# Towards an Algebraic Network Information Theory 

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- Guided the development and optimization of modern communication networks.
- State-of-the-art elegantly captured in the recent textbook of El Gamal and Kim.
- Codes with algebraic structure are sought after to mimic the performance of random i.i.d. codes with low implementation complexity.


## Point-to-Point Channels

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$$
M \rightarrow \text { Encoder } \xrightarrow{X^{n}} p_{Y \mid X} \xrightarrow{Y^{n}} \text { Decoder } \rightarrow \hat{M}
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- Proof relies on random i.i.d. codebooks combined with joint typicality decoding.


## Typicality

- $x^{n}$ is a length- $n$ sequence with elements from finite alphabet $\mathcal{X}$
- The empirical pmf (i.e., type) of $x^{n}$ is

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- This motivates the typical set

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\mathcal{T}_{\epsilon}^{(n)}(X)=\left\{x^{n}:\left|\pi\left(x \mid x^{n}\right)-p_{X}(x)\right| \leq \epsilon p_{X}(x) \text { for all } x \in \mathcal{X}\right\}
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- We can generalize this definition to pairs of sequences $\left(X^{n}, Y^{n}\right)$ that are i.i.d. according to $p_{X Y}(x, y)$ and so on...


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Intuition: Probability that i.i.d. $\tilde{X}^{n}$ looks jointly typical $\approx 2^{-n I(X ; Y)}$

## Point-to-Point Capacity: Achievability Proof

- Code Construction: Generate $2^{n R}$ random codewords $X^{n}(1), \ldots, X^{n}\left(2^{n R}\right)$ with each element drawn i.i.d. $p_{X}(x)$.


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- Error Analysis: Two possibilities.
- True codeword is not jointly typical, $\left(X^{n}(m), Y^{n}\right) \notin \mathcal{T}_{\epsilon}^{(n)}$. Probability goes to zero via WLLN.
- Some other codeword is jointly typical,

$$
\begin{aligned}
\mathrm{P}\left\{\bigcup_{\tilde{m} \neq m}\left\{\left(X^{n}(\tilde{m}), Y^{n}\right) \in \mathcal{T}_{\epsilon}^{(n)}\right\}\right\} & \leq \sum_{\tilde{m} \neq m} \mathrm{P}\left\{\left(X^{n}(\tilde{m}), Y^{n}\right) \in \mathcal{T}_{\epsilon}^{(n)}\right\} \\
& \leq \sum_{\tilde{m} \neq m} 2^{-n I(X ; Y)-\delta(\epsilon)} \\
& <2^{n R} 2^{-n I(X ; Y)-\delta(\epsilon)} .
\end{aligned}
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Probability goes to zero if $R<I(X ; Y)-\delta(\epsilon)$.


- Codewords are independent of one another.
- Can directly target an input distribution $p_{X}(x)$.


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This Talk: We build on previous work and propose a joint typicality approach to algebraic network information theory.

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The Usual Approach


## Nested Lattice Codes

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- Existence of good nested lattice codes: Loeliger '97, Forney-Trott-Chung '00,
 Erez-Litsyn-Zamir '05, Ordentlich-Erez '16.
- Erez-Zamir '04: Nested lattice codes can achieve the Gaussian capacity.
- Zamir-Shamai-Erez '02: Excellent framework for multi-terminal binning.


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## Nested Lattice Code Heuristics

- The Voronoi region $\mathcal{V}_{\mathrm{C}}$ of the coarse lattice $\Lambda_{\mathrm{C}}$ enforces the power constraint: If $\mathbf{x} \in \mathcal{V}_{\mathrm{C}}$, then $\frac{1}{n}\|\mathbf{x}\|^{2} \leq P$.


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- The Voronoi region $\mathcal{V}_{\mathrm{F}}$ of the fine lattice $\Lambda_{\mathrm{F}}$ tolerates noise up to variance $\sigma_{\text {eff }}^{2}$ : For "well-behaved" noise $\mathbf{z}_{\text {eff }}$, if $\frac{1}{n} \mathbb{E}\left\|\mathbf{z}_{\text {eff }}\right\|^{2} \leq \sigma_{\text {eff }}^{2}$, then $\mathrm{P}\left(\mathrm{z}_{\text {eff }} \notin \mathcal{V}_{\mathrm{F}}\right)<\delta$ for some small $\delta$.


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- The number of codewords in the nested lattice codebook $\Lambda_{\mathrm{F}} \cap \mathcal{V}_{\mathrm{C}}$ is

$$
2^{n R}=\frac{\operatorname{Vol}\left(\mathcal{V}_{\mathrm{C}}\right)}{\operatorname{Vol}\left(\mathcal{V}_{\mathrm{F}}\right)} \approx \frac{\operatorname{Vol}(\mathcal{B}(\mathbf{0}, \sqrt{n P}))}{\operatorname{Vol}\left(\mathcal{B}\left(\mathbf{0}, \sqrt{n \sigma_{\text {eff }}^{2}}\right)\right)}=\left(\frac{P}{\sigma_{\text {eff }}^{2}}\right)^{n / 2}
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2^{n R}=\frac{\operatorname{Vol}\left(\mathcal{V}_{\mathrm{C}}\right)}{\operatorname{Vol}\left(\mathcal{V}_{\mathrm{F}}\right)} \approx \frac{\operatorname{Vol}(\mathcal{B}(\mathbf{0}, \sqrt{n P}))}{\operatorname{Vol}\left(\mathcal{B}\left(\mathbf{0}, \sqrt{n \sigma_{\text {eff }}^{2}}\right)\right)}=\left(\frac{P}{\sigma_{\text {eff }}^{2}}\right)^{n / 2}
$$

- Can show that the achievable rate satisfies $R>\frac{1}{2} \log \left(\frac{P}{\sigma_{\text {eff }}^{2}}\right)-\delta$.


## Compute-and-Forward with Lattice Codes

- Each encoder maps its message $m_{k}$ to a lattice codeword $\mathbf{x}_{k}$.


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$$
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- The effective noise variance is

$$
\sigma_{\text {eff }}^{2}=\frac{1}{n} \mathbb{E}\left\|\mathbf{z}_{\mathrm{eff}}\right\|^{2}=\alpha^{2}+\mathrm{SNR} \sum_{k=1}^{K}\left(\alpha h_{k}-a_{k}\right)^{2}=\alpha^{2}+\mathrm{SNR}\|\alpha \mathbf{h}-\boldsymbol{a}\|^{2}
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- We can decode $\mathbf{v}$ if $R<\frac{1}{2} \log \left(\frac{\mathrm{SNR}}{\alpha^{2}+\operatorname{SNR}\|\alpha \mathbf{h}-\boldsymbol{a}\|^{2}}\right)$.


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- We can decode $\mathbf{v}$ if $R<\frac{1}{2} \log \left(\frac{\mathrm{SNR}}{\alpha^{2}+\mathrm{SNR}\|\alpha \mathbf{h}-\boldsymbol{a}\|^{2}}\right)$.
- Finding the best $\boldsymbol{a}$ corresponds to finding the shortest vector in the lattice $\left(\mathrm{SNR}^{-1} \mathbf{I}+\mathbf{h h}^{\boldsymbol{\top}}\right)^{-1 / 2} \mathbb{Z}^{K}$.


## Compute-and-Forward: Illustration

All users employ the same nested lattice code.


## Compute-and-Forward: Illustration

Choose messages $m_{k} \in\left[2^{n R}\right]$.


## Compute-and-Forward: Illustration

Map $m_{k}$ to lattice codeword $\mathbf{x}_{k}=\mathcal{E}_{k}\left(m_{k}\right)$.


## Compute-and-Forward: Illustration

Transmit lattice points over the channel.


## Compute-and-Forward: Illustration

Transmit lattice points over the channel.


## Compute-and-Forward: Illustration

Lattice codewords are scaled by channel coefficients.


## Compute-and-Forward: Illustration

Scaled codewords added together plus noise.


## Compute-and-Forward: Illustration

Scaled codewords added together plus noise.


Extra noise penalty for non-integer channel coefficients.


Effective noise: $1+P\|\mathbf{h}-\mathbf{a}\|^{2}$

## Compute-and-Forward: Illustration

Scale output by $\alpha$ to reduce non-integer noise penalty.


Effective noise: $\alpha^{2}+P\|\alpha \mathbf{h}-\boldsymbol{a}\|^{2}$

## Compute-and-Forward: Illustration

Scale output by $\alpha$ to reduce non-integer noise penalty.


Effective noise: $\alpha^{2}+P\|\alpha \mathbf{h}-\boldsymbol{a}\|^{2}$

## Compute-and-Forward: Illustration

Decode to the closest lattice point.


Effective noise: $\alpha^{2}+P\|\alpha \mathbf{h}-\boldsymbol{a}\|^{2}$

Recover integer linear combination of the codewords.


Effective noise: $\alpha^{2}+P\|\alpha \mathbf{h}-\boldsymbol{a}\|^{2}$

## Compute-and-Forward: Achievable Rates

## Theorem (Nazer-Gastpar '11)

A receiver can recover a linear combination with coefficient vector $\boldsymbol{a} \in \mathbb{Z}^{K}$ over the channel vector $\mathbf{h} \in \mathbb{R}^{K}$ if $R<R_{\text {comp }}(\mathbf{h}, \boldsymbol{a})$ where

$$
R_{\text {comp }}(\mathbf{h}, \boldsymbol{a})=\max _{\alpha \in \mathbb{R}} \frac{1}{2} \log ^{+}\left(\frac{P}{\alpha^{2}+P\|\alpha \mathbf{h}-\boldsymbol{a}\|^{2}}\right)
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## Special Cases:

- Perfect Match: $R_{\text {comp }}(\boldsymbol{a}, \boldsymbol{a})=\frac{1}{2} \log ^{+}\left(\frac{1}{\|\boldsymbol{a}\|^{2}}+P\right)$


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## Special Cases:

- Perfect Match: $R_{\text {comp }}(\boldsymbol{a}, \boldsymbol{a})=\frac{1}{2} \log ^{+}\left(\frac{1}{\|\boldsymbol{a}\|^{2}}+P\right)$
- Decode the $k^{\text {th }}$ Message:
$2^{n R_{1}}$ codewords

$2^{n R_{1}}$ codewords


Application: MIMO Uplink Channel


## Usual Assumptions:

- Each antenna carries an independent data stream $\mathbf{x}_{\ell} \in \mathbb{C}^{n}$ of rate $R$ (e.g., V-BLAST setting, cellular uplink). $\mathbf{X}=\left[\begin{array}{lll}\mathbf{x}_{1} & \cdots & \mathbf{x}_{K}\end{array}\right]^{\top}$.
- Usual power constraint: $\left\|\mathbf{x}_{\ell}\right\|^{2} \leq n P$.
- Channel model: $\mathbf{Y}=\mathbf{H X}+\mathbf{Z}$
- $\mathbf{Z}$ is elementwise i.i.d. $\mathcal{C N}(0,1)$.
- CSIR: Only the receiver knows channel realization $\mathbf{H} \in \mathbb{C}^{K \times K}$.

MIMO Uplink Channel: Joint ML Decoding


Joint Maximum Likelihood Decoding:

$$
R_{\text {joint }}(\mathbf{H})=\min _{\mathcal{S} \subseteq\{1, \ldots, K\}} \frac{1}{|\mathcal{S}|} \log \operatorname{det}\left(\mathbf{I}+P \mathbf{H}_{\mathcal{S}} \mathbf{H}_{\mathcal{S}}^{*}\right)
$$

- Corresponds to the (symmetric) outage capacity.
- Naive implementation has prohibitively high complexity.
- Of course, there are many clever ways to reduce the complexity!

MIMO Uplink Channel: Zero-Forcing and Linear MMSE


Zero-Forcing and Linear MMSE Receivers:

- Project the received signal, $\tilde{\mathbf{Y}}=\mathbf{B Y}$ to eliminate interference between data streams.
- After projection, single-user decoders attempt to recover the individual data streams.
- Optimal $\mathbf{B}$ is the MMSE projection.

MIMO Uplink Channel: Zero-Forcing and Linear MMSE


## Zero-Forcing and Linear MMSE Receivers:

- The $k^{t h}$ SISO decoder tries to recover $\mathbf{x}_{k}$ from $\mathbf{b}_{k}^{\top} \mathbf{Y}$ :

$$
\operatorname{SINR}_{\mathrm{LMMSE}, k}(\mathbf{H})=\max _{\mathbf{b}_{k}} \frac{P\left\|\mathbf{b}_{k}^{\top} \mathbf{h}_{k}\right\|^{2}}{1+P \sum_{\ell \neq k}\left\|\mathbf{b}_{k}^{\top} \mathbf{h}_{\ell}\right\|^{2}}
$$

- Rate per user:

$$
R_{\mathrm{LMMSE}}(\mathbf{H})=\min _{k=1, \ldots, K} \log \left(1+\operatorname{SINR}_{\mathrm{LMMSE}, k}(\mathbf{H})\right)
$$

MIMO Uplink Channel: Successive Interference Cancellation


## Successive Interference Cancellation Receivers:

- Decode in order $\pi$. Cancel $\mathbf{x}_{\pi(1)}, \ldots, \mathbf{x}_{\pi(k-1)}$ from $\tilde{\mathbf{y}}_{k}$ :

$$
\operatorname{SINR}_{\mathrm{SIC}, \pi(m)}(\mathbf{H})=\max _{\mathbf{b}_{m}} \frac{P\left\|\mathbf{b}_{k}^{\top} \mathbf{h}_{\pi(k)}\right\|^{2}}{1+\operatorname{SNR} \sum_{\ell=k+1}^{K}\left\|\mathbf{b}_{k}^{T} \mathbf{h}_{\pi(\ell)}\right\|^{2}}
$$

- Rate per user:

$$
R_{\text {V-BLAST ॥ }}(\mathbf{H})=\max _{\pi} \min _{k=1, \ldots, K} \log \left(1+\operatorname{SINR}_{\text {SIC }, \pi(k)}(\mathbf{H})\right)
$$

MIMO Uplink Channel: Integer-Forcing


What if we could decode something else?

- Zero-Forcing / LMMSE: First, eliminate interference.

Then, decode individual data streams.

MIMO Uplink Channel: Integer-Forcing


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MIMO Uplink Channel: Integer-Forcing


What if we could decode something else?

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- Integer-Forcing: First, decode integer-linear combinations.

MIMO Uplink Channel: Integer-Forcing


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MIMO Uplink Channel: Integer-Forcing


What if we could decode something else?

- Zero-Forcing / LMMSE: First, eliminate interference.

Then, decode individual data streams.

- Integer-Forcing: First, decode integer-linear combinations. Then, eliminate interference.
- If the integer matrix $\mathbf{A}$ is full rank, we can successfully recover the individual data streams.

MIMO Uplink Channel: Integer-Forcing

Integer-Forcing Linear Receivers:

- The $k^{\text {th }}$ effective channel after projection is

$$
\mathbf{b}_{k}^{\top} \mathbf{Y}=\mathbf{b}_{k}^{\top} \mathbf{H} \mathbf{X}+\mathbf{b}_{k}^{\top} \mathbf{Z}
$$

MIMO Uplink Channel: Integer-Forcing

Integer-Forcing Linear Receivers:

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$$
\begin{aligned}
\mathbf{b}_{k}^{\top} \mathbf{Y} & =\mathbf{b}_{k}^{\top} \mathbf{H} \mathbf{X}+\mathbf{b}_{k}^{\top} \mathbf{Z} \\
& =\mathbf{a}_{k}^{\top} \mathbf{X}+\left(\mathbf{b}_{k}^{\top} \mathbf{H}-\mathbf{a}_{k}^{\top}\right) \mathbf{X}+\mathbf{b}_{k}^{\top} \mathbf{Z}
\end{aligned}
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MIMO Uplink Channel: Integer-Forcing

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& =\underbrace{\sum_{\ell=1}^{K} a_{k \ell} \mathbf{x}_{\ell}^{\top}}_{\text {Codeword }}+\underbrace{\left(\mathbf{b}_{k}^{\top} \mathbf{H}-\mathbf{a}_{k}^{\top}\right) \mathbf{X}+\mathbf{b}_{k}^{\top} \mathbf{Z}}_{\text {Effective Noise }}
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\end{aligned}
$$

- The $a_{k \ell} \in \mathbb{Z}[j]$ are Gaussian integers and the codebook should be closed under integer-linear combinations.
- We are free to choose any full-rank integer-valued matrix $\mathbf{A}$.

MIMO Uplink Channel: Integer-Forcing


Integer-Forcing Linear Receivers: (Zhan-Nazer-Erez-Gastpar '14)

- The $k^{\text {th }}$ SISO decoder tries to recover $\sum_{\ell} a_{k \ell} \mathbf{x}_{\ell}$ from $\mathbf{b}_{k}^{\top} \mathbf{Y}$ :

$$
\operatorname{SINR}_{\mathrm{IF}, k}(\mathbf{H}, \mathbf{A})=\max _{\mathbf{b}_{k}} \frac{P}{\left\|\mathbf{b}_{k}\right\|^{2}+P\left\|\mathbf{b}_{k}^{\top} \mathbf{H}-\mathbf{a}_{k}^{\top}\right\|^{2}}
$$

- Rate per user:

$$
R_{\mathrm{IF}}(\mathbf{H})=\max _{\mathbf{A}} \min _{k=1, \ldots, K} \log ^{+}\left(\operatorname{SINR}_{\mathrm{IF}, k}(\mathbf{H}, \mathbf{A})\right)
$$

- Includes linear MMSE as a special case by setting $\mathbf{A}=\mathbf{I}$.


## Comparison: Outage Rates



2 users, 2 receive antennas, Rayleigh fading, 1\% outage.

- Distributed Source Coding: Körner-Marton '79, Krithivasan-Pradhan '09,'11, Wagner '11, Tse-Maddah-Ali '10
- Relaying: Wilson-Narayanan-Pfister-Sprintson '10, Nam-Chung-Lee '10, '11, Goseling-Gastpar-Weber '11, Song-Devroye '13, Nokleby-Aazhang '12
- Cellular Networks: Sanderovich-Peleg-Shamai '11, Nazer-Sanderovich-Gastpar-Shamai '09, Hong-Caire '13
- Distributed Dirty-Paper Coding: Philosof-Zamir '09, Philosof-Zamir-Erez-Khisti '11, Wang '12
- Joint Source-Channel Coding: Kochman-Zamir '09, Nazer-Gastpar '07, '08, Soundararajan-Vishwanath '12
- Physical-Layer Secrecy: He-Yener '11, '14, Kashyap-Shashank-Thangaraj '12


## A Joint Typicality Approach

- For the rest of the talk, I will discuss our recent efforts to bring these lattice coding ideas into the joint typicality framework.
- This is joint work with Sung Hoon Lim, Chen Feng, Adriano Pastore, and Michael Gastpar.
- See arXiv for our June 2016 pre-print.


## Compute-and-Forward: Beyond Gaussian Channels



## Compute-and-Forward: Beyond Gaussian Channels



- Messages: $m_{k} \in\left[2^{n R_{k}}\right] \triangleq\left\{0, \ldots, 2^{n R_{k}}-1\right\}, k=1, \ldots, K$.


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- Messages: $m_{k} \in\left[2^{n R_{k}}\right] \triangleq\left\{0, \ldots, 2^{n R_{k}}-1\right\}, k=1, \ldots, K$.
- Encoders: mappings $\left(u_{k}^{n}, x_{k}^{n}\right)\left(m_{k}\right) \in \mathbb{F}_{\mathrm{q}}^{n} \times \mathcal{X}_{k}^{n}, k=1, \ldots, K$ such that $u_{k}^{n}\left(m_{k}\right)$ is bijective.


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- Linear Combination: $w_{\boldsymbol{a}}^{n} \triangleq \bigoplus_{k} a_{k} u_{k}^{n}\left(m_{k}\right), \boldsymbol{a}=\left[a_{1} \cdots a_{K}\right] \in \mathbb{F}_{\mathrm{q}}^{K}$


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- Decoder: assigns an estimate $\hat{w}_{a}^{n} \in \mathbb{F}_{\mathrm{q}}^{n}$ to each $y^{n} \in \mathcal{Y}^{n}$.

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- Decoder: assigns an estimate $\hat{w}_{\boldsymbol{a}}^{n} \in \mathbb{F}_{\mathrm{q}}^{n}$ to each $y^{n} \in \mathcal{Y}^{n}$.
- Probability of Error: For uniformly distributed messages $M_{1}, \ldots, M_{K}$, want vanishing probability of error $\mathrm{P}\left\{\hat{W}_{\boldsymbol{a}}^{n} \neq W_{\boldsymbol{a}}^{\boldsymbol{a}}\right\}$.


## Compute-and-Forward: Beyond Gaussian Channels

## High-Level Intuition:

- Input Distribution: Want $U_{k}^{n}$ to look typical with respect to pmf $p_{U_{k}}\left(u_{k}\right)$. There are $\approx 2^{n H\left(U_{k}\right)}$ typical sequences.


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- True Codeword: Want $\left(W_{a}^{n}, Y^{n}\right)$ to look jointly typical.


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- Decoder searches for sequences $\tilde{w}_{\boldsymbol{a}}^{n}$ that are jointly typical with $Y^{n}$. There are $\approx 2^{n H\left(W_{a} \mid Y\right)}$ possible sequences. If only one such sequence is jointly typical, declare it as the estimate $\hat{W}_{\boldsymbol{a}}^{n}$ of the linear combination $W_{\boldsymbol{a}}^{n}=a_{1} U_{1}^{n} \oplus \cdots \oplus a_{K} U_{K}^{n}$.


## Compute-and-Forward: Beyond Gaussian Channels

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- We can show that, for this decoding strategy, we can achieve any rate tuple $\left(R_{1}, \ldots, R_{K}\right)$ satisfying

$$
R_{k}<H\left(U_{k}\right)-H\left(W_{\boldsymbol{a}} \mid Y\right)
$$

## Compute-and-Forward: Beyond Gaussian Channels

## High-Level Intuition: (Low-Level Reality)

- Input Distribution: Want $U_{k}^{n}$ to look typical with respect to pmf $p_{U_{k}}\left(u_{k}\right)$. There are $\approx 2^{n H\left(U_{k}\right)}$ typical sequences.
- True Codeword: Want $\left(W_{a}^{n}, Y^{n}\right)$ to look jointly typical.
- Decoder searches for sequences $\tilde{w}_{\boldsymbol{a}}^{n}$ that are jointly typical with $Y^{n}$. There are $\approx 2^{n H\left(W_{a} \mid Y\right)}$ possible sequences. If only one such sequence is jointly typical, declare it as the estimate $\hat{W}_{a}^{n}$ of the linear combination $W_{\boldsymbol{a}}^{n}=a_{1} U_{1}^{n} \oplus \cdots \oplus a_{K} U_{K}^{n}$.
- We can show that, for this decoding strategy, we can achieve any rate tuple $\left(R_{1}, \ldots, R_{K}\right)$ satisfying

$$
R_{k}<H\left(U_{k}\right)-H\left(W_{\boldsymbol{a}} \mid Y\right)
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$$

(Not a mutual information and can be negative.)

Point-to-Point Channels: Linear Codes


Code Construction:

Point-to-Point Channels: Linear Codes


## Code Construction:

- Pick a finite field $\mathbb{F}_{\mathrm{q}}$ and a symbol mapping $x: \mathbb{F}_{\mathrm{q}} \rightarrow \mathcal{X}$.

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- Linear codeword for message $m$ is $u^{n}(m)=\boldsymbol{\nu}(m) \mathbf{G} \oplus d^{n}$.
- Channel input at time $i$ is $x_{i}(m)=x\left(u_{i}(m)\right)$.


Random Linear Codes

- Codewords are pairwise independent of one another.
- Codewords are uniformly distributed over $\mathbb{F}_{\mathrm{q}}^{n}$.

Point-to-Point Channels: Linear Codes


- Well known that a direct application of linear coding is not sufficient to reach the point-to-point capacity, Ahlswede '71.

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- Padakandla-Pradhan '13: It is possible to shape the input distribution using nested linear codes.
- Basic idea: Generate many codewords to represent one message. Search in this "bin" to find a codeword with the desired type, i.e., multicoding.

Point-to-Point Channels: Linear Codes + Multicoding


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Code Construction:

- Messages $m \in\left[2^{n R}\right]$ and auxiliary indices $l \in\left[2^{n \hat{R}}\right]$.

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Encoding:

Point-to-Point Channels: Linear Codes + Multicoding


## Encoding:

- Fix $p(u)$ and $x(u)$.

Point-to-Point Channels: Linear Codes + Multicoding


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- Fix $p(u)$ and $x(u)$.
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- Joint Typicality Decoding: Find the unique index $\hat{m}$ such that $\left(u^{n}(\hat{m}, \hat{l}), y^{n}\right) \in \mathcal{T}_{\epsilon}^{(n)}(U, Y)$ for some index $\hat{l}$.

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Point-to-Point Channels: Linear Codes + Multicoding


## Theorem (Padakandla-Pradhan '13)

Any rate $R$ satisfying

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R<\max _{p(u), x(u)} I(U ; Y)
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is achievable. This is equal to the capacity if $q \geq|\mathcal{X}|$.

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- This is the basic coding framework that we will use for each transmitter.
- Next, let's examine a two-transmitter, one-receiver "compute-and-forward" network.


## Nested Linear Coding Architecture



Code Construction:

- Messages $m_{k} \in\left[2^{n R_{k}}\right]$ and auxiliary indices $l_{k} \in\left[2^{n \hat{R}_{k}}\right], k=1,2$.


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- Pick generator matrix G and dithers $d_{1}^{n}, d_{2}^{n}$ as before.
- Take q-ary expansions $\left[\boldsymbol{\nu}\left(m_{1}\right) \quad \boldsymbol{\nu}\left(l_{1}\right)\right] \in \mathbb{F}_{\mathrm{q}}^{\kappa}$

$$
\left[\boldsymbol{\nu}\left(m_{2}\right) \boldsymbol{\nu}\left(l_{2}\right) 0\right] \in \mathbb{F}_{\mathbf{q}}^{\kappa} \quad \text { Zero-padding }
$$

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- Linear codewords: $u_{1}^{n}\left(m_{1}, l_{1}\right)=\boldsymbol{\eta}\left(m_{1}, l_{1}\right) \mathbf{G} \oplus d_{1}^{n}$

$$
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## Nested Linear Coding Architecture



Encoding:

## Nested Linear Coding Architecture



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- Fix pmfs $p\left(u_{1}\right), p\left(u_{2}\right)$ and mappings $x_{1}\left(u_{1}\right)$, and $x_{2}\left(u_{2}\right)$.


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## Nested Linear Coding Architecture



Computation Problem:

## Nested Linear Coding Architecture



## Computation Problem:

- For $m_{k} \in\left[2^{n R_{k}}\right], l_{k} \in\left[2^{n \hat{R}_{k}}\right]$, we can express the linear combination of codewords as

$$
\begin{aligned}
w_{\boldsymbol{a}}^{n} & =a_{1} u_{1}^{n}\left(m_{1}, l_{1}\right) \oplus a_{2} u_{2}^{n}\left(m_{2}, l_{2}\right) \\
& =\left[a_{1} \boldsymbol{\eta}\left(m_{1}, l_{1}\right) \oplus a_{2} \boldsymbol{\eta}\left(m_{2}, l_{2}\right)\right] \mathrm{G} \oplus a_{1} d_{1}^{n} \oplus a_{2} d_{2}^{n} \\
& =\boldsymbol{\nu}\left(s_{\boldsymbol{a}}\right) G \oplus a_{1} d_{1}^{n} \oplus a_{2} d_{2}^{n}
\end{aligned}
$$

where $s_{\boldsymbol{a}} \in\left[2^{n \max \left\{R_{1}+\hat{R}_{1}, R_{2}+\hat{R}_{2}\right\}}\right]$.

Nested Linear Coding Architecture


Decoding:

- Let $\epsilon^{\prime}<\epsilon$.

Nested Linear Coding Architecture


## Decoding:

- Let $\epsilon^{\prime}<\epsilon$.
- Search for a unique index $s_{\boldsymbol{a}} \in\left[2^{n \max \left\{R_{1}+\hat{R}_{1}, R_{2}+\hat{R}_{2}\right\}}\right]$ such that

$$
\left(u_{1}^{n}\left(m_{1}, l_{1}\right), u_{2}^{n}\left(m_{2}, l_{2}\right), y^{n}\right) \in \mathcal{T}_{\epsilon}^{(n)}\left(U_{1}, U_{2}, Y\right)
$$

for some $\left(m_{1}, l_{1}, m_{2}, l_{2}\right) \in\left[2^{n R_{1}}\right] \times\left[2^{n \hat{R}_{1}}\right] \times\left[2^{n R_{2}}\right] \times\left[2^{n \hat{R}_{2}}\right]$ such that

$$
\boldsymbol{\nu}\left(s_{\boldsymbol{a}}\right)=a_{1} \boldsymbol{\eta}\left(m_{1}, l_{1}\right) \oplus a_{2} \boldsymbol{\eta}\left(m_{2}, l_{2}\right)
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## Decoding:

- Let $\epsilon^{\prime}<\epsilon$.
- Search for a unique index $s_{\boldsymbol{a}} \in\left[2^{n \max \left\{R_{1}+\hat{R}_{1}, R_{2}+\hat{R}_{2}\right\}}\right]$ such that

$$
\left(u_{1}^{n}\left(m_{1}, l_{1}\right), u_{2}^{n}\left(m_{2}, l_{2}\right), y^{n}\right) \in \mathcal{T}_{\epsilon}^{(n)}\left(U_{1}, U_{2}, Y\right)
$$

for some $\left(m_{1}, l_{1}, m_{2}, l_{2}\right) \in\left[2^{n R_{1}}\right] \times\left[2^{n \hat{R}_{1}}\right] \times\left[2^{n R_{2}}\right] \times\left[2^{n \hat{R}_{2}}\right]$ such that

$$
\boldsymbol{\nu}\left(s_{\boldsymbol{a}}\right)=a_{1} \boldsymbol{\eta}\left(m_{1}, l_{1}\right) \oplus a_{2} \boldsymbol{\eta}\left(m_{2}, l_{2}\right)
$$

- If there is no such index, or more than one, the decoder declares an error.


## Error Analysis

An error occurs only if one or more of the following events occur,

- For some message, we cannot find a typical linear codeword:

$$
\mathcal{E}_{1}=\left\{U_{k}^{n}\left(m_{k}, l_{k}\right) \notin \mathcal{T}_{\epsilon^{\prime}}^{(n)} \text { for all } l_{k}, \text { for some } m_{k}, k=1,2\right\}
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$$
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- There are linear codewords that are jointly typical with the channel output and give the wrong linear combination:

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Then, by the union of events bound,

$$
\mathrm{P}\left\{\hat{W}_{a}^{n} \neq W_{a}^{n}\right\} \leq \mathrm{P}\left\{\mathcal{E}_{1}\right\}+\mathrm{P}\left\{\mathcal{E}_{2} \cap \mathcal{E}_{1}^{c}\right\}+\mathrm{P}\left\{\mathcal{E}_{3} \cap \mathcal{E}_{1}^{c}\right\} .
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- Proof just requires second moment method.


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- In a random i.i.d. coding proof, we would just use the fact that the codewords are independent and that the channel is memoryless.
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- Our proof handles these statistical dependencies by breaking up the possible error events according to the underlying rank of the selected linear codewords. (Markov Lemma for Nested Linear Codes.)
- Prior work by Padakandla-Pradhan '13 developed a bound that also requires $\hat{R}_{k}<D\left(p_{U_{k}} \| p_{\mathrm{q}}\right)+3 \delta(\epsilon)$.


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- We upper bound this event in two ways. 1. "Direct Decoding" Bound

$$
\mathrm{P}\left\{\mathcal{E}_{3} \cap \mathcal{E}_{1}^{c}\right\} \leq \mathrm{P}\left\{\left(W_{\boldsymbol{a}}^{n}\left(s_{\boldsymbol{a}}\right), Y^{n}\right) \in \mathcal{T}_{\epsilon}^{(n)}, \mathcal{E}_{1}^{c}, s_{\boldsymbol{a}} \neq S_{\boldsymbol{a}}\right\}
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$$

2. "Multiple-Access Decoding" Bound

$$
\begin{aligned}
\mathrm{P}\left\{\mathcal{E}_{3} \cap \mathcal{E}_{1}^{c}\right\} \leq \mathrm{P}\{ & \left(U_{1}^{n}\left(m_{1}, l_{1}\right), U_{2}^{n}\left(m_{2}, l_{2}\right), Y^{n}\right) \in \mathcal{T}_{\epsilon}^{(n)}, \mathcal{E}_{1}^{c} \\
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$$

- Can show that $\lim _{n \rightarrow \infty} \mathrm{P}\left\{\mathcal{E}_{3} \cap \mathcal{E}_{1}^{c}\right\}=0$ if

$$
\begin{aligned}
& R_{1}<I_{\mathrm{CF}, 1}(\boldsymbol{a}) \triangleq H\left(U_{1}\right)-H\left(W_{\boldsymbol{a}} \mid Y\right) \\
& R_{2}<I_{\mathrm{CF}, 2}(\boldsymbol{a}) \triangleq H\left(U_{2}\right)-H\left(W_{\boldsymbol{a}} \mid Y\right),
\end{aligned}
$$

which matches our intuition from earlier.

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$$
\begin{aligned}
R_{1} & <\max _{\boldsymbol{b} \in \mathbb{A}^{2} \backslash\{\mathbf{0}\}} \min \left\{I_{\mathrm{CF}, 1}(\boldsymbol{b}), I\left(X_{1}, X_{2} ; Y\right)-I_{\mathrm{CF}, 2}(\boldsymbol{b})\right\}, \\
R_{2} & <I\left(X_{2} ; Y \mid X_{1}\right), \\
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- The $I_{\mathrm{CF}, 2}(\boldsymbol{b})$ term plays a key role in handling the dependencies between competing pairs of linear codewords.


## Rate Region



## Concluding Remarks

- First steps towards bringing algebraic network information theory back into the realm of joint typicality.
- Joint decoding rate region for compute-and-forward that outperforms parallel and successive decoding.

