

# Lattice Coding Increases Multicast Rates for Gaussian Multiple-Access Networks

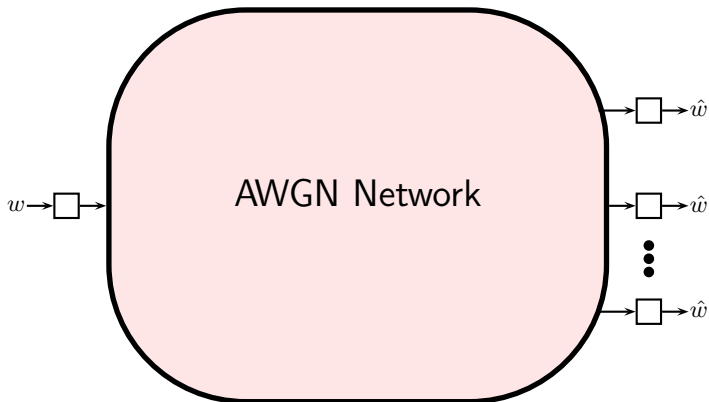
Bobak Nazer and Michael Gastpar

Department of Electrical Engineering and Computer Sciences  
University of California, Berkeley

September 27, 2007

The logo for Wireless Foundations, featuring the text "Wireless Foundations" in a serif font. The word "Wireless" is in blue and "Foundations" is in black. The text is set against a background of a blue and white interference pattern.

# Multicasting over AWGN Networks

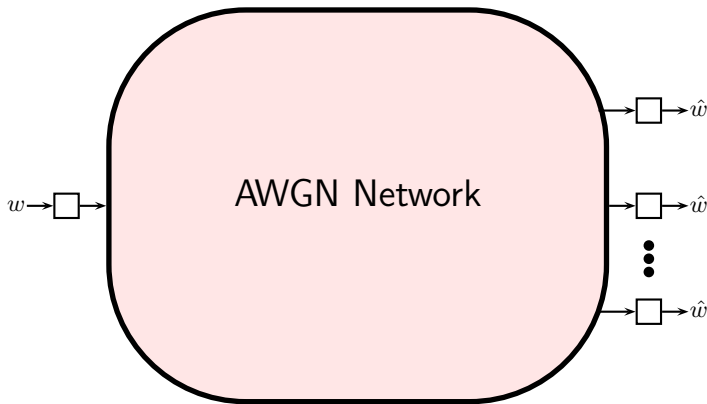


- Single sender

Multicasting problem only well understood for point-to-point channel networks.



# Multicasting over AWGN Networks

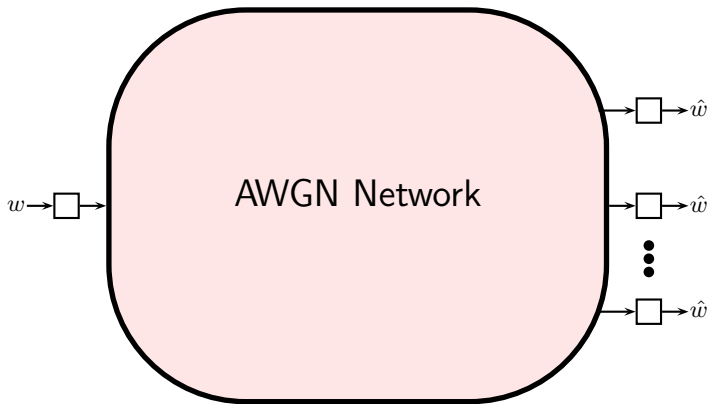


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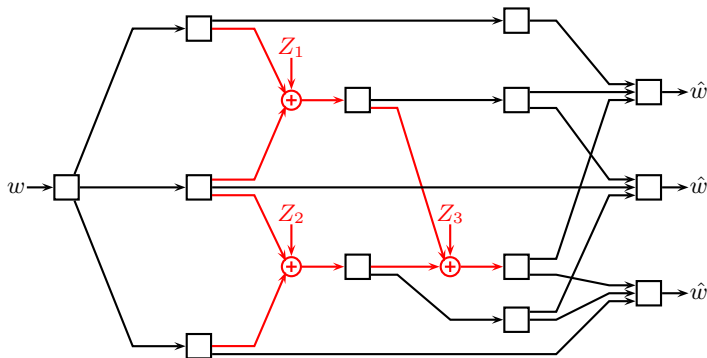


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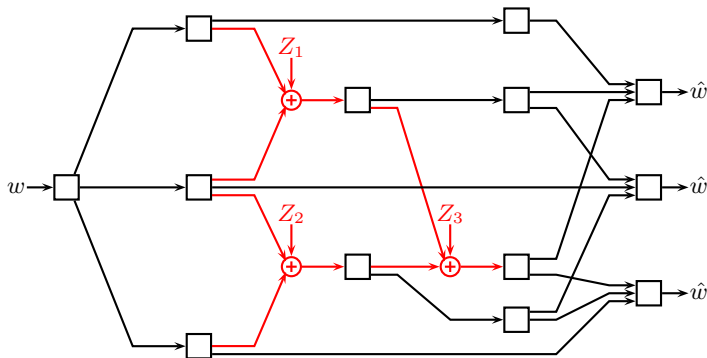
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- Gaussian MACs



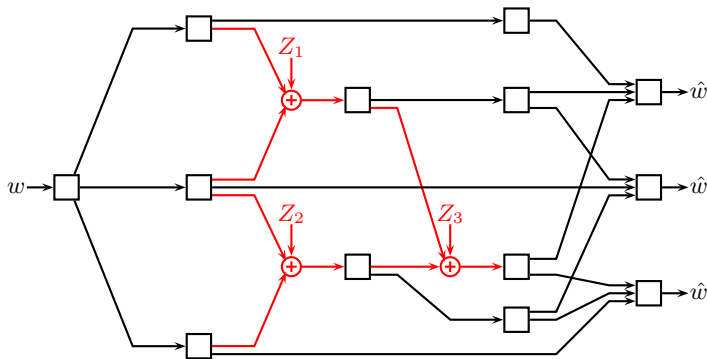
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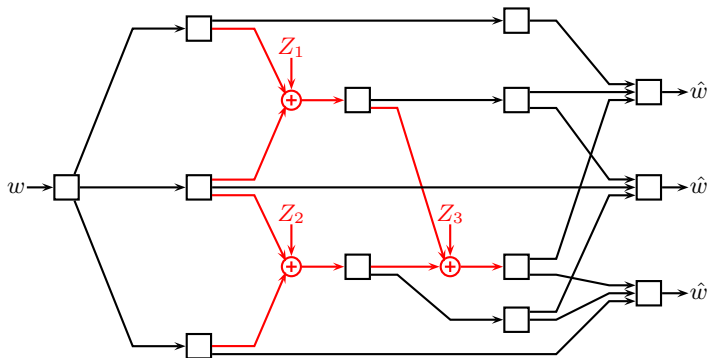


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# Multicasting over Gaussian Multiple-Access Networks



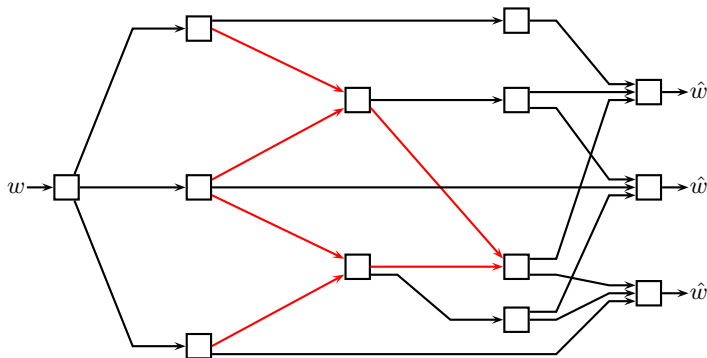
- Gaussian MACs
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- Want a nice reduction to a point-to-point network.
- Usual solution: replace MACs with bit pipes using a MAC code.





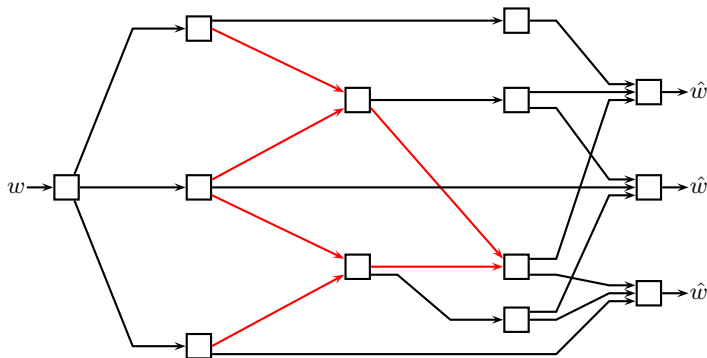
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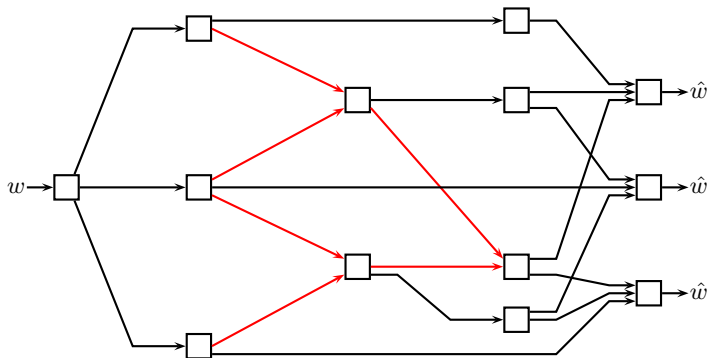


- Bit pipe network
- Multicast capacity known

- Reduction **ignores** linear functions performed by MACs.



# Multicasting over Gaussian Multiple-Access Networks



- Bit pipe network
- Multicast capacity known

- Reduction **ignores** linear functions performed by MACs.
- MAC can do network coding with **structured random codes**.



# Overview

Main ideas for this talk:

⇒ (*Structured*) random coding technique that exploits **structural gain** **not** beamforming gain.



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⇒ New relaying strategy that allows relays in a network to reliably “**compute-and-forward**” functions of messages.



# Overview

Main ideas for this talk:

- ⇒ (*Structured*) random coding technique that exploits **structural gain** not beamforming gain.
- ⇒ New relaying strategy that allows relays in a network to reliably “**compute-and-forward**” functions of messages.
- ⇒ New (achievable) multicast rates for AWGN networks with multiple-access components.

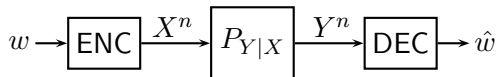


# Outline

- ① Background: Random Coding Theorems
- ② Motivating Example
- ③ Multicasting over AWGN Networks
  - a. Problem Statement
  - b. Coding Theorem
  - c. Proof Ideas
- ④ Extensions



# Point-to-Point Communication



- **Capacity** given by:

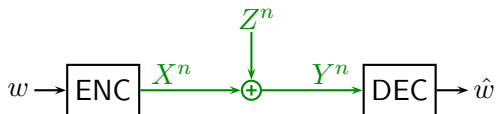
$$C = \max_{p(x)} I(X; Y)$$

- **Achievability proof:** Draw  $2^{nR}$  codewords of length  $n$  i.i.d. with  $p(x)$ . Expected performance good so there are good codes.





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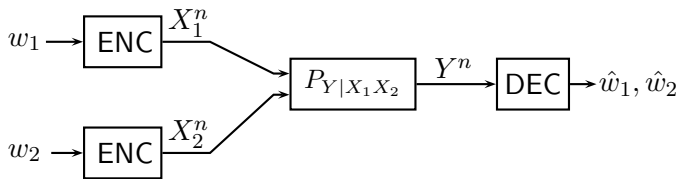
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# Multiple-Access Communication



- **Capacity region** is the convex closure of all rate pairs satisfying:

$$R_1 < I(X_1; Y | X_2)$$

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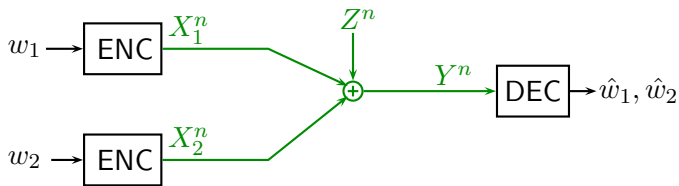
$$R_1 + R_2 < I(X_1, X_2; Y)$$

for some product distribution  $p(x_1)p(x_2)$ .

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# Natural Extension to General Networks

- We expect capacity results in terms of mutual informations of some distributions. For example:

$$\max_{p(x_1, x_3)p(x_2|x_3)p(\mathbf{stuff})} \min \{I(X_1, \mathbf{stuff}; Y_1|X_3) + I(\mathbf{stuff}; Y_3), \\ I(X_1, X_2; Y_4), I(X_3; Y_1, \mathbf{things})\}$$



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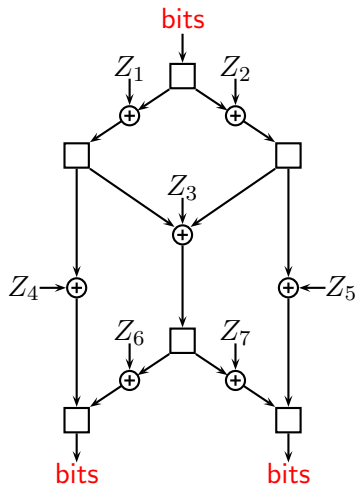
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- Usual Achievability Proof: Draw codewords i.i.d. from desired distributions (as well as some very nice generalizations of this).
- Is focusing on the distributions enough? Do we **just** need **better converses**?
- No! These techniques fail (**in expectation**) as they do not exploit **structure**.



# Motivating Example: “AWGN Butterfly”

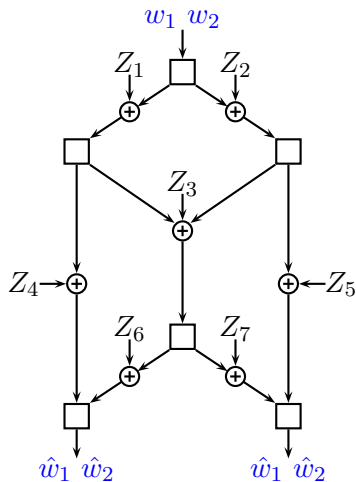
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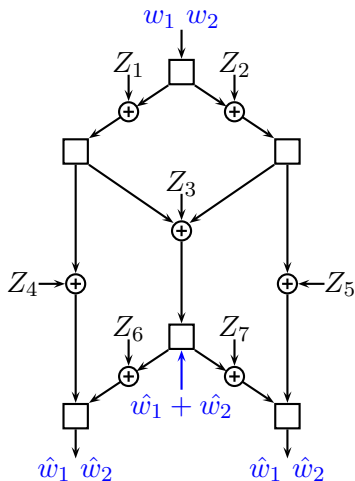
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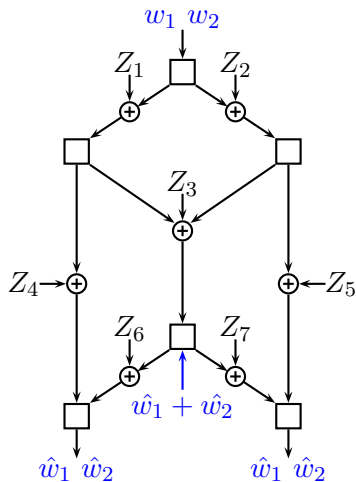
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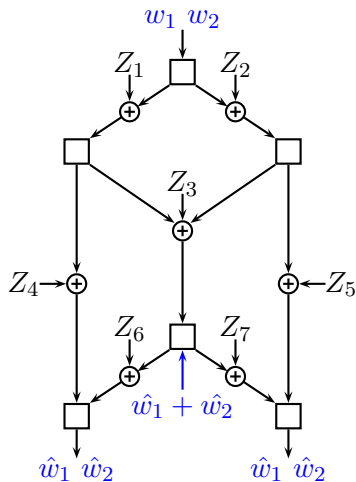
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- Want to benefit from MAC's addition for **structural gain**.



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Standard relaying strategies:

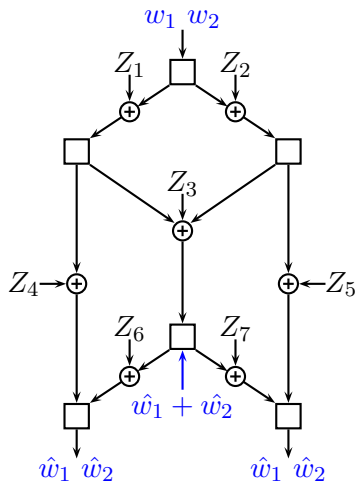
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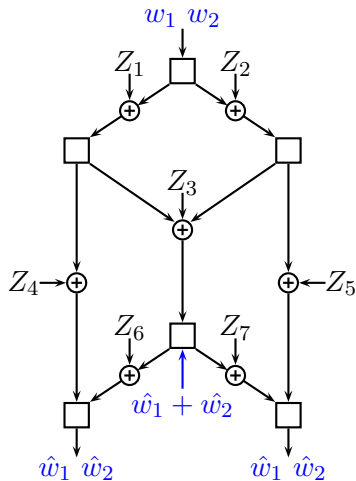
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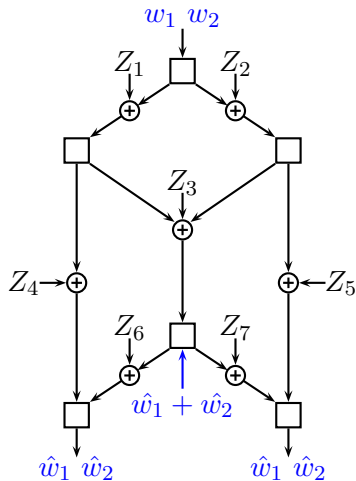


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Really want to "compute-and-forward"!

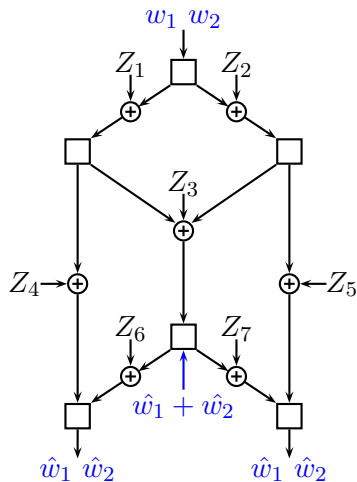


# Performance Comparison

For equal per user SNR:

- Decode-and-forward** multicasting rate:

$$R = \frac{1}{2} \log_2 \left( 1 + \frac{P}{N} \right) + \frac{1}{4} \log_2 \left( 1 + \frac{2P}{N} \right)$$



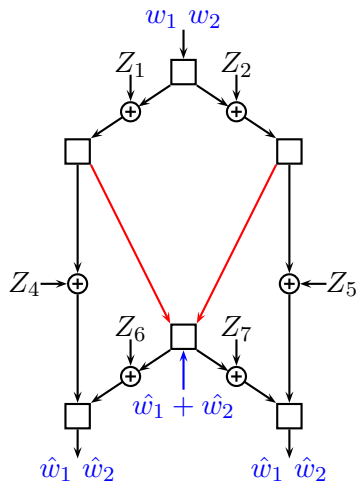


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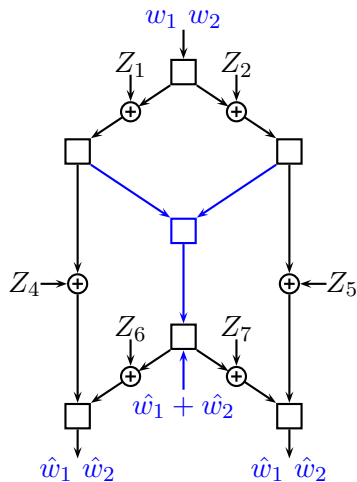
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- Compute-and-forward** achieves:

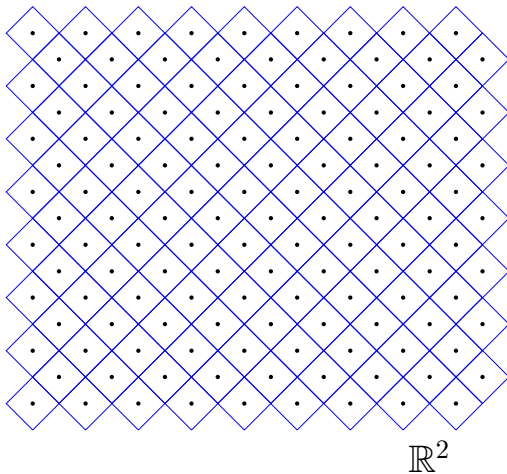
$$R = \frac{1}{2} \log_2 \left( 1 + \frac{P}{N} \right) + \frac{1}{2} \log_2 \left( \frac{1}{2} + \frac{P}{N} \right)$$

- Compute-and-forward relies on **lattices**.



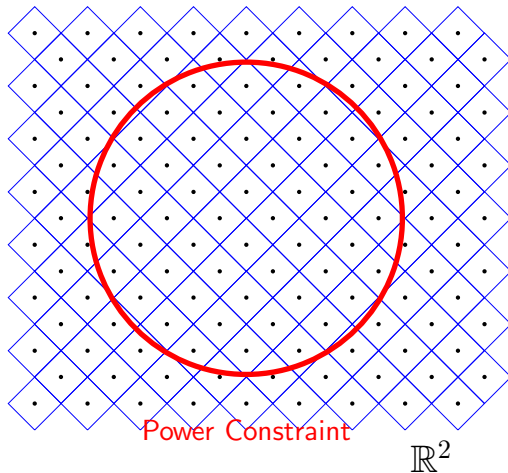
# Lattice Basics

- Lattice is a **linear** tiling of  $\mathbb{R}^n$



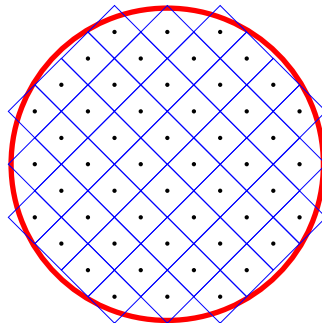
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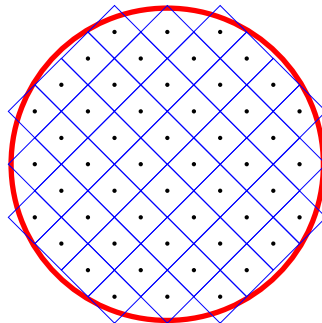
Power Constraint

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# Lattice Basics

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- **Urbanke-Rimoldi '98**:  
 $\exists$  lattices that achieve  
AWGN capacity with ML  
decoding

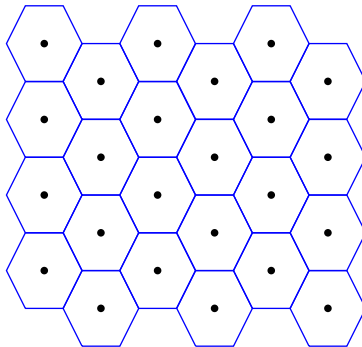
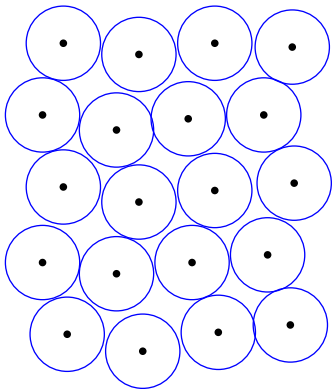


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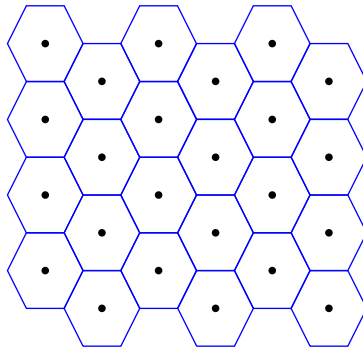
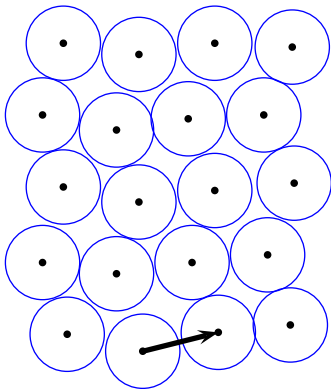
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# Random Coding vs. Lattice Coding

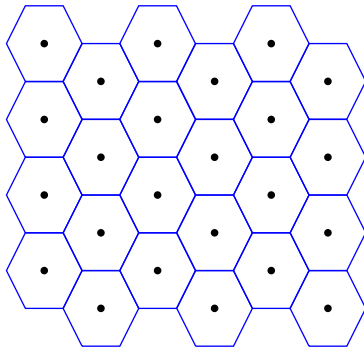
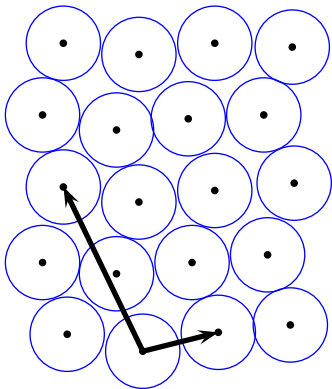


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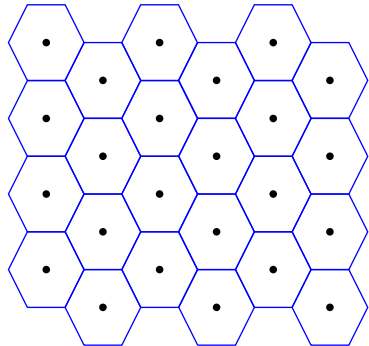
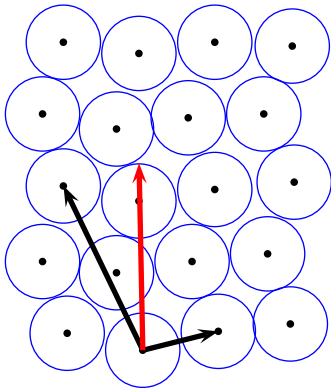




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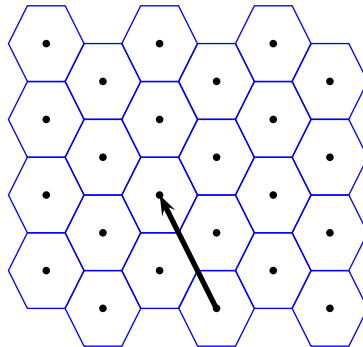
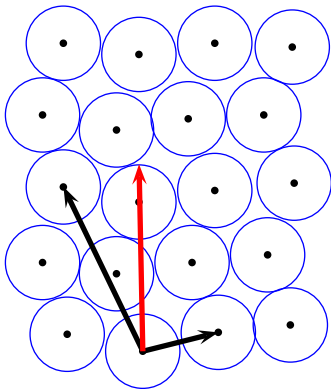
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- Sum of codewords is **not** a codeword.
- Must decode individual messages.



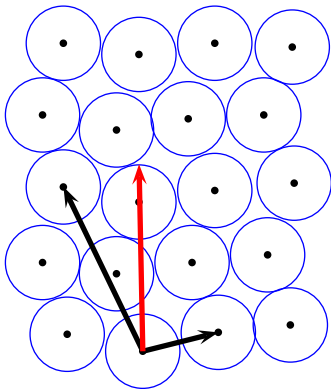
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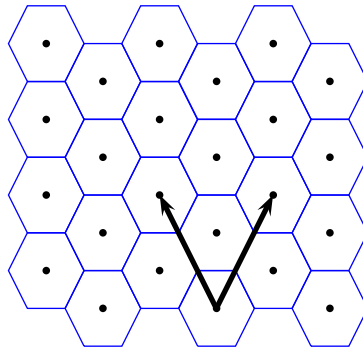
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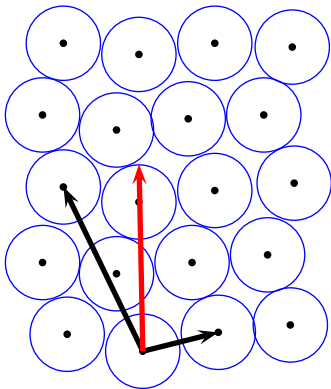
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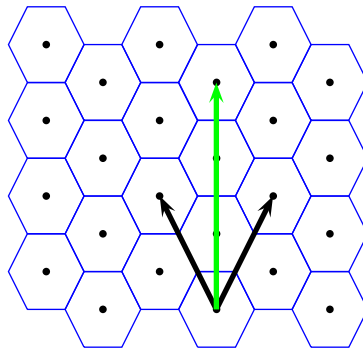
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# Random Coding vs. Lattice Coding



- Sum of codewords is **not** a codeword.
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- Sum of codewords is a codeword.
- Can decode linear functions of messages.



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- Single sender

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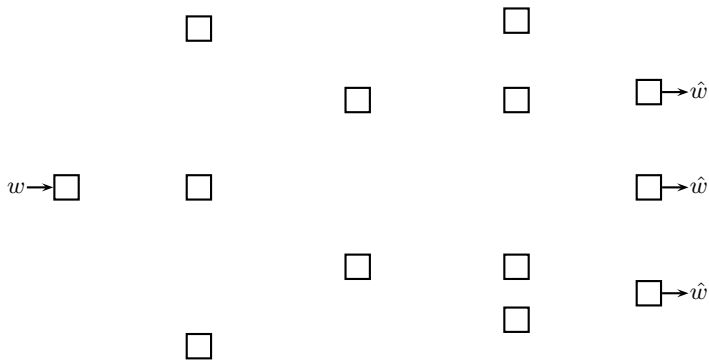
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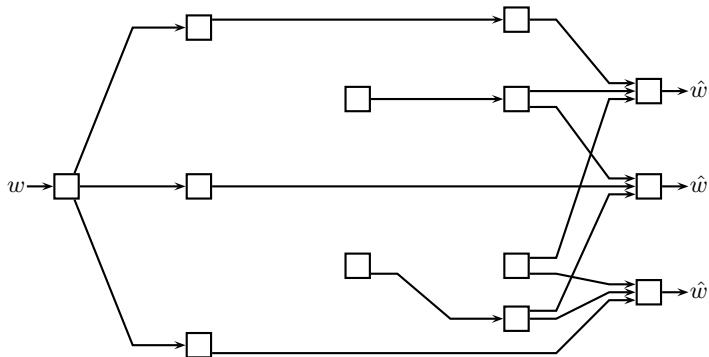
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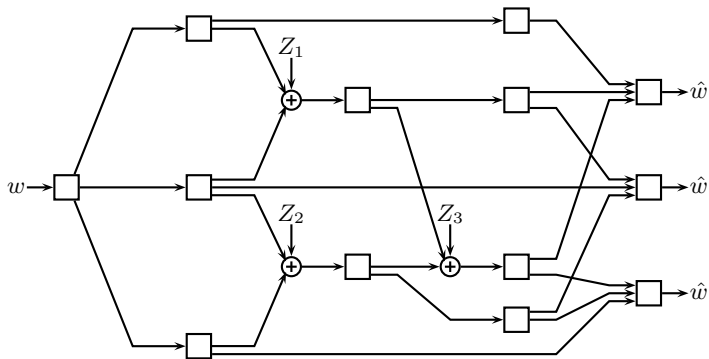


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- Point-to-point AWGN channels



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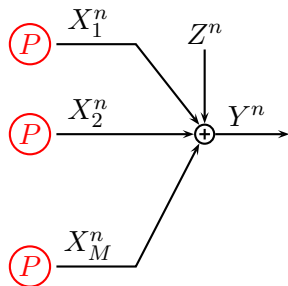


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- Point-to-point AWGN channels
- Gaussian multiple-access channels



# Channel Model Details



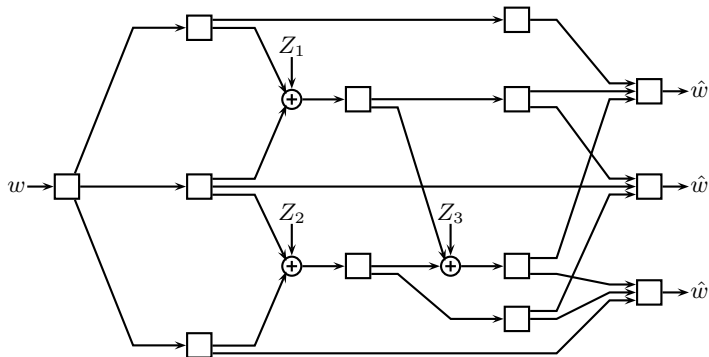
- i.i.d. additive Gaussian noise:  
 $Z \sim \mathcal{N}(0, N_j)$
- Same transmit power constraint:

$$\frac{1}{n} \sum_{i=1}^n (x_j[i])^2 \leq P$$

- Channel quality controlled by noise variance.
- Scheme for different user transmit powers at the end of the talk...



# Coding Theorem: New Achievable Rates



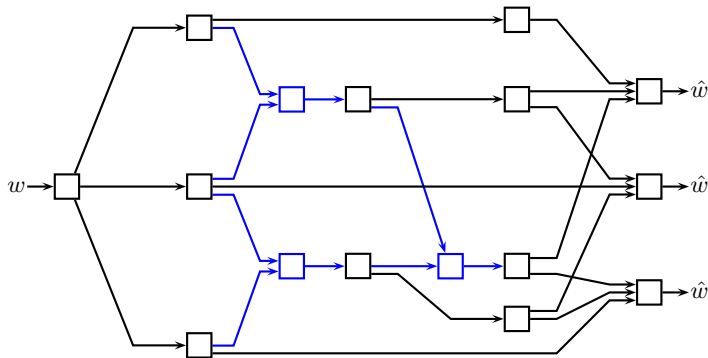
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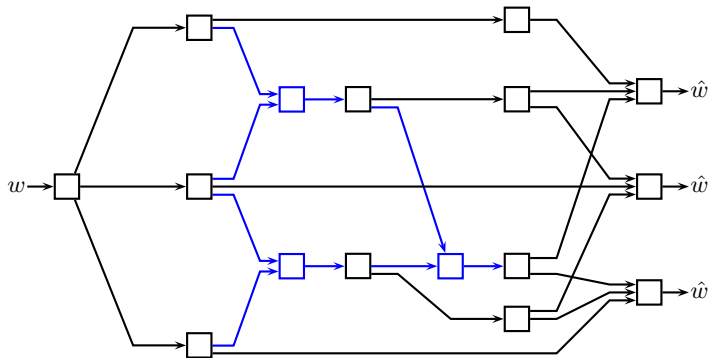
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# Coding Theorem: New Achievable Rates



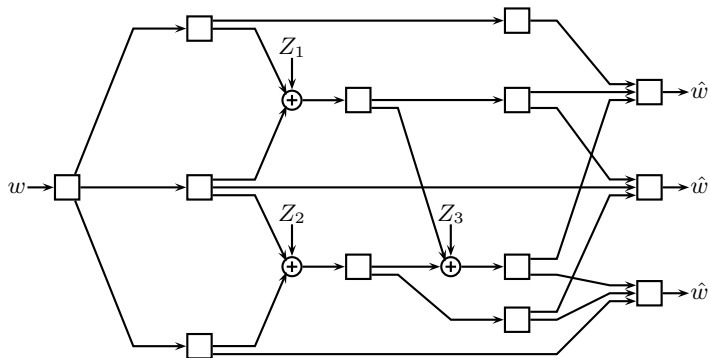
- Reduction to bit pipe network
- MACs become nodes
- Links have rate  $\frac{1}{2} \log_2 \left( \frac{1}{M_j} + \frac{P}{N_j} \right)$

## Theorem

*Any multicast rate achievable on the resulting network is achievable on the original network using a compute-and-forward scheme.*



# Random Coding: Interference Between Flows

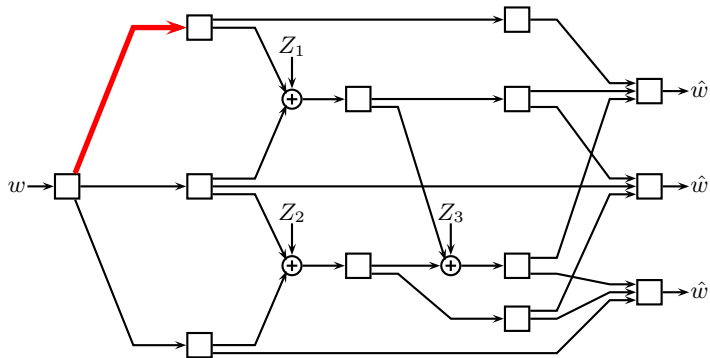


- Multicast capacity found by analyzing flows to each receiver.
- Random coding: flows between receivers **interfere** on MACs.





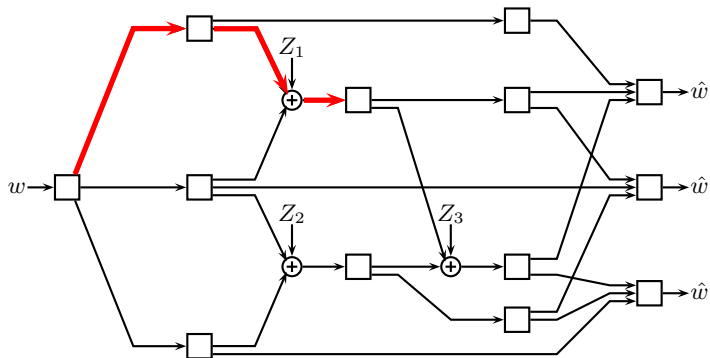
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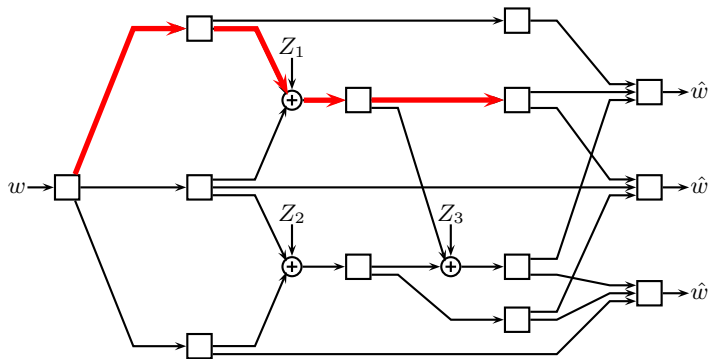
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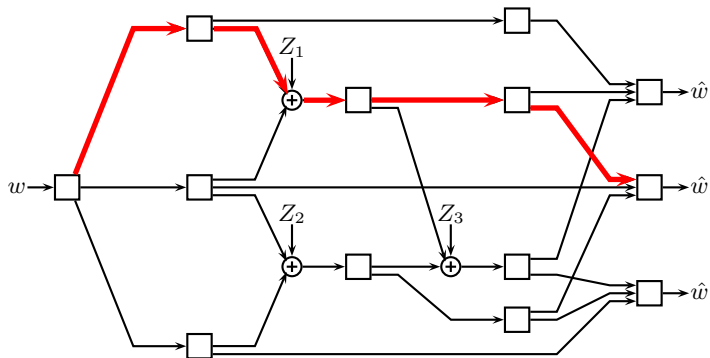
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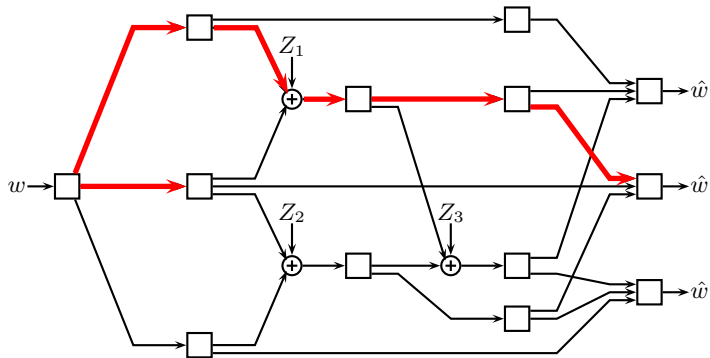
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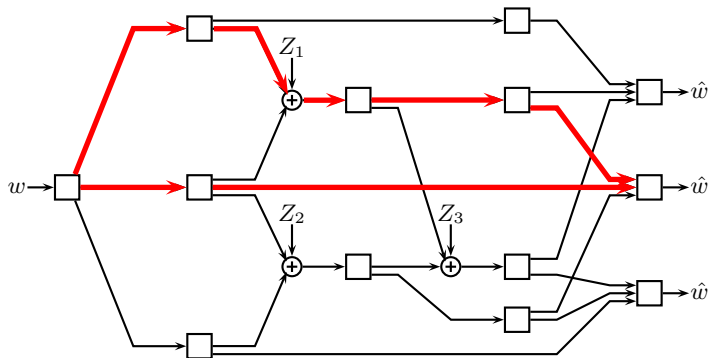
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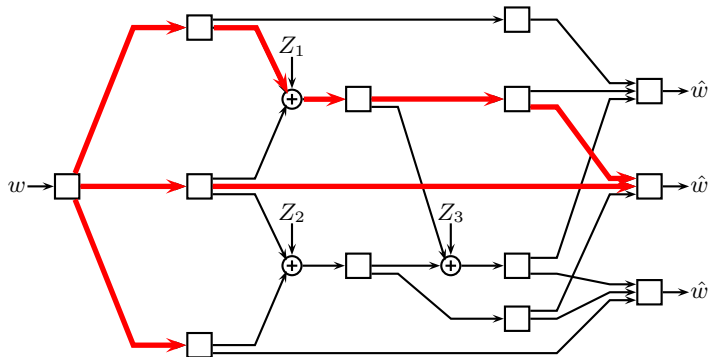
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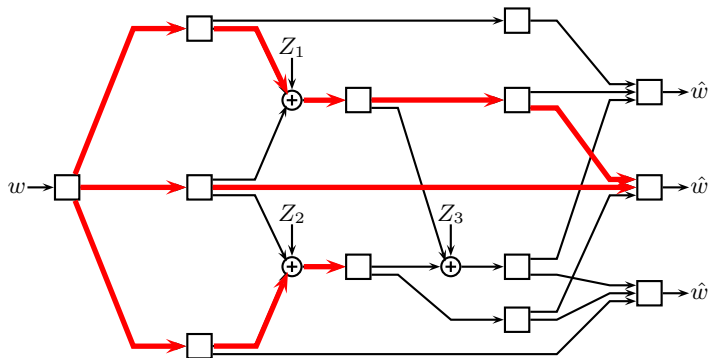
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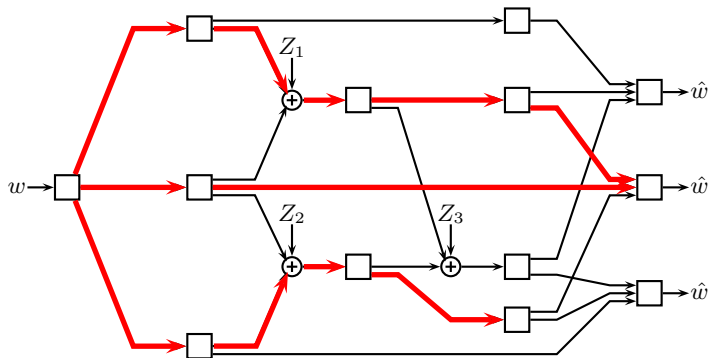


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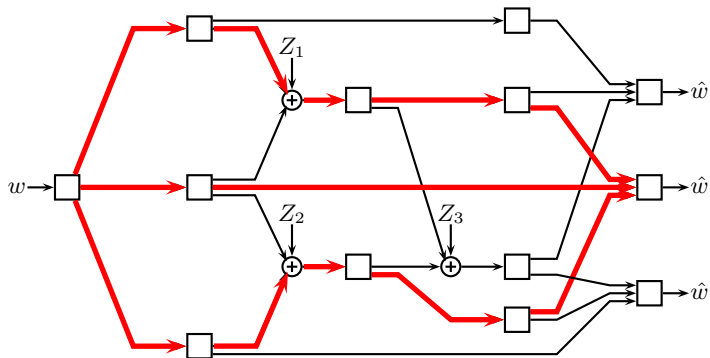
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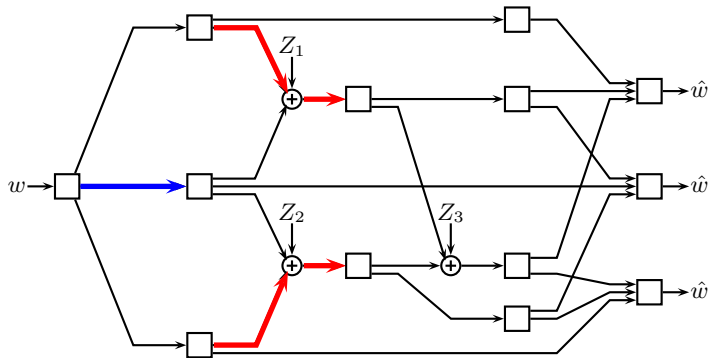
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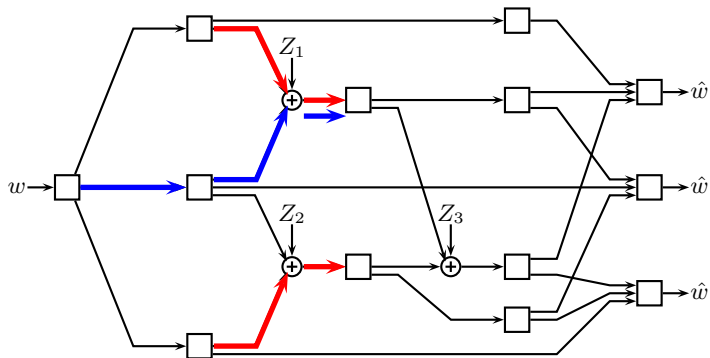
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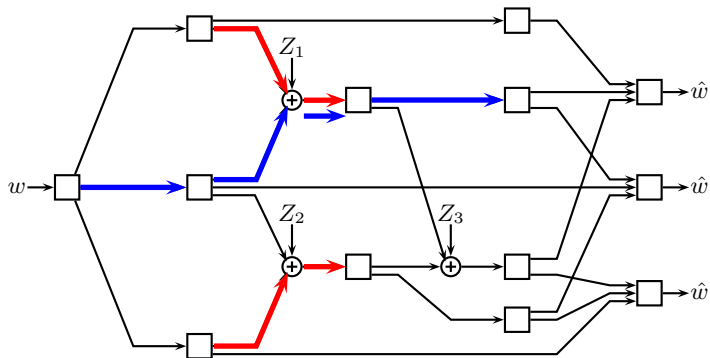
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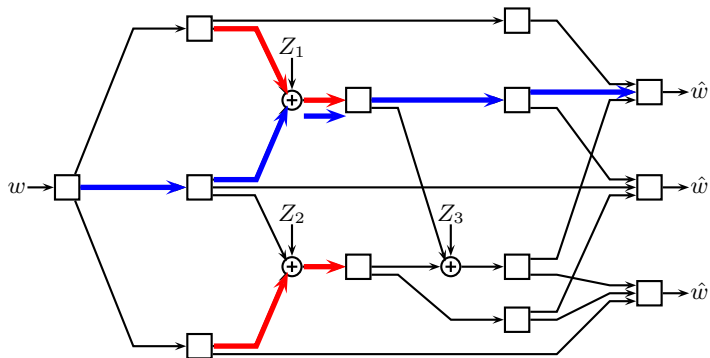
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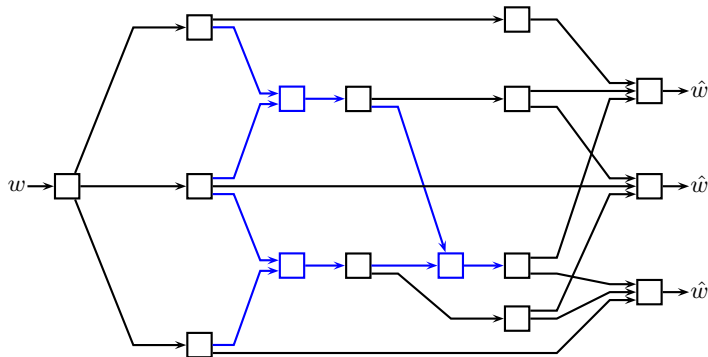
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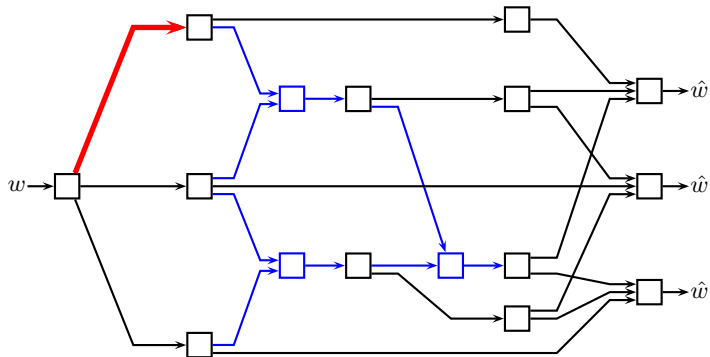
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- Calculate flows as if MACs are interference free!
- MAC constraints are only:  $R_j < \frac{1}{2} \log_2 \left( \frac{1}{M_j} + \frac{P}{N_j} \right)$



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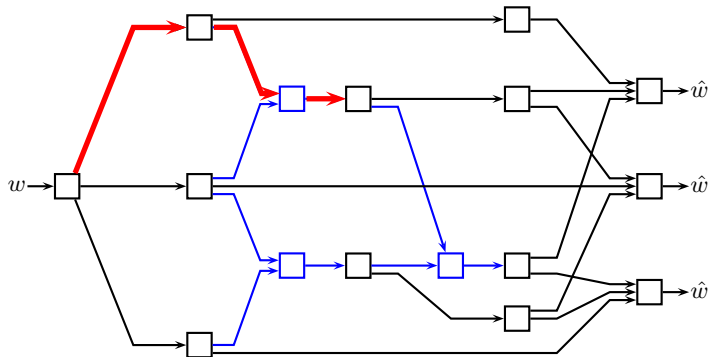


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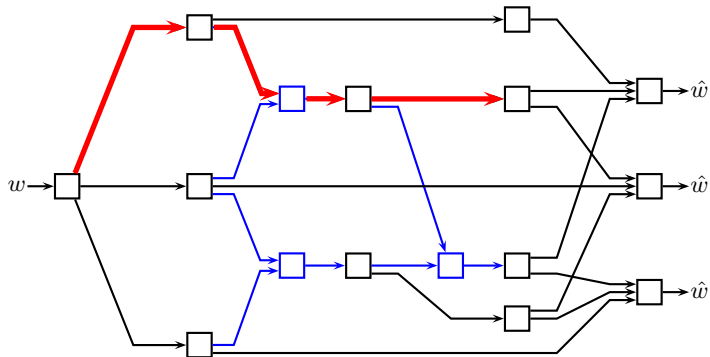
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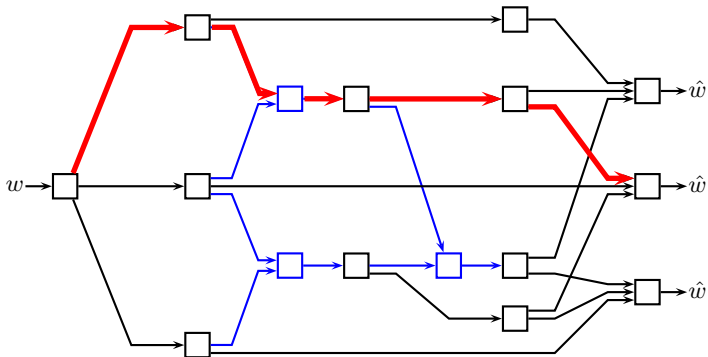
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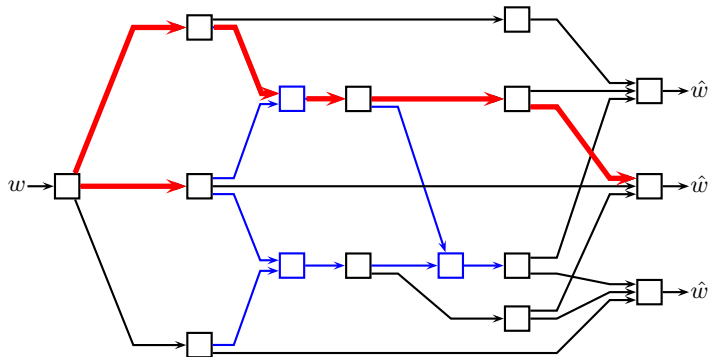
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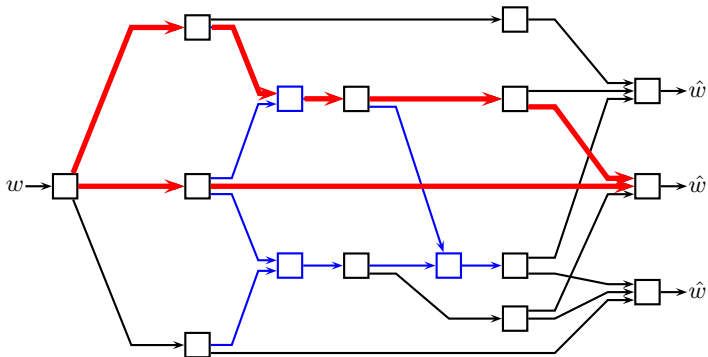
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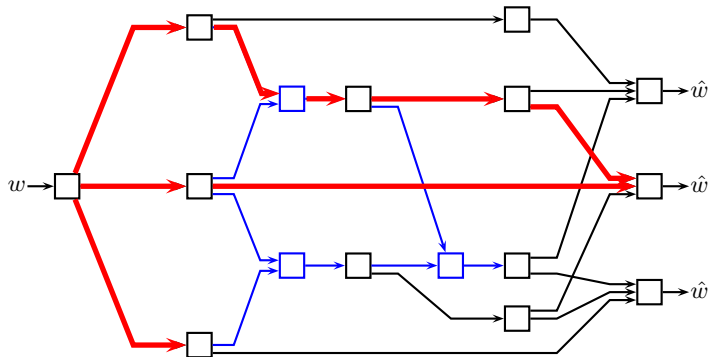
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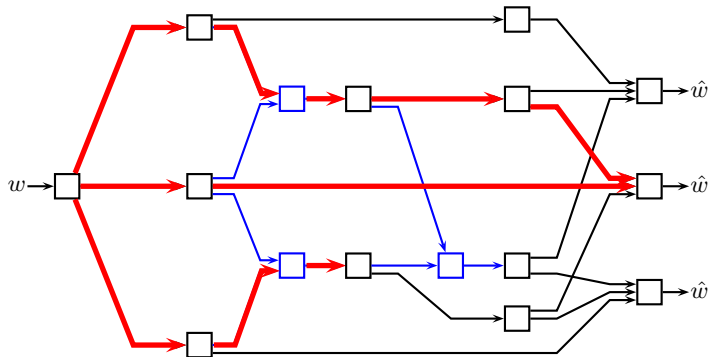
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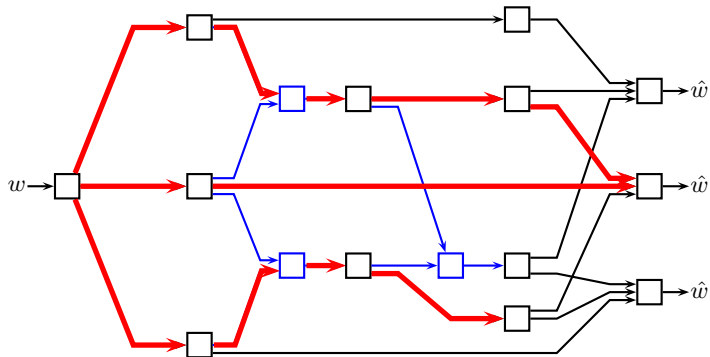
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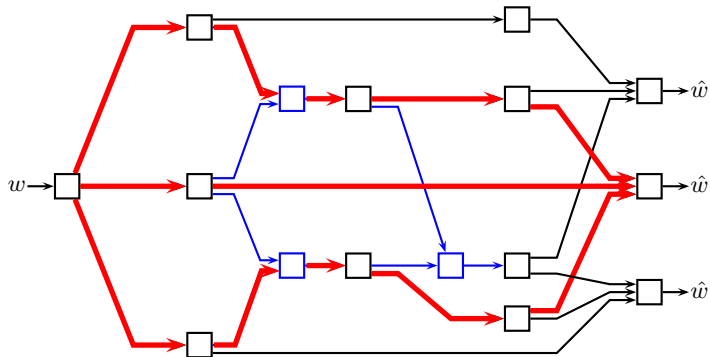


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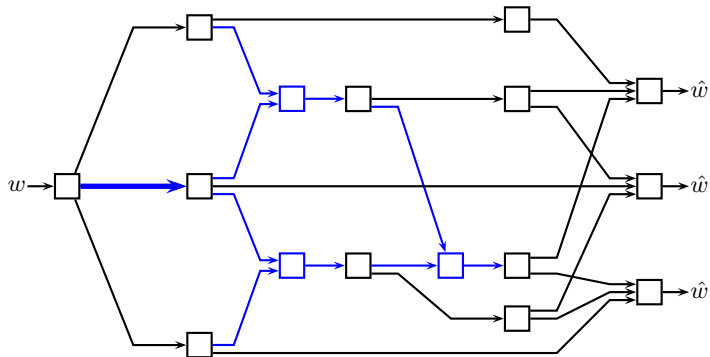
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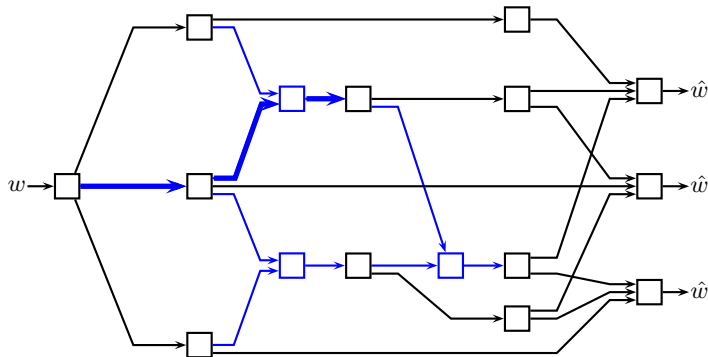
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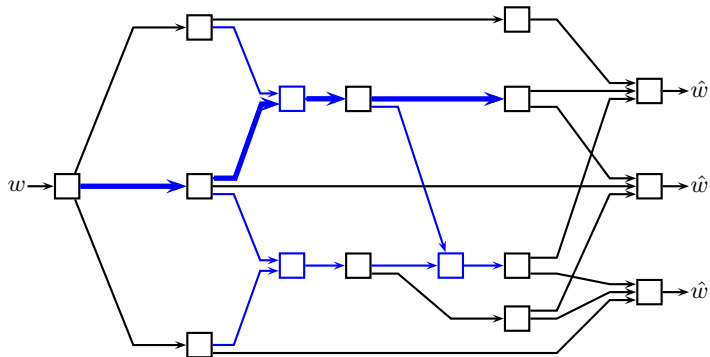
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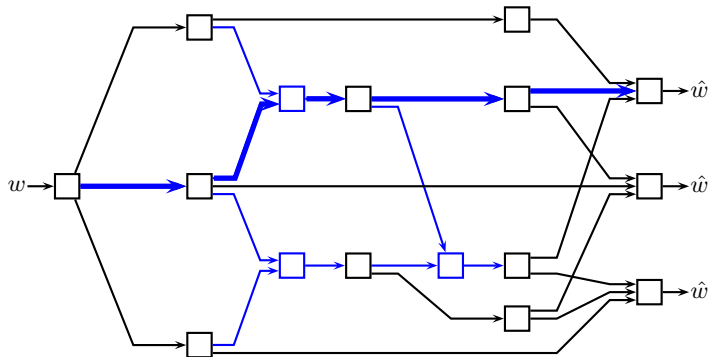
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# Proof Ideas

- *Convenient* to consider sending blocks of Gaussian sources at distortion targets and then treating these as supersymbols.
- Computing linear functions of Gaussian sources over Gaussian MACs and the associated [linear processing rate](#).
- Map appropriate network code to our AWGN network with lattices.

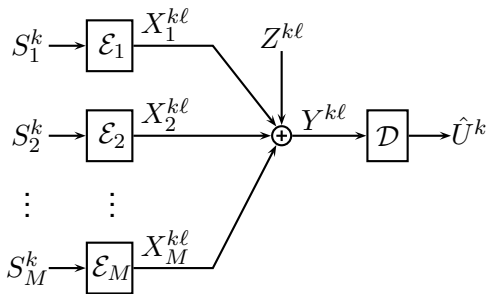


# From Gaussians to Bits

- Assume, for  $k$  large enough, we can send a length- $k$  i.i.d. Gaussian source with variance  $\sigma^2$  from our sender to every receiver with distortion  $D$ .
- Then we can multicast over the network at any rate less than  $R(D) = \frac{1}{2} \log_2 \left( \frac{\sigma^2}{D} \right)$ .
- Proof Sketch: Fix encoding and decoding functions for every interior node in the network. Take Gaussian vectors as supersymbols in a new block code.



# Linear Functions over a Gaussian MAC



- length- $k$  Gaussian sources
- Want linear function  

$$U = \alpha_1 S_1 + \alpha_2 S_2 + \dots + \alpha_M S_M$$
 at low distortion  

$$D = E[(U - \hat{U})^2]$$

- [Erez-Litsyn-Zamir](#) IT Trans. 2005:  $\exists$  lattices good for both source and channel coding.
- Scale up each source and quantize onto the [same lattice](#) and transmit simultaneously. Receiver decodes the sum.
- Repeat  $\ell$  times with encoders sending quantization errors.





# Linear Processing Rate

- N. and Gastpar IT Trans. Oct. 2007: Linear function received at distortion at most:

$$D_\ell = \sigma_S^2 \max_j \alpha_j^2 \left( \frac{MN}{N+P} \right)^\ell$$

- Linear processing rate is given by  $R_{LP} = \lim_{\ell \rightarrow \infty} \frac{1}{2\ell} \log_2 R(D_\ell)$
- Thus, **structured random code** can achieve at least

$$R_{LP} = \frac{1}{2} \log_2 \left( \frac{1}{M} + \frac{P}{N} \right)$$

- IID random code only achieves:

$$R_{LP} = \frac{1}{2M} \log_2 \left( 1 + \frac{MP}{N} \right)$$

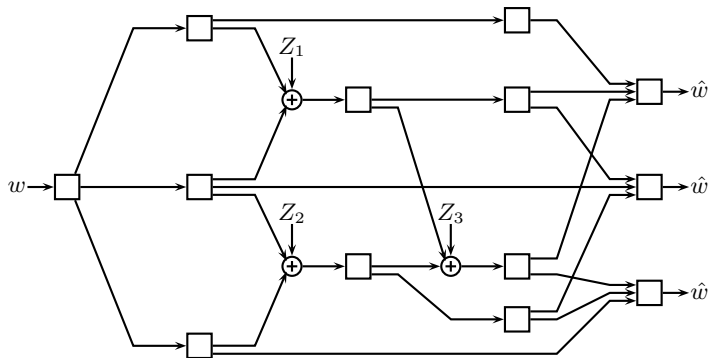


# Building a Network Code

- Reduction to point-to-point network:
  - Replacing all MACs with nodes with same connectivity
  - New node's link capacities are given by the MAC's linear processing rate
- Consider all channels in terms of equal capacity *chunks* and draw appropriate network code over prime-sized finite field.
- Network code equations also full rank over the reals.
- Refine Gaussian vectors across original network according to network code on the reals
- Receivers make LMMSE estimates.



# Coding Theorem Restated



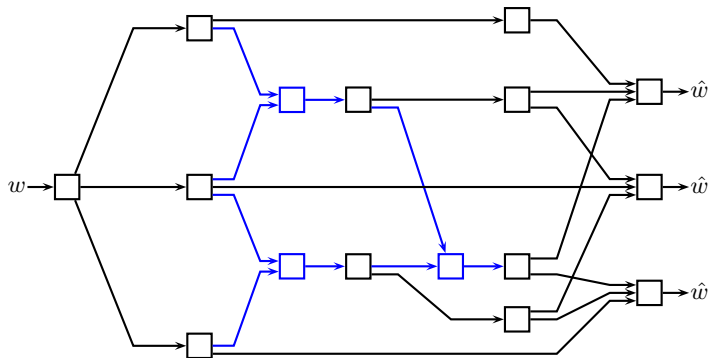
- Reduction to bit pipe network
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- New links capacities given by linear processing rate:

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# Coding Theorem Restated



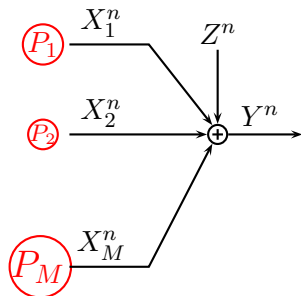
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# MAC with Unequal Users



- Different transmit power constraints:

$$\frac{1}{n} \sum_{i=1}^n (x_j[i])^2 \leq P_j$$

$$P_{AVG} = \frac{1}{M} \sum_{j=1}^M P_j$$

- Idea: Layer different linear functions on top of each other.

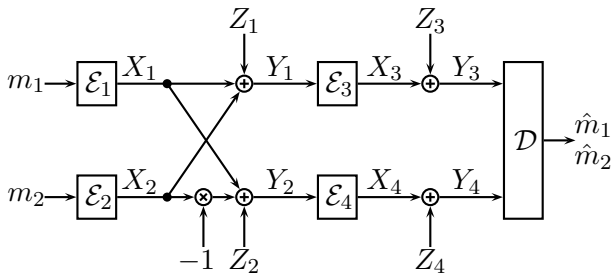
$$R_{LP,1} = \frac{1}{2} \log_2 \left( \frac{1}{M} + \frac{\alpha P_{AVG}}{N} \right)$$

$$R_{LP,2} = \frac{1}{2} \log_2 \left( \frac{1}{M} + \frac{(1 - \alpha) P_{AVG}}{N + \alpha M P_{AVG}} \right)$$



# Beyond Network Coding...

The “Sum-Difference” Relay MAC:



- Two senders, one receiver
- Equal transmit powers and noise variances throughout



# The “Sum-Difference” Relay MAC.

Look at symmetric rate point,  $R = R_1 = R_2$ :

- **Structured random code** allows one relay to decode the sum and the other the difference:

$$R = \frac{1}{2} \log_2 \left( \frac{1}{2} + \frac{P}{N} \right).$$

- IID random code results in decoding at the relays or compress-and-forward:

$$R_{DF} = \frac{1}{4} \log_2 \left( 1 + \frac{2P}{N} \right)$$
$$R_{CF} = \frac{1}{2} \log_2 \left( 1 + \frac{P}{N} \left( \frac{2P}{3P + N} \right) \right).$$



# Concluding Remarks

Lattices can be extremely useful for solving AWGN communication problems.

This has been observed by others, too:

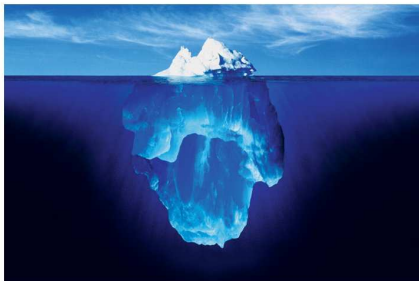
- [Philosof-Khisti-Erez-Zamir](#) ISIT 2007: Lattices help for MAC with two interferences, one known at each encoder
- [Krithivasan-Pradhan](#) arXiv July 2007: Lattices help for distributed source coding of difference of correlated Gaussians
- [Narayanan-Wilson-Sprintson](#) Allerton 2007: Lattices help for two-way relaying
- [Bresler-Parekh-Tse](#) Allerton 2007: Lattices help on a many-to-one Gaussian interference channel





# Concluding Remarks

Structured random codes will be required to prove capacity (and rate-distortion) results for many networks to come...



Some previous work:

- [N. and Gastpar](#) IT Trans. October 2007: Computation over Multiple-Access Channels
- [N. and Gastpar](#) ITW 2007 Lake Tahoe: The Case for Structured Random Codes in Network Communication Theorems

