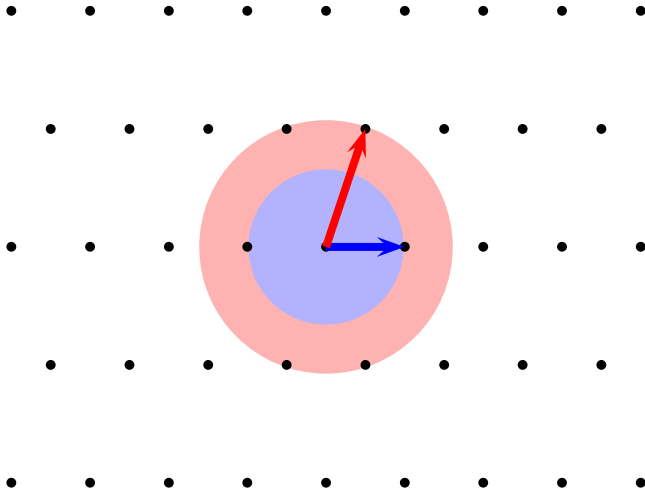
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## Theorem (Minkowski)

Let  $\Lambda(\mathbf{F})$  be a lattice spanned by a full-rank  $K \times K$  matrix  $\mathbf{F}$ . Its successive minima  $\lambda_k(\mathbf{F})$  satisfy

$$\prod_{k=1}^K \lambda_k^2(\mathbf{F}) \leq K^K |\det(\mathbf{F})|^2 .$$

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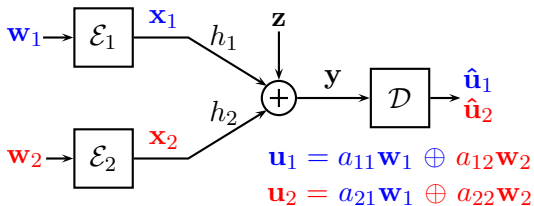
$$\prod_{k=1}^K \lambda_k^2(\mathbf{F}) \leq K^K |\det(\mathbf{F})|^2 .$$

### Theorem (Ordentlich-Erez-Nazer '14)

For any channel vector  $\mathbf{h} \in \mathbb{R}^K$ , there exist linearly independent integer vectors  $\mathbf{a}_1, \dots, \mathbf{a}_K \in \mathbb{Z}^K$  satisfying

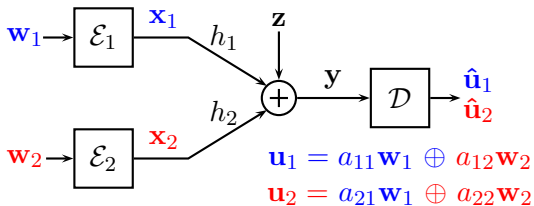
$$\sum_{k=1}^K R_{\text{comp}}(\mathbf{h}, \mathbf{a}_k) \geq \frac{1}{2} \log(1 + \|\mathbf{h}\|^2 \text{SNR}) - \frac{K}{2} \log K .$$

## Operational Interpretation: Multiple-Access



- Order linear combinations by descending computation rate.
- Associate each computation rate to a message.

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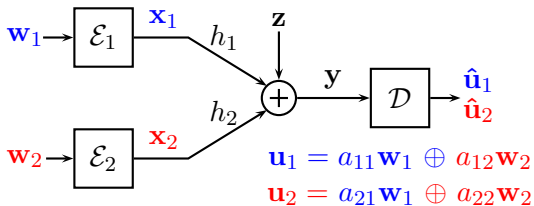


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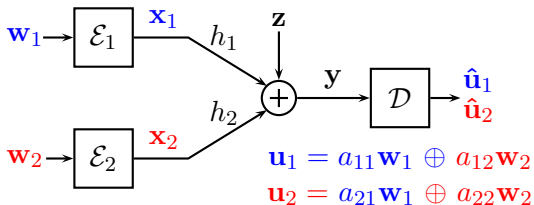


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### Theorem (Ordentlich-Erez-Nazer '14)

For any linearly independent integer vectors  $\mathbf{a}_1, \dots, \mathbf{a}_K \in \mathbb{Z}^K$ , there exists a permutation  $\pi$  such that the following rates are achievable:

$$R_\ell = R_{\text{comp}, \pi(\ell)} \cdot$$

## *(Algebraic) Successive Cancellation*

- After decoding the **first linear combination**, the receiver knows

$$\mathbf{v}_1 = [a_{11}\mathbf{t}_1 + a_{12}\mathbf{t}_2] \bmod \Lambda_C .$$

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- The effective channel for the **second linear combination** is

$$\tilde{\mathbf{y}}_2 = [a_{21}\mathbf{t}_1 + a_{22}\mathbf{t}_2 + \mathbf{z}_{\text{effec}}(\mathbf{h}, \mathbf{a}_2)] \bmod \Lambda_C .$$

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$$\begin{aligned} \tilde{\mathbf{y}}_2^{\text{SI}} &= [\mathbf{s}_2 - b_1\mathbf{v}_1] \bmod \Lambda_C \\ &= [(a_{22} - b_1a_{12})\mathbf{t}_2 + \mathbf{z}_{\text{effec}}(\mathbf{h}, \mathbf{a}_2)] \bmod \Lambda_C . \end{aligned}$$

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- Now, the receiver can decode since  $R_2 < R_{\text{comp}}(\mathbf{h}, \mathbf{a}_2)$ .

## Using One Linear Combination to Get Another

- **Basic Idea:** After decoding the first linear combination with coefficients  $\mathbf{a}$ , we should **create a new effective channel** with coefficients  $\mathbf{h} + \beta\mathbf{a}$  to make it easier to decode the second linear combination.
- We need the **real sum** of codewords  $\sum_{\ell} a_{\ell}\mathbf{x}_{\ell}$ .
- **Issue:** Our decoding scheme recovers the **modulo sum** of lattice points  $[\sum_{\ell} a_{\ell}\mathbf{t}_{\ell}] \bmod \Lambda_{\mathbf{C}}$  on the way to the linear combination of messages, not the **real sum**.

## Successive Computation

- So far, we have only decoded a modulo sum of the lattice points:

$$\left[ \sum_{\ell} a_{\ell} \mathbf{t}_{\ell} \right] \bmod \Lambda_{\mathbf{C}} .$$



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- Subtract this from  $\mathbf{y}$  to expose the **coarse lattice point** nearest to the **real sum**:

$$\mathbf{y} - \left[ \sum_{\ell} a_{\ell} \mathbf{x}_{\ell} \right] \bmod \Lambda_{\mathbf{C}} = Q_{\Lambda_{\mathbf{C}}} \left( \sum_{\ell} a_{\ell} \mathbf{x}_{\ell} \right) + \sum_{\ell} (h_{\ell} - a_{\ell}) \mathbf{x}_{\ell} + \mathbf{z}$$

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- **Coarse lattice point** easier to decode than fine lattice point:

$$Q_{\Lambda_{\mathbf{C}}} \left( Q_{\Lambda_{\mathbf{C}}} \left( \sum_{\ell} a_{\ell} \mathbf{x}_{\ell} \right) + \sum_{\ell} (h_{\ell} - a_{\ell}) \mathbf{x}_{\ell} + \mathbf{z} \right) = Q_{\Lambda_{\mathbf{C}}} \left( \sum_{\ell} a_{\ell} \mathbf{x}_{\ell} \right) \quad \text{w.h.p.}$$

## Successive Computation

- **Modulo sum** is just the quantization error of the **real sum** with respect to the coarse lattice.
- Combine the **modulo sum** with the **quantized sum** to get back the **real sum**:

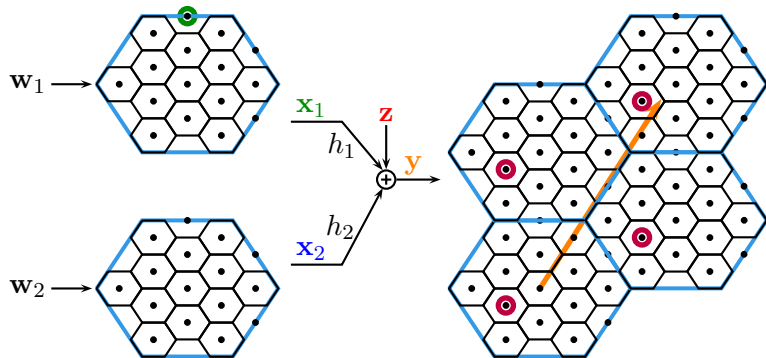
$$\left[ \sum_{\ell} a_{\ell} \mathbf{x}_{\ell} \right] \bmod \Lambda_C + Q_{\Lambda_C} \left( \sum_{\ell} a_{\ell} \mathbf{x}_{\ell} \right) = \sum_{\ell} a_{\ell} \mathbf{x}_{\ell}$$

### Lemma

*In the compute-and-forward framework, if you can recover the modulo sum, you can also recover the real sum (with high probability).*

## Successive Computation Illustration

We have the **modulo sum**.



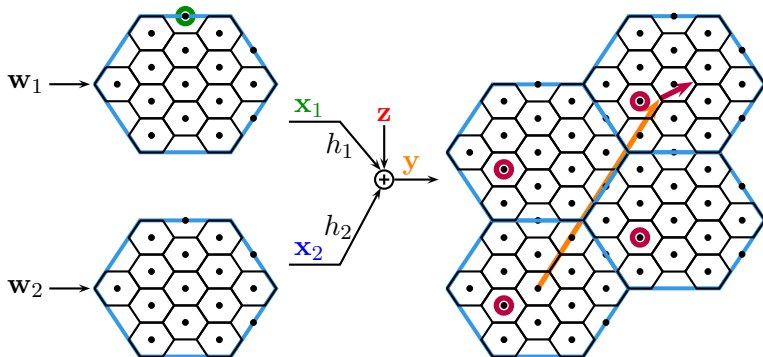
$$\alpha \mathbf{h} = [ \alpha 1.4 \quad \alpha 2.1 ]$$

$$\mathbf{a} = [ 2 \quad 3 ]$$

$$\text{Effective noise: } \alpha^2 + \text{SNR} \|\alpha \mathbf{h} - \mathbf{a}\|^2$$

## Successive Computation Illustration

Subtract **modulo sum** from the received signal.



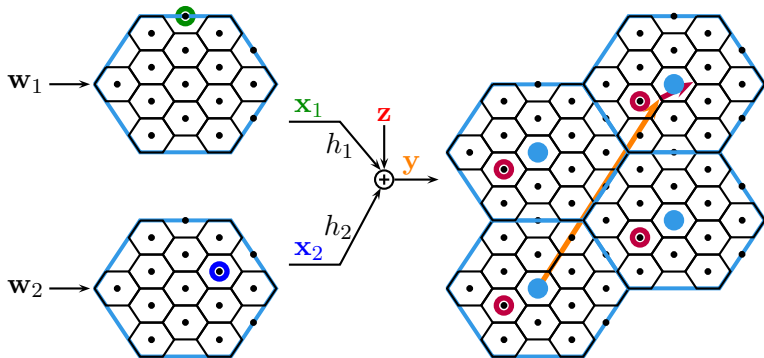
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## Successive Computation Illustration

Decode to the closest **coarse lattice point**.



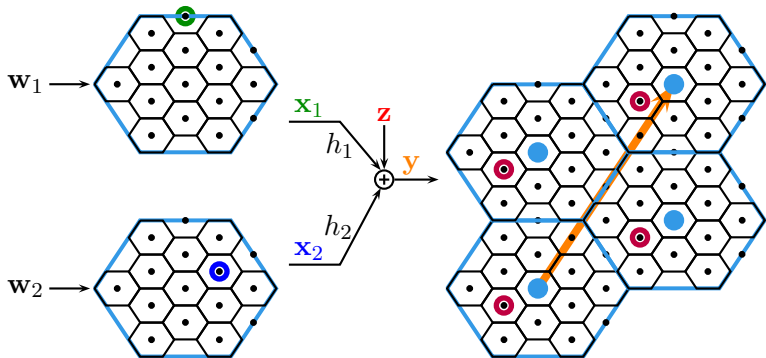
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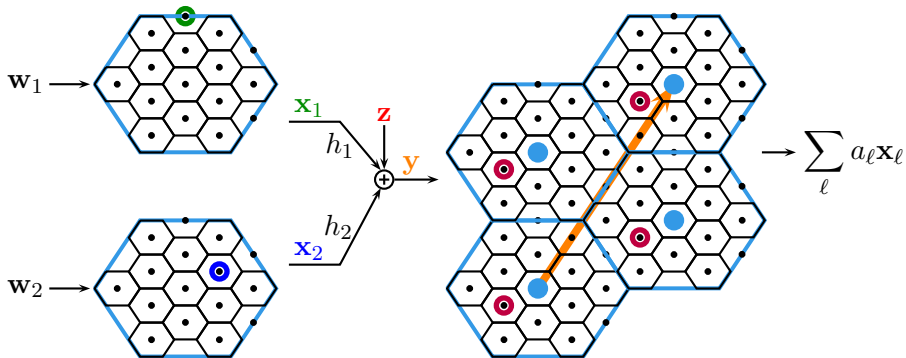
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## Successive Computation Illustration

Now we can infer the real sum.



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## Successive Computation

- Receiver observes  $\mathbf{y} = \sum_{\ell=1}^L h_{\ell} \mathbf{x}_{\ell} + \mathbf{z}$

Successive cancellation:

- Decode  $\mathbf{x}_i$ .
- Calculate  $\mathbf{y} - h_i \mathbf{x}_i$ .
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### Successive computation:

- Decode  $\sum_{\ell=1}^L a_{\ell} \mathbf{x}_{\ell}$ .
- Calculate  $\mathbf{y} + \beta \sum_{\ell=1}^L a_{\ell} \mathbf{x}_{\ell}$ .
- Receiver now has

$$\sum_{\ell=1}^L (h_{\ell} + \beta a_{\ell}) \mathbf{x}_{\ell} + \mathbf{z}$$

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- Define the *successive effective noise variance*

$$\sigma_{\text{eff}}^2(\mathbf{h}, \mathbf{a}_m | \mathbf{a}_1, \dots, \mathbf{a}_{m-1}) = \|\mathbf{C}_m^\perp \mathbf{F} \mathbf{a}_m\|^2$$

where  $\mathbf{F} = (P^{-1} \mathbf{I} + \mathbf{h} \mathbf{h}^\top)^{-1/2}$  and  $\mathbf{C}_m^\perp$  is the projection matrix for the nullspace of  $\mathbf{F}[\mathbf{a}_1 \ \dots \ \mathbf{a}_{m-1}]$ .

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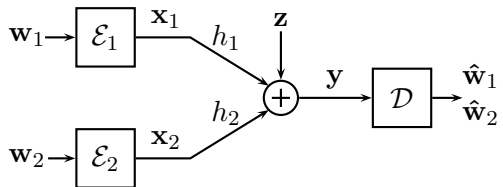
### Theorem (Ordentlich-Erez-Nazer Allerton '13)

For any unimodular integer matrix  $\mathbf{A} = [\mathbf{a}_1 \ \dots \ \mathbf{a}_K]^\top \in \mathbb{Z}^{K \times K}$  with descending successive effective noise variances, we have that

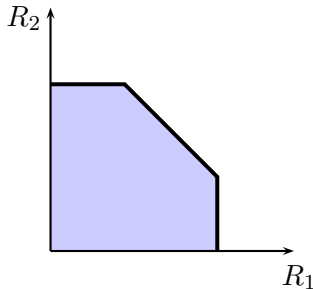
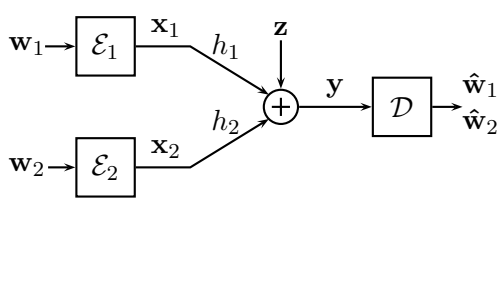
$$\sum_{m=1}^K \frac{1}{2} \log^+ \left( \frac{P}{\sigma_{\text{eff}}^2(\mathbf{h}, \mathbf{a}_m | \mathbf{a}_1, \dots, \mathbf{a}_{m-1})} \right) = \frac{1}{2} \log (1 + \|\mathbf{h}\|^2 P) .$$

Moreover, there exists at least one permutation  $\pi$  that associates each user's rate to a computation rate.

## Multiple-Access via Computation



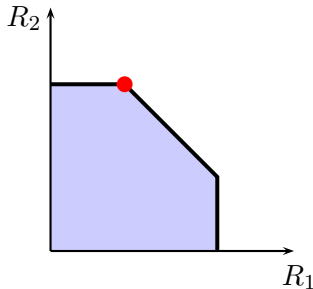
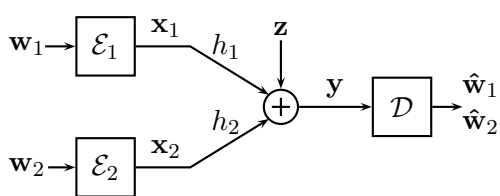
## Multiple-Access via Computation



- **Successive cancellation** (without time-sharing or rate-splitting) achieves corner points.

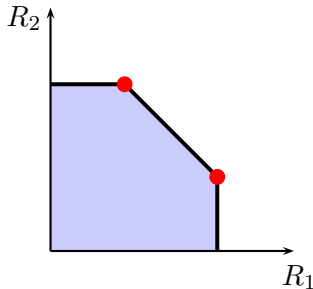
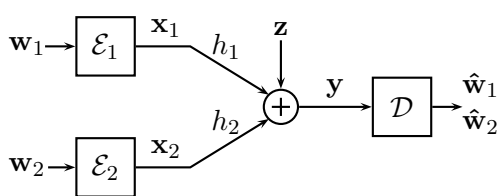


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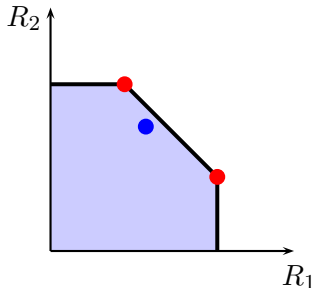
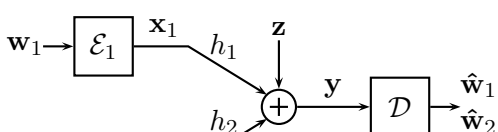
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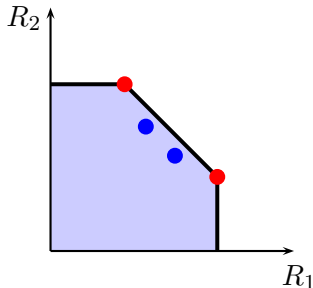
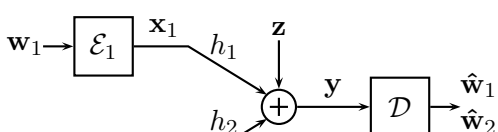
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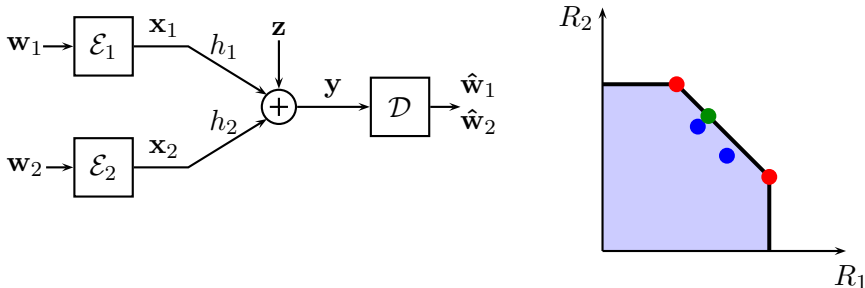
- **Successive cancellation** (without time-sharing or rate-splitting) achieves corner points.
- **Compute-and-forward** achieves another set of points near the sum rate boundary. Often closer to the symmetric capacity.

## Multiple-Access via Computation



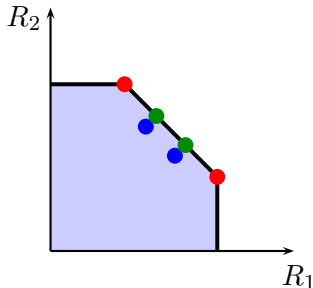
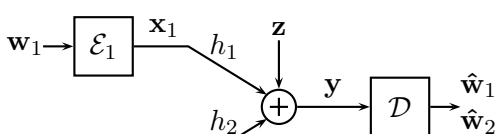
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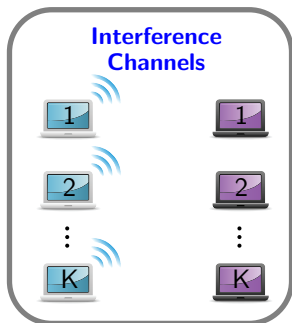
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## Road Map

- Warm-up: Compute-and-forward over finite field channels.
- Compute-and-forward over Gaussian channels.
- Applications to communication across single-hop Gaussian networks.



Joint work with:

Symmetric case: Or Ordentlich and Uri Erez.

Stream-by-stream case: Vasilis Ntranos, Viveck Cadambe, and Giuseppe Caire.

## *Interference-Free Capacity*





# Interference-Free Capacity



# Time Division



⋮



⋮



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⋮



⋮



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⋮

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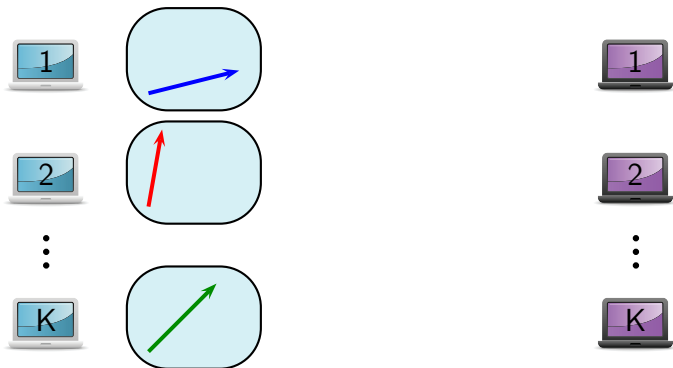


## Interference Alignment



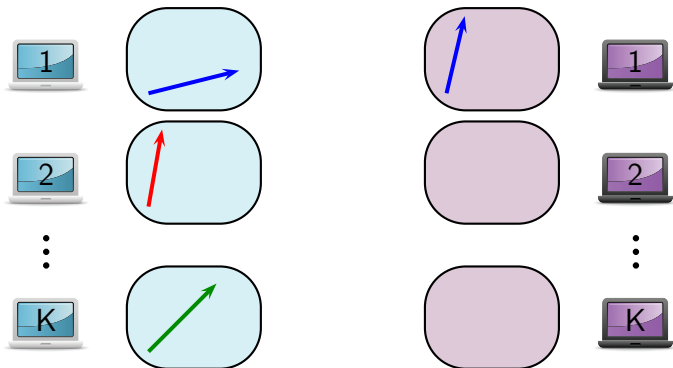
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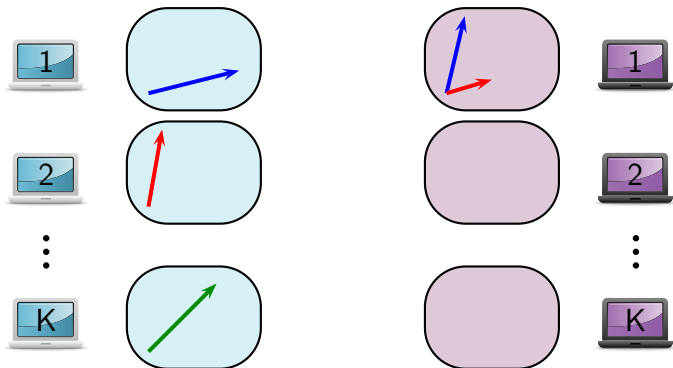
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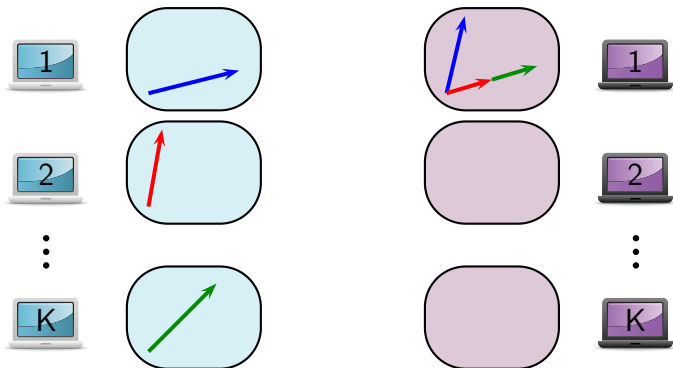


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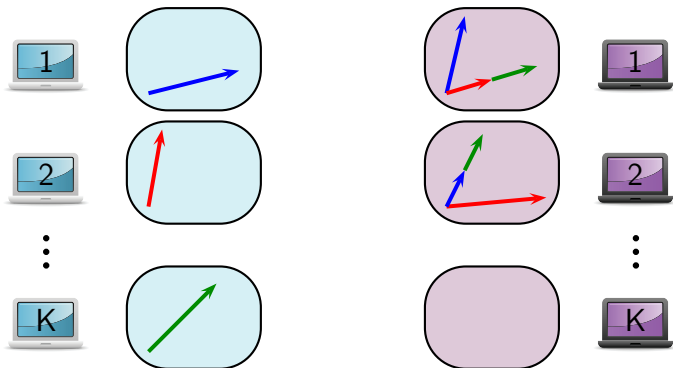
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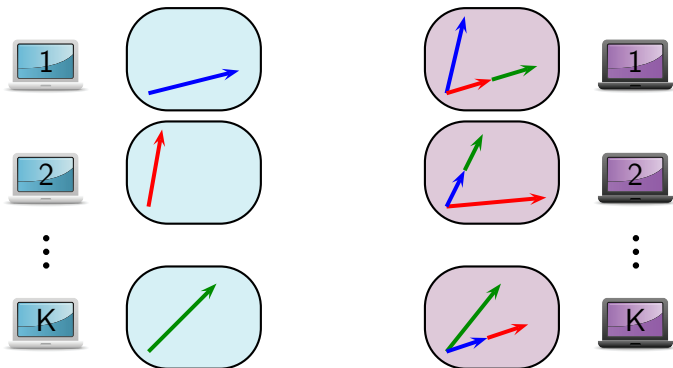
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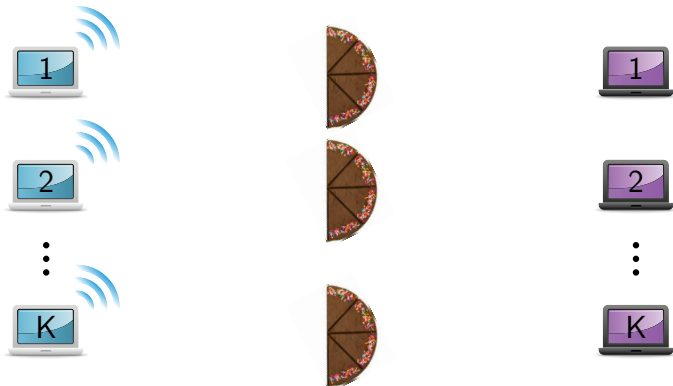
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- **Very high SNR**:
  - **Motahari, Gharan, Maddah-Ali, Khandani '09**: Real alignment. Achieves  $\frac{K}{2}$  DoF over one channel realization using roughly  $2^{K^2}$  codeword layers. **Signal scale alignment.**

### Basic Coding Framework:

- Each transmitter has one or more data streams, each of which is drawn from an **i.i.d. random codebook**.
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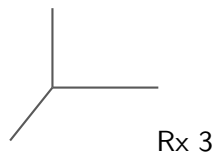
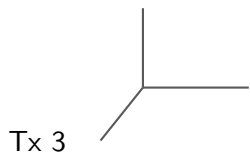
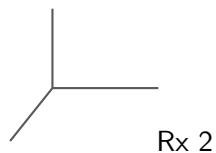
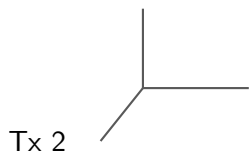
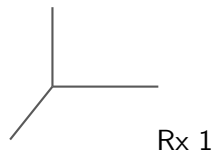
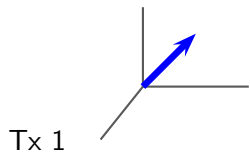
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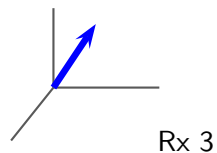
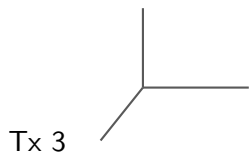
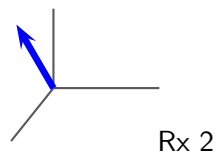
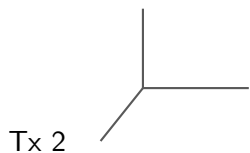
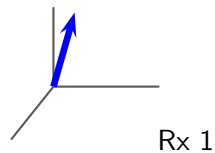
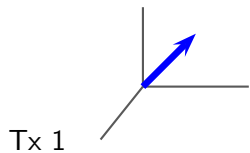
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- May require **enormous channel diversity**.
- May require **high SNR**.

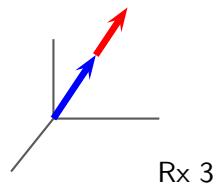
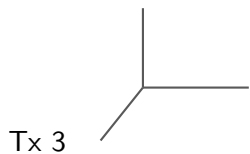
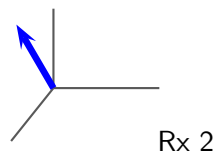
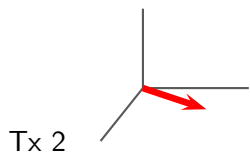
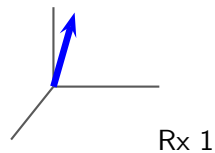
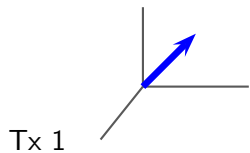
*Example: Cadambe-Jafar '08 over 3 Channel Realizations*



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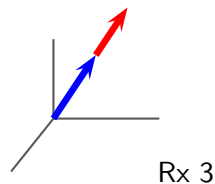
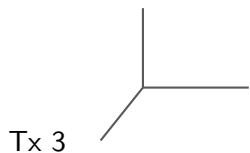
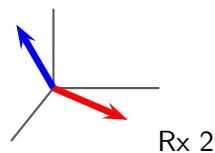
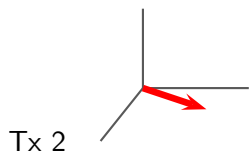
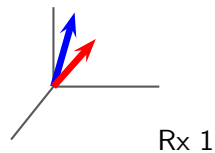
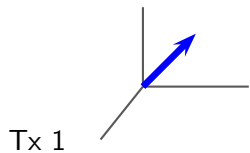


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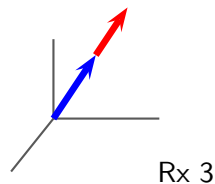
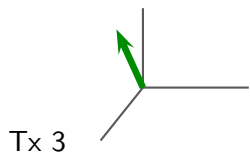
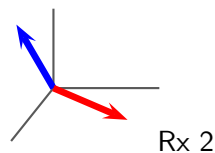
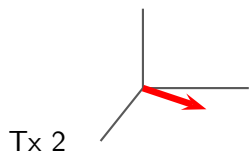
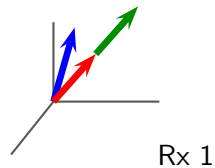
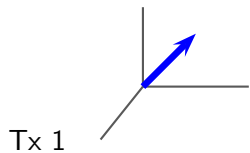




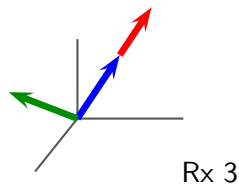
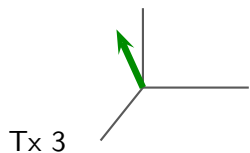
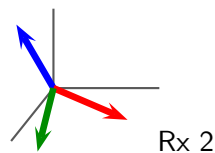
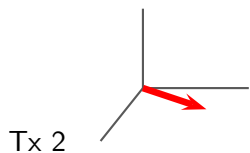
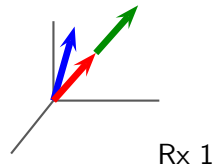
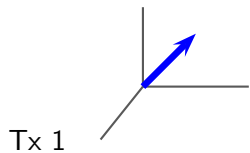
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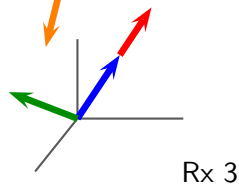
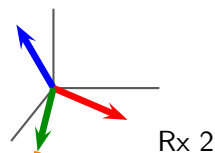
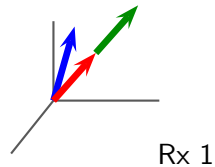
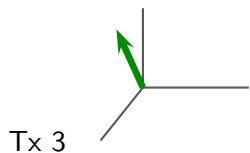
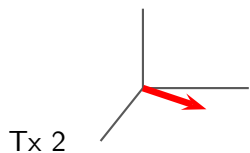
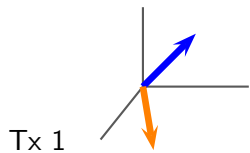
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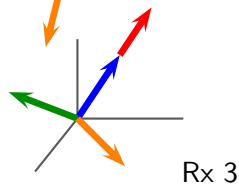
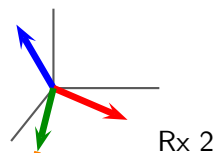
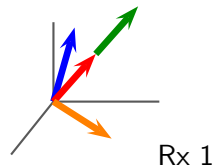
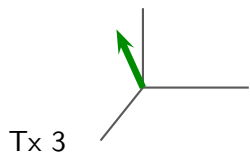
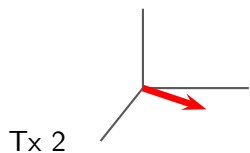
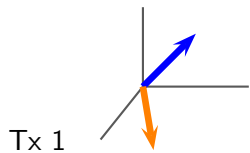
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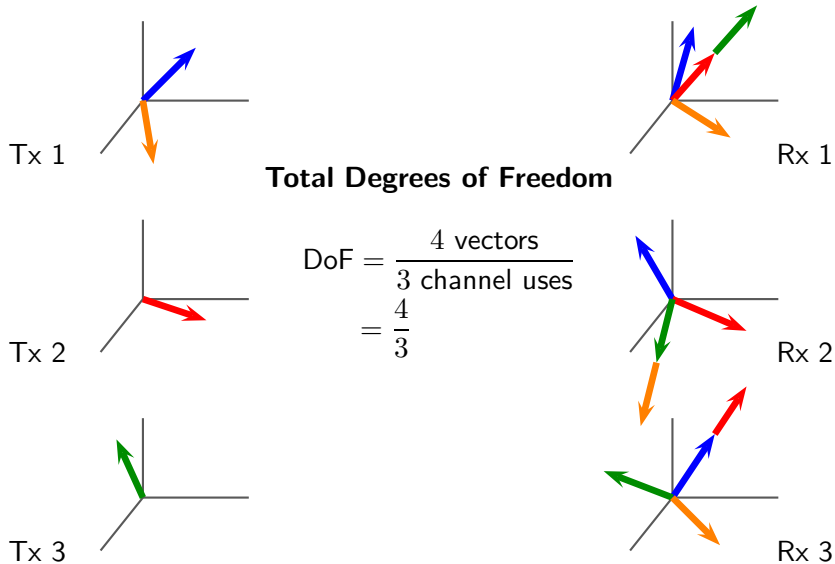
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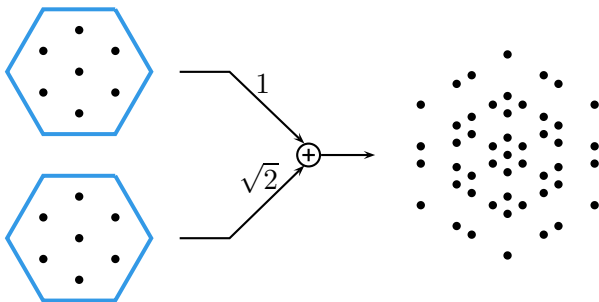
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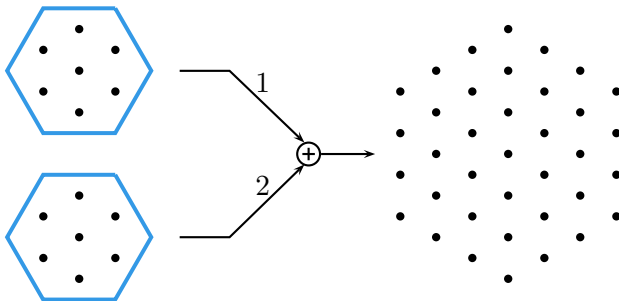
- Seems **extremely sensitive to channel gains**. DoF changes based on rationality/irrationality.
- Seems to require **extremely high SNR**.

## Example: Two-User Lattice Alignment



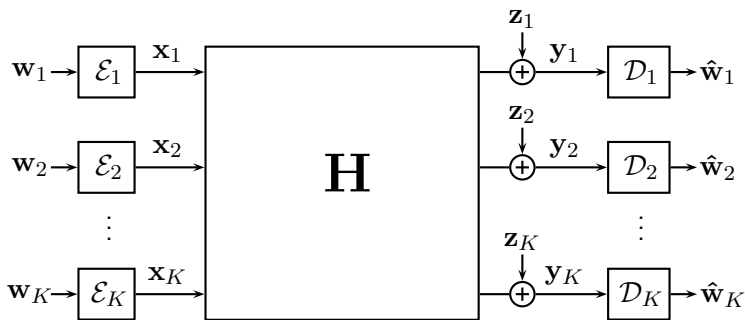
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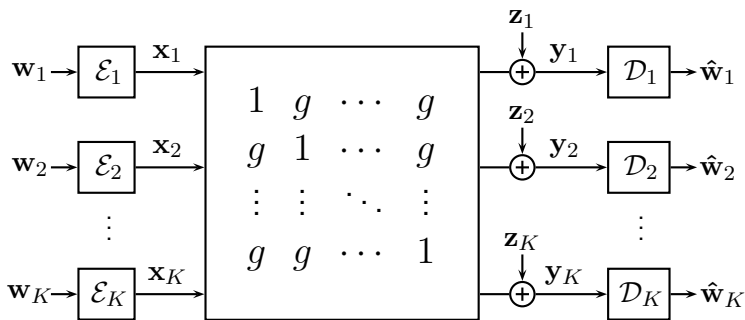
- Two lattice codewords can be recovered from their linear combination if the ratio of the coefficients is **irrational**.
- If the ratio is **rational**, it is not always possible to uniquely identify the pair of codewords.

## Symmetric $K$ -User Gaussian Interference Channel



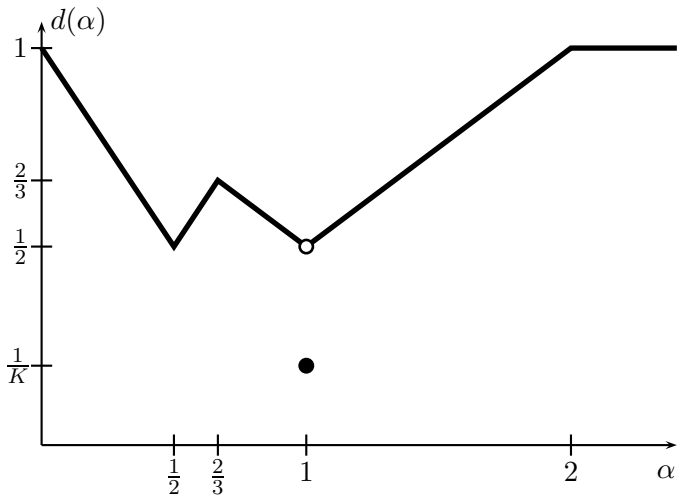
- **Signal space** alignment (e.g., beamforming) is infeasible.
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- At **finite SNR**, the approximate capacity known in some special cases: two-user **Etkin-Tse-Wang '08**, many-to-one and one-to-many **Bresler-Parekh-Tse '10, cyclic Zhou-Yu '13**.

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- Let's look at the symmetric case.

## Generalized Degrees-of-Freedom



- Capacity understood in the high SNR regime. **Jafar-Vishwanath '10.**

$$\alpha = \frac{\log g^2 \text{SNR}}{\log \text{SNR}}$$

$$d(\alpha) = \lim_{\text{SNR} \rightarrow \infty} \frac{R(\text{SNR})}{\frac{1}{2} \log \text{SNR}}$$

## Effective Multiple-Access Channel

- Each receiver sees an effective two-user multiple-access channel,

$$\mathbf{y}_k = \mathbf{x}_k + g \sum_{l \neq k} \mathbf{x}_l + \mathbf{z}_k .$$

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### Successive Cancellation Decoding:

- Decode and subtract **interference**  $\sum_{\ell \neq k} \mathbf{x}_\ell$ , then decode **desired message**.
- Only optimal when the **interference** is very strong, **Sridharan et al. '08**.



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### Joint Decoding:

- Direct analysis is hindered by **dependencies** between codeword pairs.
- Existing work only applies at very high SNR, **Ordentlich-Erez '13**.

## Alignment via Two Equations

- **Ordentlich-Erez-Nazer '14:** Decode two linear combinations:

$$a_1 \mathbf{x}_k + a_2 \sum_{\ell \neq k} \mathbf{x}_\ell \qquad b_1 \mathbf{x}_k + b_2 \sum_{\ell \neq k} \mathbf{x}_\ell$$

using the **compute-and-forward framework** from **Nazer-Gastpar '11**.  
If the coefficients are linearly independent, we can solve for the desired message.

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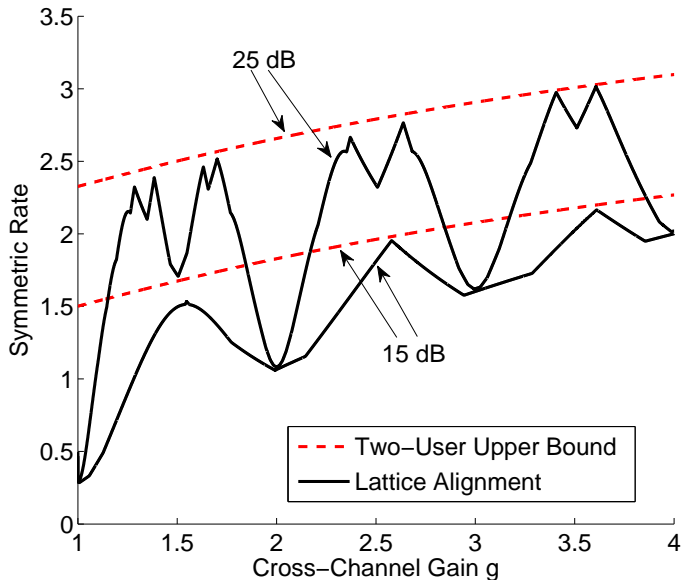
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- Set of “bad rationals” **depends on the SNR**. Only rationals with denominator  $\sqrt{\text{SNR}}$  or smaller cause issues.

# Symmetric $K$ -User Gaussian Interference Channel



## Alignment via Two Equations

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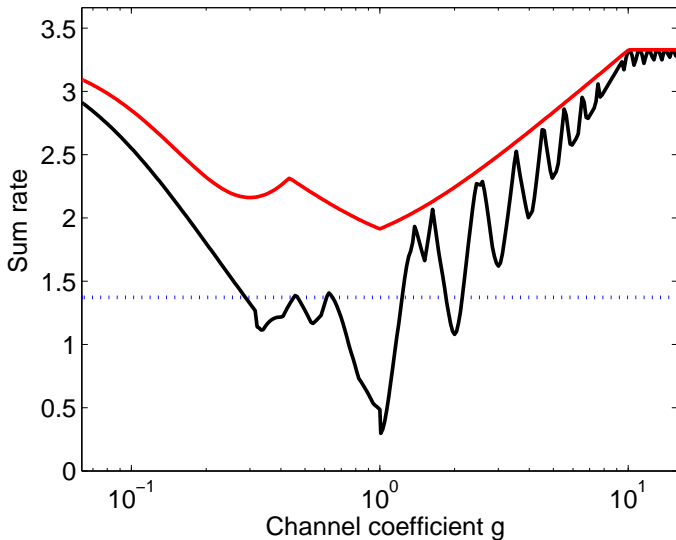
$$\mathbf{y}_k = \mathbf{x}_k + g \sum_{\ell \neq k} \mathbf{x}_\ell + \mathbf{z}_k .$$

- **Ordentlich-Erez-Nazer '14:**

- Noisy Regime: Decode one linear combination.
- Moderately Weak and Weak Regimes: Send public and private lattice codewords. Decode three linear combinations.
- Strong and Very Strong Regime: Decode two linear combinations.

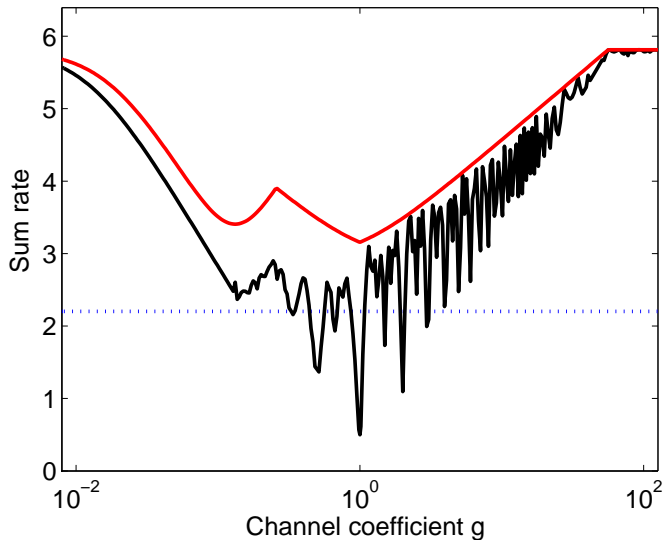
# Symmetric $K$ -User Gaussian Interference Channel

20dB



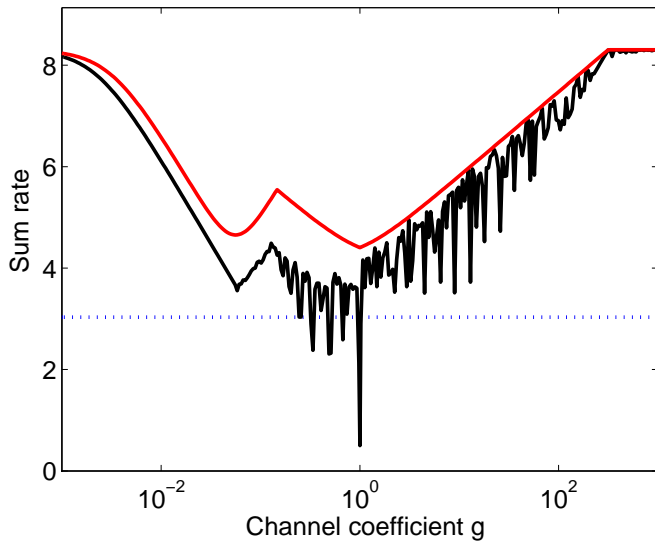
# Symmetric $K$ -User Gaussian Interference Channel

35dB



# Symmetric $K$ -User Gaussian Interference Channel

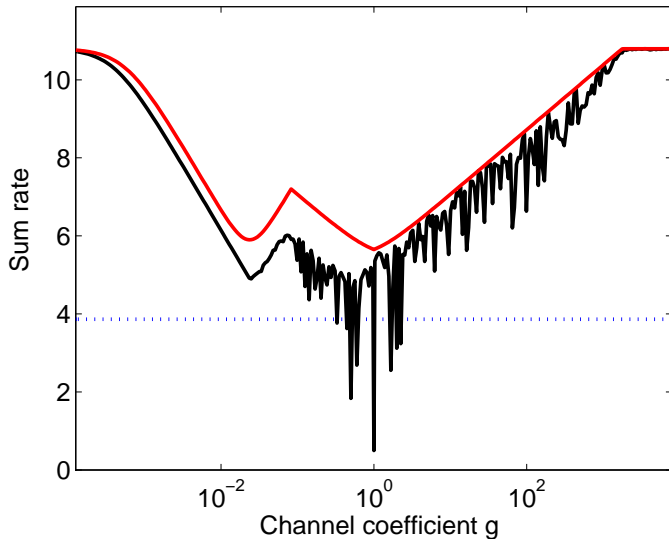
50dB





# Symmetric $K$ -User Gaussian Interference Channel

65dB



## Approximate Capacity Results: Strong Regime

- Using the fact that the sum of the computation rates is nearly equal to the multiple-access sum capacity, we can **approximate the sum capacity** of the symmetric  $K$ -user Gaussian interference channel in all regimes.

$$R_{\text{sym}} > \frac{1}{2} \log (1 + (1 + 2g^2)\text{SNR}) - \max_{\mathbf{a} \in \mathbb{Z}^2} R_{\text{comp}}([1 \ g]^T, \mathbf{a}) - 1$$

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- Via basic results from Diophantine approximation, we can approximate the sum capacity up to an **outage set**.
- Sample Result:** In the strong interference regime,

$$\frac{1}{4} \log^+(g^2 \text{SNR}) - \frac{c}{2} - 3 \leq C_{\text{sym}} \leq \frac{1}{4} \log^+(g^2 \text{SNR}) + 1$$

for all channel gains except for an outage set whose measure is a fraction of  $2^{-c}$  of the interval  $1 < |g| < \sqrt{\text{SNR}}$ , for any  $c > 0$ .

## *Integer-Forcing Interference Alignment*

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- Yields a new achievable rate region for any scenario which employs “stream-by-stream” alignment.

## *Stream-by-Stream Alignment*

### **Problem Setting:**

- Multiple data streams (i.e., codewords)  $\mathbf{s}^{[\ell]} \in \mathbb{C}^T$ , each assigned to its own beamforming vector  $\mathbf{v}^{[\ell]} \in \mathbb{C}^M$ .



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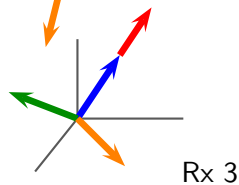
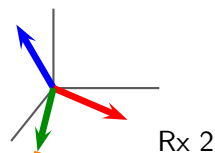
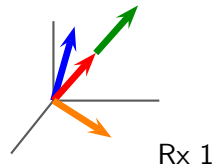
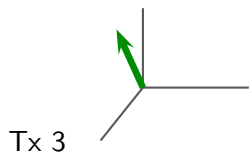
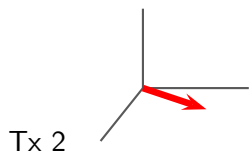
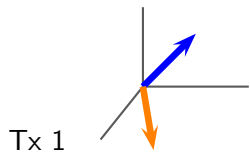
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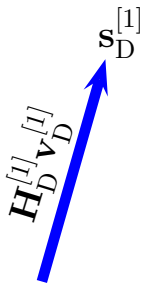
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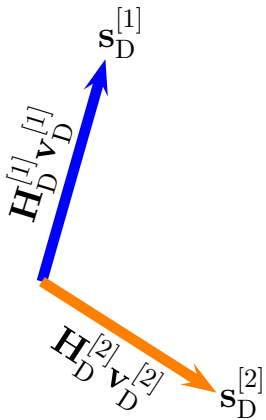
*Example: Cadambe-Jafar '08 over 3 Channel Realizations*



Received Signal

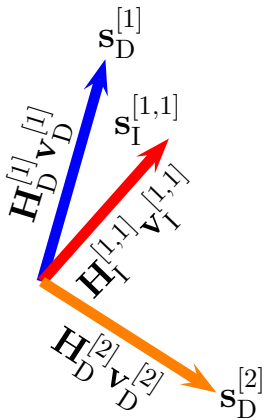

$$\mathbf{s}_D^{[1]} = \mathbf{H}_D^{[1]} \mathbf{v}_D^{[1]}$$

Received Signal

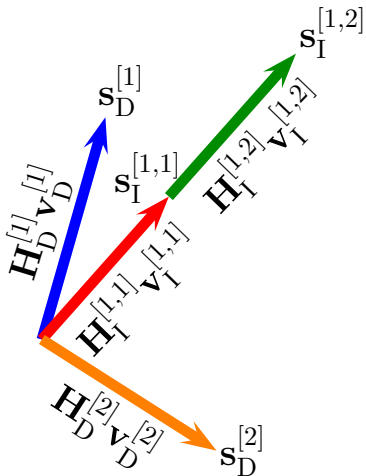




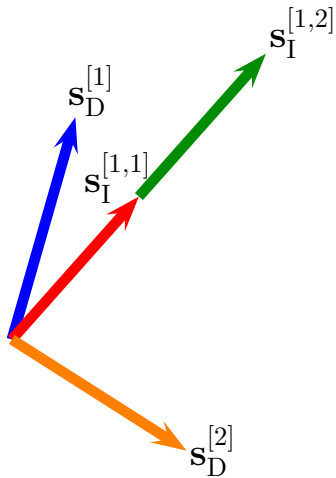
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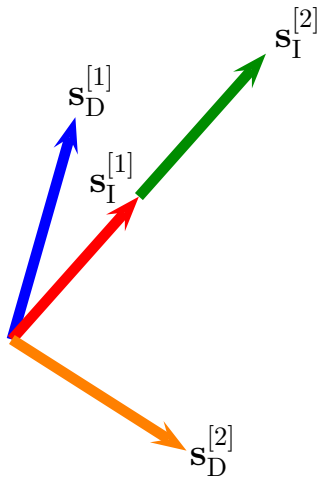
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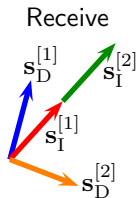
Received Signal



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## Zero-Forcing Decoding

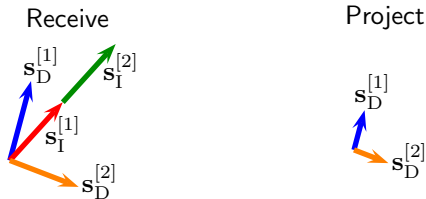


**How should each receiver decoder its desired data streams?**

**Zero-Forcing Interference Alignment:**

- Generate the data streams using **i.i.d. random coding**.

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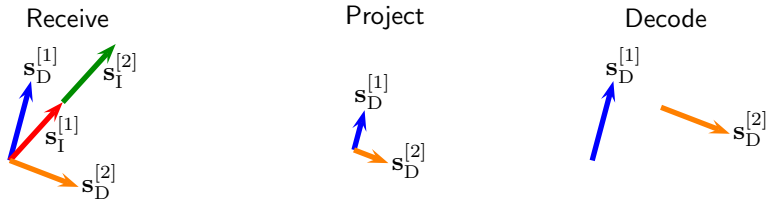


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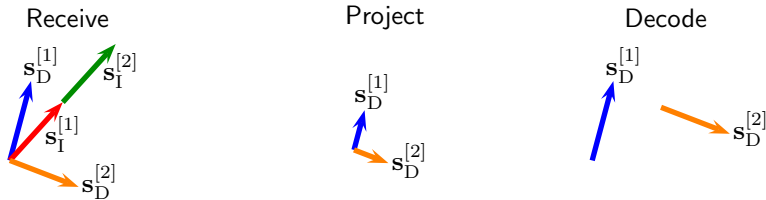


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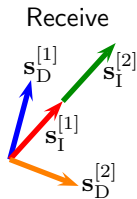
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- Suffices from a degrees-of-freedom perspective.



## Joint Decoding (with i.i.d Random Codes)

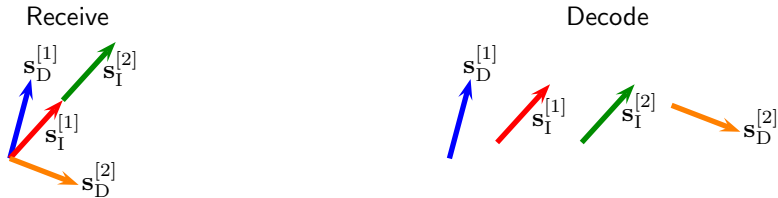


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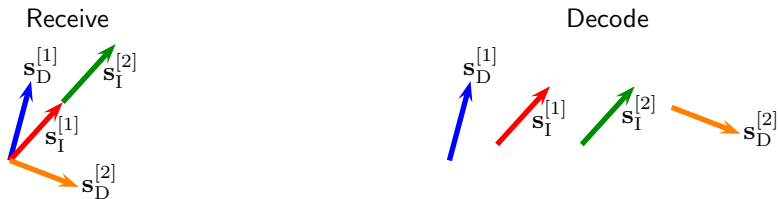


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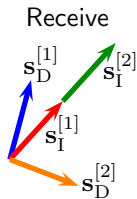


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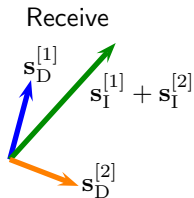


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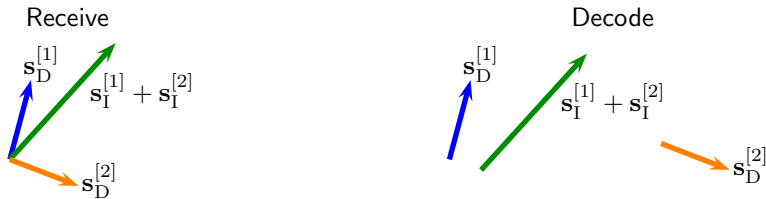


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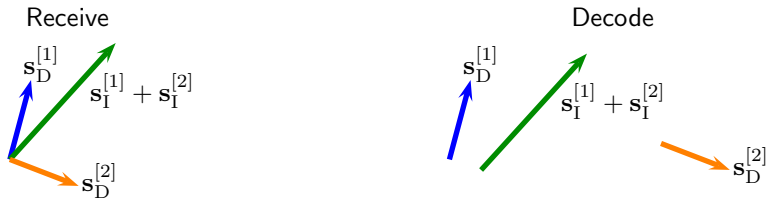


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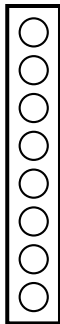
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## Asymmetric Compute-and-Forward: Transmitter View

- Each codeword is assigned an **effective noise tolerance**  $\sigma_{\text{eff},\ell}^2$  and **power level**  $P_\ell$ .  
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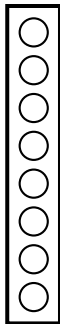
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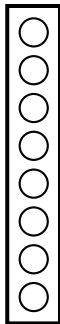
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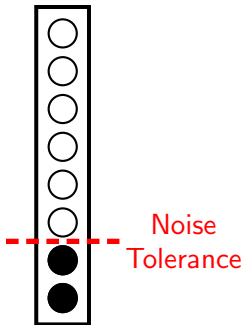
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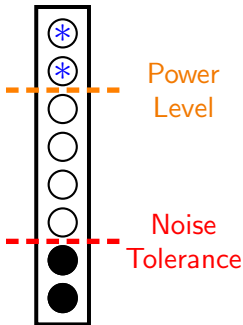
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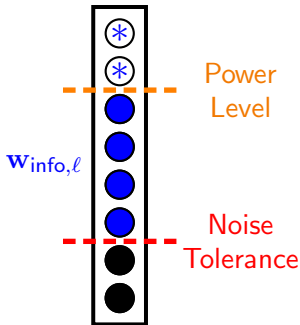
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$$a_{m,1} \begin{bmatrix} \text{blue} \\ \text{blue} \\ \text{blue} \\ \text{black} \end{bmatrix} \oplus a_{m,2} \begin{bmatrix} \text{red} \\ \text{red} \\ \text{red} \\ \text{black} \end{bmatrix} \oplus \dots \oplus a_{m,L} \begin{bmatrix} \text{green} \\ \text{green} \\ \text{green} \\ \text{green} \end{bmatrix}$$

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- In both cases, the linear combination with coefficient vector  $\mathbf{a}_m^T = [a_{m1} \ a_{m2} \ \dots \ a_{mL}]$  can be decoded reliably if

$$\sigma_{\text{eff},\ell}^2 > \mathbf{a}_m^T (\mathbf{P}^{-1} + \mathbf{H}\mathbf{H}^T)^{-1} \mathbf{a}_m$$

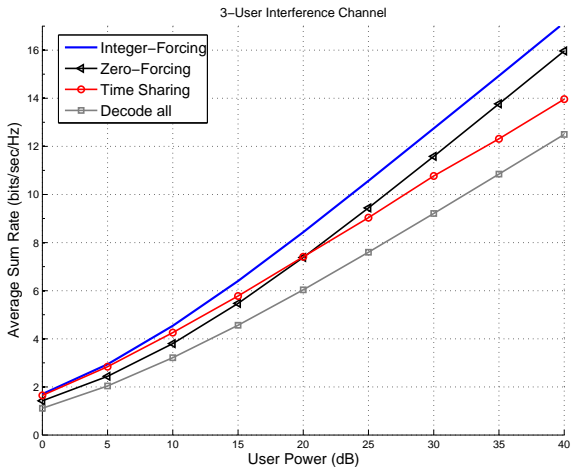
for all  $\ell$  such that  $a_{m\ell} \neq 0$ .

# Performance Comparison

- 3-user Gaussian interference channel.
- Can code over 3 independent fading realizations from an i.i.d. Rayleigh distribution.

## Strategies:

- CJ '08 Beamforming + Zero-Forcing Decoding.
- CJ '08 Beamforming + Integer-Forcing Decoding.



## Challenges

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- and many more (such as joint decoding, non-unique decoding)...



Recent coding perspectives on [compute-and-forward](#):

- **Feng-Silva-Kschischang '13**: General algebraic framework in terms of lattice partitions and  $R$ -modules.
- **Hern-Narayanan '13, Huang-Narayanan-Tunali '14**: Multilevel codes.
- **Ordentlich-Erez '12, Yang et al. '12**: Binary convolutional codes.
- **Hong and Caire '11, Ordentlich et al. '11**: Binary and  $p$ -ary LDPC codes.
- **Belfiore-Ling '12**: Code design criteria.
- **Tunali-Narayanan-Pfister '13**: Spatially-coupled LDPC codes.









Some topics we did not have a chance to cover:

- Distributed Source Coding: **Körner-Marton '79, Krithivasan-Pradhan '09,'11, Wagner '11, Tse-Maddah-Ali '10**
- Relaying: **Wilson-Narayanan-Pfister-Sprintson '10, Nam-Chung-Lee '10, '11, Goseling-Gastpar-Weber '11, Song-Devroye '13, Nokleby-Aazhang '12**
- Cellular Networks: **Sanderovich-Peleg-Shamai '11, Nazer-Sanderovich-Gastpar-Shamai '09, Hong-Caire '13**
- Distributed Dirty-Paper Coding: **Philosof-Zamir '09, Philosof-Zamir-Erez-Khisti '11, Wang '12**
- Joint Source-Channel Coding: **Kochman-Zamir '09, Nazer-Gastpar '07, '08, Soundararajan-Vishwanath '12**
- Physical-Layer Secrecy: **He-Yener '11, '14, Kashyap-Shashank-Thangaraj '12**

## Concluding Remarks

- Even if you **only want to recover messages**, it can help to **first decode linear combinations**.
- Compute-and-forward creates a direct link between **Gaussian** interference networks and **finite field** ones.
- Enables more efficient encoding/decoding for networks where the capacity is already known.
- Yields new achievable rates for interference channels.
- Broader story: **Algebraic Structure in Network Information Theory**. ISIT '11 Tutorial. Survey on physical-layer network coding in Proceedings of the IEEE, March 2011.
- Upcoming textbook by Ram Zamir.

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



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